



**DEPARTMENT OF HEALTH CARE ACCESS AND INFORMATION  
FACILITIES DEVELOPMENT DIVISION**

**APPLICATION FOR HCAI SPECIAL SEISMIC  
CERTIFICATION PREAPPROVAL (OSP)**

OFFICE USE ONLY

**APPLICATION #: OSP-0056**

**HCAI Special Seismic Certification Preapproval (OSP)**

Type:  New  Renewal

**Manufacturer Information**

Manufacturer: MGM Transformers

Manufacturer's Technical Representative: Mike Iman

Mailing Address: 5701 Smithway Street, City of Commerce, CA 90040

Telephone: (323) 726-0888

Email: miman@mgmtransformer.com

**Product Information**

Product Name: Transformers

Product Type: Transformers – Dry Type

Product Model Number: General Purpose & Unit Substation Transformers

General Description: Dry-Type General Purpose & Unit Substation Transformers

Mounting Description: Rigid, Floor Mounted

Tested Seismic Enhancements: None

DATE: 04/27/2022

**Applicant Information**

Applicant Company Name: TRU Compliance, by Structural Integrity Associates, Inc.

Contact Person: Galen Reid

Mailing Address: 5215 Hellyer Ave. Suite 210, San Jose, CA 95138

Telephone: (541) 604-7225

Email: greid@structint.com

Title: Director, TRU Compliance





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**California Licensed Structural Engineer Responsible for the Engineering and Test Report(s)**

Company Name: STRUCTURAL INTEGRITY ASSOCIATES, INC.  
Name: Andrew Coughlin California License Number: S6082  
Mailing Address: 5215 Hellyer Ave, Suite 101, San Jose, CA 95138-1025  
Telephone: (415) 635-8461 Email: acoughlin@structint.com

**Certification Method**

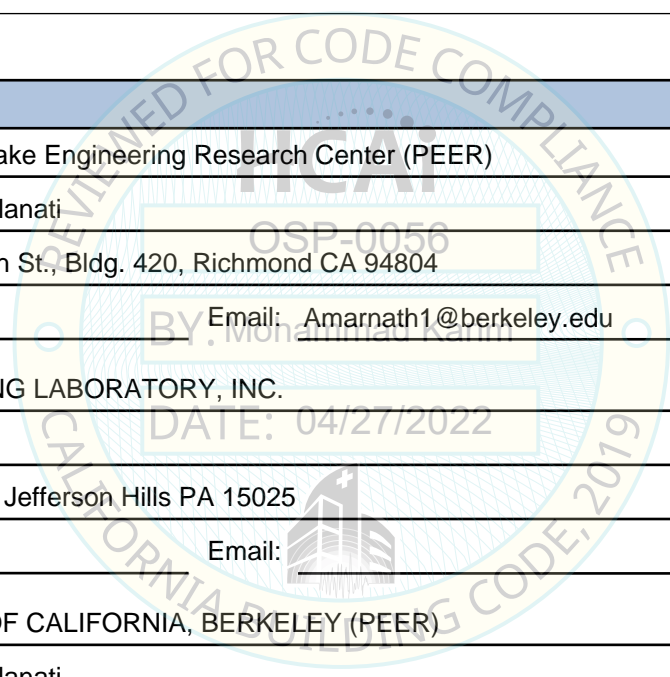
GR-63-Core       ICC-ES AC156       IEEE 344       IEEE 693       NEBS 3  
 Other (Please Specify): \_\_\_\_\_

**Testing Laboratory**

Company Name: Pacific Earthquake Engineering Research Center (PEER)  
Contact Person: Amarnath Kasalanati  
Mailing Address: 1301 South 46th St., Bldg. 420, Richmond CA 94804  
Telephone: (510) 642-3437 Email: Amarnath1@berkeley.edu

Company Name: CLARK TESTING LABORATORY, INC.  
Contact Person: \_\_\_\_\_  
Mailing Address: 1801 Route 51, Jefferson Hills PA 15025  
Telephone: () - Email: \_\_\_\_\_

