Attachment I

Agenda Item 15: Fiscal Year 2020-21 Funding Discussion

Ross Lallian, Research Manager September 2020 PCR Funding Meeting

> OSHPD Office of Statewide Health Planning and Development

Primary Care Residency (PCR) Scoring Criteria

Criterion	Description	Poii	nts
Section 1			
1.1	% and # of training sites in medically underserved areas	20	
1.2	% and # of underrepresented minority graduates	20	
1.3	% and # of graduates practicing in medically underserved areas	20	
	Total Possible Points for Section 1		60
Section 2			
2.1	Non-first-year residents spend at least 8 hours per week at a primary care continuity clinic	10	
2.2	% and # of graduates in primary care ambulatory settings 5 years post-residency	15	
2.3	% and # of underrepresented minority students	10	
2.4	Training site payer mix	20	
	Total Possible Points for Section 2		55
	Total Possible Points		115

OSHPD Office of Statewide Health Planning and Development

PCR Funding Categories

Award Category	Award Amount
Existing Slots	 \$125,000 per filled first year slot
	Maximum of five slots
	 Three year grant to support at least one resident of an existing PCR program
Teaching Health Centers (THC)	 \$170,000 per filled first year slot
	No maximum on slots
	 One year grant to support a recognized THC
Expansion Slots	 \$300,000 per filled first year slot
	Maximum of three slots
	 Three year grant to support at least one resident of a PCR program that has permanently expanded
New Programs	• Up to \$800,000
	 One year grant to offset the costs associated with achieving ACGME or AOA accreditation



Existing Slots Funding

Considerations

- Sixty-five programs qualified for funding by receiving at least 50 percent of total points (57.5).
- Commission may consider funding all qualifying programs using the approved funding methodology.
 - Thirteen in tier one
 - Thirteen in tier two
 - Thirteen in tier three
 - Twenty-six in tiers four and five
- Total funding allocation would be \$19,375,000:
 - General fund base funding: \$18,667,000
 - General Fund rollover: \$708,000
 - Would leave \$678,00 as general fund rollover for next year (can only be used on existing slot funding).



New Programs Funding Considerations

- Five programs qualified for funding by receiving at least 50 percent of total points (61.5).
- Total funding available is \$3,333,000.
- Need \$3,988,506 to fund all eligible programs:
 - General Fund base funding: \$3,333,000
 - Data Fund rollover: \$655,506

THC Slots Funding Considerations

- All ten programs qualified for funding by receiving at least 50 percent of total points (57.5).
- Increased demand for THC funds: 10 programs applied for 70 slots. Insufficient funding to award all 70 slots.
- Commission may consider the following:
 - Highest scoring program -- 6 slots
 - Tier 2 -- 4 slots
 - Tier 3 -- 3 slots
 - Tier 4 -- 2 slots
 - Would fund 33 slots in total.
- Total funding allocation would be \$5,610,000:
 - Below the annual general fund allocation of \$5,667,000.



THC Slots Funding Considerations

- All ten programs qualified for funding by receiving at least 50 percent of total points (57.5).
- Increased demand for THC funds: 10 programs applied for 70 slots. Insufficient funding to award all 70 slots.
- Commission may consider the following:
 - Highest scoring program -- 8 slots (fully fund).
 - Cap remaining nine programs at up to five slots.
 - Would fund 50 slots in total.
- Total funding allocation would be \$8,500,000:
 - Would have to use \$2,581,000 from FY 2020/21 Data Fund and \$252,000 from Data Fund rollover.



THC Slots Funding Considerations

• Total allocation to fund 33 THC slots: \$5,610,000:

Funding Source	Amount
General Fund Allocation FY 2020/21	\$5,667,000
THC Funding Allocation	\$5,610,000

THC General Fund Rollover Next Year

\$57,000

• Total allocation to fund 50 THC slots: \$8,500,000:

Funding Source	Amount
General Fund Allocation FY 2020/21	\$5,667,000
THC Funding Allocation	\$8,500,000
	\$2,833,000
Data Fund FY 2020/21	\$2,581,000
Data Fund Rollover	\$252,000