Company Name: UNIVERSITY OF CALIFORNIA, BERKELEY (PEER)  
Contact Person: Amarnath Kasalanati  
Mailing Address: 1301 South 46th St., Bldg. 420, Richmond CA 94804  
Telephone: (510) 642-6475 Email: peer\_center@berkeley.edu





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**Seismic Parameters**

Design Basis of Equipment or Components ( $F_p/W_p$ ) = 1.44 (z/h = 1); 0.90 (z/h = 0)

SDS (Design spectral response acceleration at short period, g) = 2.0

$a_p$  (Amplification factor) = 1.0

$R_p$  (Response modification factor) = 2.5

$\Omega_0$  (System overstrength factor) = 2.0

$I_p$  (Importance factor) = 1.5

z/h (Height ratio factor) = 1 and 0

Natural frequencies (Hz) = See Attachment

Overall dimensions and weight = See Attachment

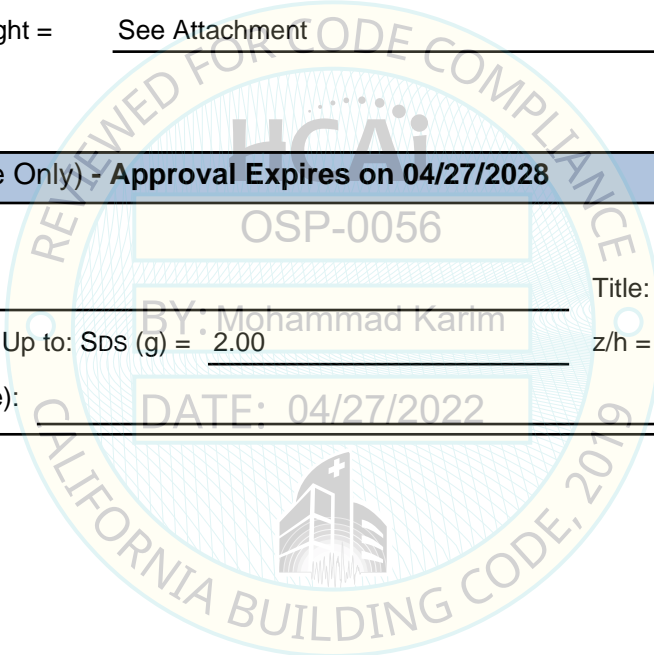
**HCAI Approval (For Office Use Only) - Approval Expires on 04/27/2028**

Date: 4/27/2022

Name: Mohammad Karim Title: Supervisor, Health Facilities

Special Seismic Certification Valid Up to: SDS (g) = 2.00 z/h = See Above

Condition of Approval (if applicable): DATE: 04/27/2022



# SPECIAL SEISMIC CERTIFICATION CERTIFIED COMPONENT MATRIX

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company	<b>TABLE 1</b>
<b>Model Line:</b> General Purpose & Unit Substation Transformers	

**Certified Product Construction Summary:**  
Carbon steel enclosure. Open wound coil construction.

**Certified Options Summary:**  
NEMA 1 or 3R construction. See Table 3 for possible product configurations and the significance of "X".  
MGM Transformers can be rebranded as GE Energy Industrial Solutions, Eaton, Square D Company/Schneider Electric, Siemens Energy & Automation, or OnLine Power.

**Mounting Configuration:**  
Base mounted - rigid  
Note: Installed mounting configuration must be of similar configuration and equivalent strength and stiffness to those tested.

**Building Code: CBC 2019**      **Seismic Certification Limits:**       $S_{DS} = 2.0\text{ g}$      $z/h = 1.0$        $I_P = 1.5$   
 $S_{DS} = 2.0\text{ g}$      $z/h = 0.0$

Model Line	Model <sup>1</sup>	Dimensions (in)			Weight (lb) <sup>2</sup>	Notes	UUT
		Depth	Width	Height			
Unit Substation Transformers (Al - single phase)	AD2XX-XXXX/HSXXXX2	50	56	90	1,970		Extrap.
	...	...	...	...	...		Extrap.
	AD2XX-XXXX/HSXXXX2	50	64	90	2,250		Extrap.
Unit Substation Transformers (Cu - single phase)	AC2XX-XXXX/HSXXXX1	50	56	90	1,970		Extrap.
	...	...	...	...	...		Extrap.
	AC2XX-XXXX/HSXXXX1	50	90	100	3,165		Extrap.
	AC2XX-XXXX/HSXXXX1	60	90	90	3,800		Extrap.
	...	...	...	...	...		Extrap.
	AC2XX-XXXX/HSXXXX1	60	108	100	20,502		Extrap.
Unit Substation Transformers (Al - three phase)	AD3XX-XXXX/HTXXXX2	50	56	90	1,970		Extrap.
	...	...	...	...	...		Extrap.
	AD374-Q0224	50	64	90	2,500		3
	...	...	...	...	...		Interp.
	AD3XX-XXXX/HTXXXX2	50	90	100	3,516		Interp.
	AD3XX-XXXX/HTXXXX2	60	90	90	4,219		Interp.
	...	...	...	...	...		Interp.
	AD3XX-XXXX/HTXXXX2	60	108	108	20,502		Interp.
AE381-Z0107	60	108	108	20,502	UUT6: Hybrid Cu/Al	6	
Unit Substation Transformers (Cu - three phase)	AC3XX-XXXX/HTXXXX1	60	56	90	2,625		Interp.
	...	...	...	...	...		Interp.
	AC3XX-XXXX/HTXXXX1	60	108	108	20,502		Interp.
	AE381-Z0107	60	108	108	20,502	UUT6: Hybrid Cu/Al	6

<sup>1</sup>"H" model numbering applies up to 600V class only and has identical construction to "A" models.

<sup>2</sup> Maximum operating weight and maximum allowed per enclosure depth dimensions.

# SPECIAL SEISMIC CERTIFICATION CERTIFIED COMPONENT MATRIX

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company	<b>TABLE 2</b>
<b>Model Line:</b> General Purpose & Unit Substation Transformers	

**Certified Product Construction Summary:**  
Carbon steel enclosure. Open wound coil construction.

**Certified Options Summary:**  
NEMA 1 or 3R construction. See Table 3 for possible product configurations and the significance of "X".  
MGM Transformers can be rebranded as GE Energy Industrial Solutions, Eaton, Square D Company/Schneider Electric, Siemens Energy & Automation, or OnLine Power

**Mounting Configuration:**  
Base mounted - rigid  
Note: Installed mounting configuration must be of similar configuration and equivalent strength and stiffness to those tested.

**Building Code: CBC 2019**      **Seismic Certification Limits:**       $S_{DS} = 2.0 g$      $z/h = 1.0$        $I_P = 1.5$   
 $S_{DS} = 2.0 g$      $z/h = 0.0$

Model Line	Model <sup>1</sup>	Dimensions (in)			Weight (lb) <sup>2</sup>	Notes	UUT
		Depth	Width	Height			
General Purpose Transformers (Al - single phase)	AD2XX-XXXX/HSXXXX2	14.0	21.0	28.0	295		Extrap.
	...	...	...	...	...		Extrap.
General Purpose Transformers (Cu - single phase)	AD2XX-XXXX/HSXXXX2	32.0	50.5	66.0	3,560		Extrap.
	AC2XX-XXXX/HSXXXX1	14.0	21.0	28.0	295		Extrap.
General Purpose Transformers (Al - three phase)	...	...	...	...	...		Extrap.
	AC2XX-XXXX/HSXXXX1	32.0	50.5	66.0	3,560		Extrap.
General Purpose Transformers (Al - three phase)	AD3XX-XXXX/HTXXXX2	14.0	21.0	28.0	325		Extrap.
	...	...	...	...	...		Extrap.
	AE374-N0227	21.0	36.5	40.5	1,086	UUT 4: Hybrid Cu/Al	4
	...	...	...	...	...		Interp.
	AD3XX-XXXX/HTXXXX2	32.0	50.5	66.0	3,957		Interp.
General Purpose Transformers (Cu - three phase)	AE378-U0376	32.0	50.5	66.0	3,957	UUT 5: Hybrid Cu/Al	5
	AC3XX-XXXX/HTXXXX1	14.0	21.0	28.0	325		Extrap.
	...	...	...	...	...		Extrap.
	AE374-N0227	21.0	36.5	40.5	1,086	UUT 4: Hybrid Cu/Al	4
	...	...	...	...	...		Interp.
	AC3XX-XXXX/HTXXXX1	32.0	50.5	66.0	3,957		Interp.
	AE378-U0376	32.0	50.5	66.0	3,957	UUT 5: Hybrid Cu/Al	5