Application	Туре	Q1.1	Q1.2	Q1.3		Q2.1	Q2.2	Q2.3	Q2.4		Total Score	Slots Requested	Amount Requested		
SBPCR-1000492	FM	16	16	15	47	10	9.8	8	20	47.8	94.8	5	\$625,000		
SBPCR-1000530	FM	17	15	14	46	10	14	4	20	48	94	5	\$625,000		
SBPCR-1000485	FM	15	14	13	42	10	13	6	20	49	91	5	\$625,000		
SBPCR-1000519	FM	15	14	10	39	10	13	6	20	49	88	5	\$625,000		
SBPCR-1000523	FM	15	10	12	37	10	14	6	20	50	87	5	\$625,000		
SBPCR-1000484	FM	18	9	14	41	10	14	1	20	45	86	5	\$625,000		
SBPCR-1000501	FM	17	12	11	40	10	14	1	20	45	85	5	\$625,000		
SBPCR-1000553	FM	16	8.9	10.1	35	10	9.8	10	20	49.8	84.8	5	\$625,000		
SBPCR-1000537	FM	16	11	12	39	10	13	2	20	45	84	5	\$625,000		
SBPCR-1000504	FM	18	9	16	43	10	9.8	1	20	40.8	83.8	4	\$500,000		
SBPCR-1000497	FM	12	12	11	35	10	14	4	20	48	83	5	\$625,000		
SBPCR-1000536	FM	20	11	10	41	10	10.5	0	20	40.5	81.5	5	\$625,000		
SBPCR-1000525	FM	16	11	10	37	10	11.5	2	20	43.5	80.5	5	\$625,000		
SBPCR-1000521	FM	17	8.9	10.1	36	10	9.8	4	20	43.8	79.8	5	\$625,000		
SBPCR-1000542	FM	15	8	15	38	10	9.8	2	20	41.8	79.8	5	\$625,000		
SBPCR-1000490	IM	16	11	10	37	10	10.5	2	20	42.5	79.5	5	\$625,000		
SBPCR-1000489	FM	16	11	10	37	10	12	0	20	42	79	5	\$625,000		
SBPCR-1000558	IM	14	10	13	37	10	7	5	20	42	79	5	\$625,000		
SBPCR-1000564	FM	16	8	11	35	10	14	0	20	44	79	3	\$375,000		
SBPCR-1000488	FM	16	10	10	36	10	12	0	20	42	78	4	\$500,000		
SBPCR-1000584	FM	17	8.9	10.1	36	10	9.8	2	20	41.8	77.8	5	\$625,000		
SBPCR-1000518	FM	15	9	8	32	10	13	2	20	45	77	5	\$625,000		
SBPCR-1000546	OB/GYN	17	10	8	35	10	12	0	20	42	77	4	\$500,000		
SBPCR-1000551	IM	16	5	13	34	10	13	0	20	43	77	5	\$625,000		
SBPCR-1000566	FM	19	5	12	36	10	9.8	1	20	40.8	76.8	5	\$625,000		
SBPCR-1000516	Peds	10	11	13	34	10	9.5	3	20	42.5	76.5	5	\$625,000		
SBPCR-1000541	Peds	17	11	13	41	0	12	3	20	35	76	5	\$625,000		
SBPCR-1000511	FM	16	8.9	10.1	35	10	9.8	0	20	39.8	74.8	5	\$625,000		
SBPCR-1000513	FM	15	9	13	37	10	11.5	6	10	37.5	74.5	2	\$250,000		
SBPCR-1000583	IM	14	8.9	10.1	33	10	9.8	1.6	20	41.4	74.4	5	\$625,000		
SBPCR-1000503	FM	11	8.9	10.1	30	10	9.8	4	20	43.8	73.8	5	\$625,000		
SBPCR-1000538	FM	14	8	8	30	10	13	0	20	43	73	5	\$625,000		
SBPCR-1000526	FM	12	9	9	30	10	12.5	0	20	42.5	72.5	5	\$625,000		