<sup>1</sup>"H" model numbering applies up to 600V class only and has identical construction to "A" models.

<sup>2</sup> Maximum operating weight and maximum allowed per enclosure depth dimensions.

# SPECIAL SEISMIC CERTIFICATION MODEL LINE NUMBERING - REFERENCE ONLY

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company <b>Model Line:</b> General Purpose & Unit Substation Transformers		<b>TABLE 3</b>
Column	Description	Key
Column 1	Prefix	A = This column is always letter "A"
Column 2	Winding Material	C = Copper
		D = Aluminum
		E = Aluminum / Copper Hybrid
Column 3	Phase	2 - Single Phase
		3 - Three Phase
Column 4 & 5	Voltage Class	70 = 600V Class
		72 = 2.5kV Class
		74 = 5kV Class
		76 = 8.7kV Class
		78 = 15kV Class
		79 = 25kV Class
Column 6	Max kVA Rating	81 = 35kV Class
		A = 9 - 14
		B = 15 - 19
		C = 20 - 24
		D = 25 - 29
		E = 30 - 36
		F = 37 - 44
		G = 45 - 49
		H = 50 - 74
		J = 75 - 99
		K = 100 - 111
		L = 112.5 - 124
		M = 125 - 149
		N = 150 - 199
		P = 200 - 224
		Q = 225 - 249
		R = 250 - 299
		S = 300 - 399
		T = 400 - 499
		U = 500 - 749
V = 750 - 999		
W = 1,000 - 1,499		
X = 1,500 - 1,999		
Y = 2,000 - 2,499		
Z = 2,500 and up		
Columns 7-10	Unit Specific Digits	Details specific primary and secondary voltage / temp rise. No mechanical change.



# SPECIAL SEISMIC CERTIFICATION ALTERNATE NUMBERING - REFERENCE ONLY

2200378-CR-001-R0



<b>Manufacturer:</b>	MGM Transformer Company	<b>TABLE 4</b>
<b>Model Line:</b>	General Purpose & Unit Substation Transformers	

Column	Description	Key		
Column 1	Prefix	H = 220° C Insulation system		
Column 2	Phase	T = Three phase S = Single phase		
Column 3	kVA Rating	9 to 1500		
Column 4 & 6	Primary Voltage or Secondary Voltage	Letter	3-Phase Voltage	1-Phase Voltage
		A	480 Delta	480
		B	208Y/120	120/240
		C	240 Delta	240/480
		D	480Y/277	240
		E	120 Delta	120
		F	600 Delta	600
		G	208 Delta	208
		H	230 Delta	230/460
		J	460 Delta	460
		K	240/120 CT	
		L	240Y/139	
		M	380 Delta	
		N	575 Delta	
		P	230Y/133	230/115
		Q	400Y/231	
		R	380Y/220	
		T	240Y/139	
		U	440D	
		V	220D	110/220
		W	500D	230
		X	440Y/254	
		AZ	450D	450
		BZ	440Y/254	440
		CZ	415D	
		DZ	240 X 480	
EZ	220Y/127			
FZ	416Y/240			
GZ	560Y/266			
HZ	115D	115		
JZ	550D			
KZ	280D			
LZ	360D			
MZ	160Y/93			

TRU Compliance, by Structural Integrity Associates, Inc.

844-TRU-0200 | info@trucompliance.com





# SPECIAL SEISMIC CERTIFICATION CERTIFIED SUBCOMPONENT MATRIX

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company <b>Model Line:</b> General Purpose & Unit Substation Transformers		<b>Table Description:</b> Enclosures					<b>TABLE 5</b>			
<b>Building Code:</b> CBC 2019		<b>Seismic Certification Limits:</b>					$S_{DS} = 2.0g \quad z/h = 1.0$ $S_{DS} = 2.0g \quad z/h = 0.0$		$I_p = 1.5$	
<b>Model Line (Manufacturer)</b>	<b>Model</b>	<b>Dimension (in)</b>			<b>Weight (lb)</b>	<b>Material</b>	<b>Notes</b>	<b>UUT</b>		
		<b>Depth</b>	<b>Width</b>	<b>Height</b>						
General Purpose (MGM)	GPA	14	21	28	60	Carbon steel		4		
	GPB	17	26.5	32	90	Carbon steel		Interp.		
	GPB+	20	28.5	38.5	115	Carbon steel		Interp.		
	GPC	21.8	31.5	40.5	125	Carbon steel		Interp.		
	GPC+	21.8	36.5	40.5	135	Carbon steel		Interp.		
	GPD	26.5	40.5	51.5	345	Carbon steel		Interp.		
	GPE	32	50.5	66	535	Carbon steel		5		
Unit Substation (MGM)	US56	50	56	90	1100	Carbon steel		Interp.		
	US64	50	64	90	1200	Carbon steel		3		
	US72	50	72	90	1300	Carbon steel		Interp.		
	US80	50	80	90	1460	Carbon steel		Interp.		
	US90	50	90	90	1630	Carbon steel		Interp.		
	US90L	60	90	100	1780	Carbon steel		Interp.		
	US90K	60	90	90	1640	Carbon steel		Interp.		
	US90M	50	90	100	1710	Carbon steel		Interp.		
	US100	60	100	100	2070	Carbon steel		Interp.		
	US108	60	108	108	2330	Carbon steel		6		
	US100M	60	100	108	2150	Carbon steel	1" Max difference in C.G. from US108	Extrap.		
	US108L	60	108	108	2330	Carbon steel	1" Max difference in C.G. from US108	Extrap.		