				Exist	ing Primary	/ Care I	Resider	ncy Pro	gram ^{1,2}				
Application	Туре	Q1.1	Q1.2	Q1.3	Section 1 Score	Q2.1	Q2.2	Q2.3	Q2.4	Section 2 Score	Total Score	Slots Requested	Amount Requested
SBPCR-1000507	IM	16	9	15	40	10	2	0	20	32	72	5	\$625,000
SBPCR-1000556	FM	17	9	11	37	10	14	1	10	35	72	5	\$625,000
SBPCR-1000555	FM	11	8.9	10.1	30	10	9.8	2	20	41.8	71.8	5	\$625,000
SBPCR-1000517	FM	11	9	8	28	10	11.5	2	20	43.5	71.5	5	\$625,000
SBPCR-1000491	OB/GYN	11	11	8	30	10	9	2	20	41	71	5	\$625,000
SBPCR-1000512	FM	13	9	11	33	10	2	6	20	38	71	5	\$625,000
SBPCR-1000522	FM	10	8	8	26	10	13	0	20	43	69	4	\$500,000
SBPCR-1000545	FM	20	8.9	10.1	39	10	9.8	0	10	29.8	68.8	5	\$625,000
SBPCR-1000505	Peds	13	7	14	34	0	11	3	20	34	68	5	\$625,000
SBPCR-1000494	FM	16	7	7	30	10	6.5	1	20	37.5	67.5	3	\$375,000
SBPCR-1000535	FM	10	8	8	26	10	7.5	4	20	41.5	67.5	5	\$625,000
SBPCR-1000550	OB/GYN	13	5	7	25	10	12	0	20	42	67	4	\$500,000
SBPCR-1000478	FM	18	8.9	10.1	37	10	9.8	0	10	29.8	66.8	5	\$625,000
SBPCR-1000561	IM	8	9	9	26	10	9.8	0	20	39.8	65.8	5	\$625,000
SBPCR-1000571	FM	10	11	5	26	10	9.8	0	20	39.8	65.8	5	\$625,000
SBPCR-1000483	FM	15	9	8	32	10	13	0	10	33	65	5	\$625,000
SBPCR-1000496	Peds	16	5	8	29	10	6	0	20	36	65	2	\$250,000
SBPCR-1000514	IM	16	7	10	33	10	2	0	20	32	65	5	\$625,000
SBPCR-1000533	Peds	16	6	11	33	10	2	0	20	32	65	5	\$625,000
SBPCR-1000515	Peds	4	13	7	24	10	9.8	1	20	40.8	64.8	4	\$500,000
SBPCR-1000495	FM	14	10	8	32	10	12	0	10	32	64	5	\$625,000
SBPCR-1000540	IM	16	10	12	38	0	6	0	20	26	64	5	\$625,000
SBPCR-1000580	Peds	14	8.9	10.1	33	0	9.8	1	20	30.8	63.8	5	\$625,000
SBPCR-1000493	FM	11	11	8	30	10	7.5	6	10	33.5	63.5	5	\$625,000
SBPCR-1000531	FM	14	8.9	10.1	33	10	9.8	0	10	29.8	62.8	5	\$625,000
SBPCR-1000544	IM	16	5	8	29	10	3.5	0	20	33.5	62.5	5	\$625,000
SBPCR-1000569	IM	16	6	14	36	10	4.5	1	10	25.5	61.5	2	\$250,000
SBPCR-1000568	FM	17	9	10	36	10	13	1	0	24	60	5	\$625,000
SBPCR-1000528	FM	10	8	10	28	10	10.5	0	10	30.5	58.5	5	\$625,000
SBPCR-1000506	Peds	14	6	10	30	0	6	2	20	28	58	5	\$625,000
SBPCR-1000500	FM	9	8.9	10.1	28	10	9.8	0	10	29.8	57.8	5	\$625,000
SBPCR-1000570	FM	12	8	8	28	10	9.8	0	10	29.8	57.8	5	\$625,000
SBPCR-1000499	FM	13	9	10	32	10	13	2	0	25	57	2	\$250,000

				Exist	ing Primary	/ Care I	Resider	ncy Pro	gram ^{1,2}				
Application	Туре	Q1.1	Q1.2	Q1.3	Section 1 Score	Q2.1	Q2.2	Q2.3	Q2.4	Section 2 Score	Total Score	Slots Requested	Amount Requested
SBPCR-1000508	FM	10	8	8	26	10	9	1	10	30	56	3	\$375,000
SBPCR-1000557	OB/GYN	8	7	8	23	10	12	0	10	32	55	5	\$625,000
SBPCR-1000529	IM	16	8.9	10.1	35	10	9.8	0	0	19.8	54.8	5	\$625,000
SBPCR-1000567	IM	4	9	11	24	0	9.8	1	20	30.8	54.8	5	\$625,000
SBPCR-1000548	IM	16	6	8	30	0	3.5	0	20	23.5	53.5	5	\$625,000
SBPCR-1000510	FM	8	5	7	20	10	2	1	20	33	53	2	\$250,000
SBPCR-1000486	FM	12	8	8	28	10	12	0	0	22	50	5	\$625,000
SBPCR-1000565	FM	10	8.9	10.1	29	10	9.8	0	0	19.8	48.8	5	\$625,000
SBPCR-1000534	FM	6	5	5	16	10	9.8	0	10	29.8	45.8	5	\$625,000
SBPCR-1000498	FM	6	8.9	10.1	25	10	9.8	0	0	19.8	44.8	5	\$625,000
SBPCR-1000547	IM	6	8.9	10.1	25	10	9.8	0	0	19.8	44.8	5	\$625,000
SBPCR-1000559	OB/GYN	6	8.9	10.1	25	10	9.8	0	0	19.8	44.8	3	\$375,000
SBPCR-1000502	FM	4	8	5	17	10	13	2	0	25	42	5	\$625,000
SBPCR-1000527	FM	9	5	10	24	10	7.5	0	0	17.5	41.5	2	\$250,000
SBPCR-1000549	FM	6	8	8	22	10	2	0	0	12	34	5	\$625,000