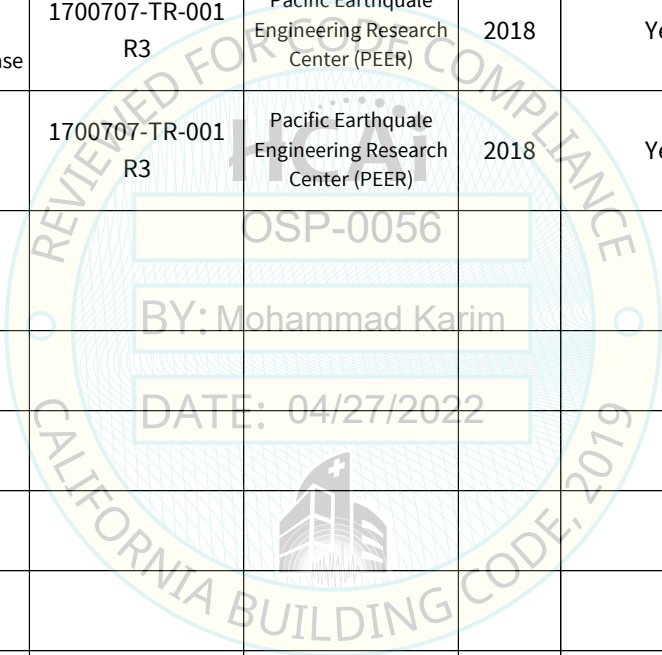
# UNIT UNDER TEST (UUT) SUMMARY SHEET

2200378-CR-001-R0



**Manufacturer:** MGM Transformer Company  
**Model Line:** General Purpose & Unit Substation Transformers

UUT	Unit Description	Report Number	Testing Lab	Year Tested	ISO 17025 Accredited?	S <sub>DS</sub>	z/h	I <sub>p</sub>
3	Unit Substation 225kVA Al, Three Phase	T5138	Clark Testing	2010	Yes	2.0 2.0	1.0 0.0	1.5
4	General Purpose Transformer 15kVA Al/Cu, Single Phase	1700707-TR-001 R3	Pacific Earthquake Engineering Research Center (PEER)	2018	Yes	2.12 2.75	1.0 0.0	1.5
5	General Purpose Transformer 500kVA Al/Cu, Three Phase	1700707-TR-001 R3	Pacific Earthquake Engineering Research Center (PEER)	2018	Yes	2.12 2.75	1.0 0.0	1.5
6	Unit Substation 3000kVA Al/Cu, Three Phase	1700707-TR-001 R3	Pacific Earthquake Engineering Research Center (PEER)	2018	Yes	2.12 2.75	1.0 0.0	1.5



**Notes:**

# UNIT UNDER TEST (UUT) SUMMARY SHEET

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company	<b>UUT 3</b>
<b>Model Line:</b> General Purpose & Unit Substation Transformers	
<b>Model Number:</b> AD374-Q0224 <b>Serial Number:</b> N/A	

**Product Construction Summary:**  
 NEMA 3R.  
 Carbon Steel Enclosure.  
 Open Wound Coil Construction.

**Options/Subcomponent Summary:**  
 225 kVA, 5kV class. Aluminum windings. 3 phase. US64 Enclosure.

**UUT Properties**

Weight (lb)	Dimension (in)			Lowest Natural Frequency (Hz)		
	Depth	Width	Height	Front-Back	Side-Side	Vertical
2,500	50.0	64.0	90.0	12.7	14.2	19.1

**UUT Highest Passed Seismic Run Information**

Building Code	Test Criteria	S <sub>DS</sub> (g)	z/h	I <sub>P</sub>	A <sub>FLX-H</sub> (g)	A <sub>RIG-H</sub> (g)	A <sub>FLX-V</sub> (g)	A <sub>RIG-V</sub> (g)
CBC 2019	ICC-ESAC156	2.0	1.0	1.5	3.20	2.40	1.33	0.53
		2.0	0.0					

**Test Mounting Details:**



Unit was rigid floor mounted using four (4) 5/8" Grade 5 bolts with washers and lock washers.  
 Unit maintained structural integrity and remained functional per manufacturer requirement after shake table test.  
 Contents were included in testing per operating conditions.

# UNIT UNDER TEST (UUT) SUMMARY SHEET

2200378-CR-001-R0



<b>Manufacturer:</b> MGM Transformer Company	<b>UUT 4</b>
<b>Model Line:</b> General Purpose & Unit Substation Transformers	
<b>Model Number:</b> AE374-N0227 <b>Serial Number:</b> N/A	

**Product Construction Summary:**  
 NEMA 3R.  
 Carbon Steel Enclosure.  
 Open Wound Coil Construction.

**Options/Subcomponent Summary:**  
 150 kVA, 5kV class. Aluminum and Copper windings. 3 phase. GPA Enclosure.

**UUT Properties**

Weight (lb)	Dimension (in)			Lowest Natural Frequency (Hz)		
	Depth	Width	Height	Front-Back	Side-Side	Vertical
1,086	21.0	36.5	40.5	10.85	5.31	10.96

**UUT Highest Passed Seismic Run Information**

Building Code	Test Criteria	S <sub>DS</sub> (g)	z/h	I <sub>P</sub>	A <sub>FLX-H</sub> (g)	A <sub>RIG-H</sub> (g)	A <sub>FLX-V</sub> (g)	A <sub>RIG-V</sub> (g)
CBC 2019	ICC-ES AC156	2.12	1.0	1.5	3.39	2.54	1.83	0.73
		2.75	0.0					

**Test Mounting Details:**



Base mounted-rigid using four (4) 1/2" -13 SAE Grade 8 bolts with lock washers and flat washers.  
 Unit maintained structural integrity and remained functional per manufacturer requirement after shake table test.  
 Contents were included in testing per operating conditions.