¹ Existing Primary Care Residency Program: A program that is accredited by the Accreditation Council for Graduate Medical Education or the American Osteopathic Association and will enroll at least one class by July 1.

² Primary Care: Primary care refers to Family Medicine (FM), Internal Medicine (IM), Obstetrics and Gynecology (OB/GYN), and Pediatric (Peds) specialties.

Recommended Award Methodology:

- 1. Exclude programs with incomplete applications.
- 2. Assign average score for Sections 1.2, 1.3, 2.2, and 2.3 to programs with no data available.
- 3. Arrange Total Score from highest score to lowest score.
- 4. Award zero slots to programs with less than 50 percent of total maximum points.
- 5. Divide remaining number of applicants by five.
- 6. Award top quintile five slots.
- 7. Award second quintile three slots.
- 8. Award third quintile two.
- 9. Award fourth and fifth quintiles one slot until remaining slots are awarded.

Legend:

- Q1.1: Percent and number of clinical training sites in medically underserved areas.
- Q1.2: Percent and number of underrepresented minority graduates.
- Q1.3: Percent and number of graduates in medically underserved areas.
- Q2.1: Do your non-first year residents spend at least an average of eight hours per week at a primary care continuity clinic?
- Q2.2: Percent and number of graduates in primary care ambulatory care settings five years' post residency.
- Q2.3: Percent and number of underrepresented minority residents.
- Q2.4: Describe the training sites payer mix (up to three continuity clinics).

						New	Prin	nary	Care	e Re	side	ncy F	Prog	ram ¹	,2						
Application	Туре	Q 1.1	Q 1.2	Q 1.3	Section 1 Subtotal	Q 2.1	Q 2.2	Q 2.3	Q 2.4	Q 2.5	Q 2.6	Q 2.7	Q 2.8	Q 2.9	Q 2.10	Q 2.11	Q 2.12	Q 2.13	Section II Subtotal	Total Score	Amount Requested
SBPCR-1000576	FM	12	8.9	10.1	31	7	4.4	4.6	7.2	5.2	2.2	3	4.4	3.2	0	3	3	3	50.2	81.2	\$800,000
SBPCR-1000574	FM	17	8.9	10.1	36	6	3.6	3.4	5	1.2	2.6	2.8	3	1.6	3	3	3	3	41.2	77.2	\$800,000
SBPCR-1000577	IM	10	8.9	10.1	29	5.8	2.8	4.2	5.8	6	3.2	2.2	2.4	1.8	3	3	3	3	46.2	75.2	\$788,506
SBPCR-1000552	OB/GYN	8	8.9	10.1	27	5.4	4.6	3.8	8	5.6	2.2	2.6	4.2	1.8	0	3	3	2	46.2	73.2	\$800,000
SBPCR-1000539	FM	4	8.9	10.1	23	5.6	3.8	4.2	4.8	3.2	2.6	2.8	2.2	2	3	3	3	3	43.2	66.2	\$800,000
SBPCR-1000572	IM	8	8.9	10.1	27	5	1.4	1.6	2.2	3.4	2.2	2.4	2.4	1.8	0	2.4	3	1	28.8	55.8	\$800,000

¹ Primary Care: Primary care refers to Family Medicine (FM), Internal Medicine (IM), Obstetrics and Gynecology (OB/GYN), and Pediatric (Peds) specialties. ² New Program: A primary care residency program that will receive accreditation by the Accreditation Council for Graduate Medical Education or the American Osteopathic Association after July 1, 2016.