# UNIT UNDER TEST (UUT) SUMMARY SHEET



2200378-CR-001-R0

<b>Manufacturer:</b> MGM Transformer Company	<b>UUT 5</b>
<b>Model Line:</b> General Purpose & Unit Substation Transformers	
<b>Model Number:</b> AE378-U0376 <b>Serial Number:</b> N/A	

**Product Construction Summary:**  
 NEMA 3R.  
 Carbon Steel Enclosure.  
 Open Wound Coil Construction.

**Options/Subcomponent Summary:**  
 500 kVA, 5kV class. Aluminum and Copper windings. 3 phase. GPE Enclosure.

<i>UUT Properties</i>						
Weight (lb)	Dimension (in)			Lowest Natural Frequency (Hz)		
	Depth	Width	Height	Front-Back	Side-Side	Vertical
3,957	32.0	50.5	66.0	8.25	6.85	16.42

<i>UUT Highest Passed Seismic Run Information</i>									
Building Code	Test Criteria	S <sub>DS</sub> (g)	z/h	I <sub>P</sub>	A <sub>FLX-H</sub> (g)	A <sub>RIG-H</sub> (g)	A <sub>FLX-V</sub> (g)	A <sub>RIG-V</sub> (g)	
CBC 2019	ICC-ES AC156	2.12	1.0	1.5	3.39	2.54	1.83	0.73	
		2.75	0.0						

**Test Mounting Details:**



Base mounted-rigid using four (4) 1/2" -13 SAE Grade 8 bolts with lock washers and flat washers.  
 Unit maintained structural integrity and remained functional per manufacturer requirement after shake table test.  
 Contents were included in testing per operating conditions.



# UNIT UNDER TEST (UUT) SUMMARY SHEET



2200378-CR-001-R0

<b>Manufacturer:</b>	MGM Transformer Company	<b>UUT 6</b>
<b>Model Line:</b>	General Purpose & Unit Substation Transformers	
<b>Model Number:</b>	AE381-Z0107	
<b>Serial Number:</b>		N/A

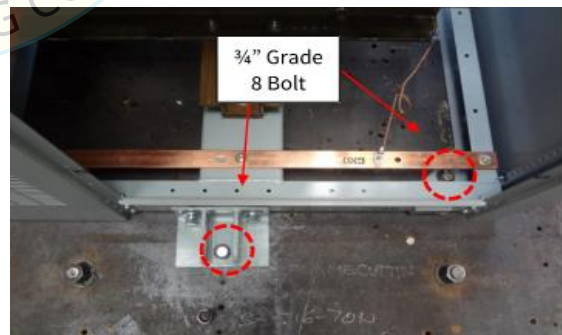
**Product Construction Summary:**  
 NEMA 3R.  
 Carbon Steel Enclosure.  
 Open Wound Coil Construction.

**Options/Subcomponent Summary:**  
 3000 kVA. 35kV class. Aluminum and Copper windings. 3 phase. US108 Enclosure.

<i>UUT Properties</i>						
Weight (lb)	Dimension (in)			Lowest Natural Frequency (Hz)		
	Depth	Width	Height	Front-Back	Side-Side	Vertical
20,502	60.0	108.0	108.0	15.78	20.00	15.14

<i>UUT Highest Passed Seismic Run Information</i>									
Building Code	Test Criteria	S <sub>DS</sub> (g)	z/h	I <sub>P</sub>	A <sub>FLX-H</sub> (g)	A <sub>RIG-H</sub> (g)	A <sub>FLX-V</sub> (g)	A <sub>RIG-V</sub> (g)	
CBC 2019	ICC-ESAC156	2.12	1.0	1.5	3.39	2.54	1.83	0.73	
		2.75	0.0						

**Test Mounting Details:**



Base mounted-rigid using four (4) 3/4" -10 SAE Grade 8 bolts with lock washer and flat washer internally at units corners and four (4) 3/4"-10 SAE Grade 8 bolts with lock washer and flat washer on the exterior of the unit through the down-turned manufacturer provided lifting lugs.  
 Unit maintained structural integrity and remained functional per manufacturer requirement after shake table test.  
 Contents were included in testing per operating conditions.