Recommended Award Methodology:

1. Exclude programs with incomplete applications.

2. Assign average score for Sections 1.2 and 1.3 to all new applicants with missing values.

3. Arrange Total Score from highest to lowest score.

4. Award zero dollar funding amount to programs with less than 50 percent of points.

5. Award funds requested (not to exceed \$800,000) to each new program that receives at least 50 percent of points until funding is gone. Fund programs with accreditation at time of application first.

Legend:

Q1.1: Percent and number of clinical training sites in medically underserved areas.

Q1.2: Percent and number of underrepresented minority graduates.

Q1.3: Percent and number of graduates in medically underserved areas.

Q2.1: What components of the trailing program are designed for medically underserved multi-cultural communities, lower socioeconomic neighborhoods or rural communities?

Q2.2: Describe the program's approach and associated activities used to encourage residents to practice in areas of unmet need.

Q2.3: Describe your plans to incorporate cultural competency and responsive care training into the program's curriculum and how it will further Song-Brown efforts of increasing the racial and ethnic diversity of California's healthcare workforce.

Q2.4: Explain the program strategies developed to identify, recruit, and admit residents, who possess characteristics, which would suggest a pre-disposition to practice in areas of unmet need.

Q2.5: How will your program encourage residents to help recruit and mentor underrepresented minorities and/or underrepresented groups?

Q2.6: Has the residency program provided adequate information as to the sustainability of the new residency program?

Q2.7: Describe what educational modalities you will integrate into the learning delivery model.

Q2.8: Describe the primary care pathways and/or pipeline activities your residents will participate in.

Q2.9: Explain how your program will structure training to encourage residents to practice as a health care team that includes inter-professional providers.

Q2.10: Will the program residents train side by side with FNP and/or PA students?

Q2.11: Explain how you will use practicing primary care physicians from the local community in the training program.

Q2.12: Explain how your program will promote training in ambulatory and community settings in underserved areas.

Q2.13: Does the program faculty possess the knowledge, skills, and experience to deliver a primary care curriculum with an emphasis on health care disparities?

			Teach	ning He	alth Cente	rs¹ Prin	nary Ca	re Res	idency	Programs ²			
Application	Туре	Q1.1	Q1.2	Q1.3	Section 1 Score	Q2.1	Q2.2	Q2.3	Q2.4	Section 2 Score	Total Score	Slots Requested	Amount Requested
SBPCR-1000492	FM	16	16	15	47	10	9.8	8	20	47.8	94.8	8	\$1,360,000
SBPCR-1000504	FM	18	9	16	43	10	9.8	1	20	40.8	83.8	4	\$680,000
SBPCR-1000521	FM	17	8.9	10.1	36	10	9.8	4	20	43.8	79.8	6	\$1,020,000
SBPCR-1000542	FM	15	8	15	38	10	9.8	2	20	41.8	79.8	6	\$1,020,000
SBPCR-1000584	FM	17	8.9	10.1	36	10	9.8	2	20	41.8	77.8	6	\$1,020,000
SBPCR-1000516	Peds	10	11	13	34	10	9.5	3	20	42.5	76.5	12	\$2,040,000
SBPCR-1000583	IM	14	8.9	10.1	33	10	9.8	1.6	20	41.4	74.4	6	\$1,020,000
SBPCR-1000517	FM	11	9	8	28	10	11.5	2	20	43.5	71.5	7	\$1,190,000
SBPCR-1000522	FM	10	8	8	26	10	13	0	20	43	69	12	\$2,040,000
SBPCR-1000494	FM	16	7	7	30	10	6.5	1	20	37.5	67.5	3	\$510,000

¹ Teaching Health Center: A community-based ambulatory patient care center, operating a primary care residency program. Community-based ambulatory patient care settings include, but are not limited to, federally qualified health centers, community mental health centers, rural health clinics, health centers operated by the Indian Health Service, an Indian tribe or tribal organization, or an urban Indian organization, and entities receiving funds under Title X of the federal Public Health Service Act (Public Law 91-572). Health and Safety Code Section 128205 subdivision (h)

² Primary Care: Primary care refers to Family Medicine (FM), Internal Medicine (IM), Obstetrics and Gynecology (OB/GYN), and Pediatric (Peds) specialties.

Recommended Award Methodology:

1. Exclude programs with incomplete applications.

2. Assign average score for Sections 1.2, 1.3, 2.2, and 2.3 to programs with no data available.

3. Arrange Total Score from highest score to lowest score.

4. Award \$170,000 per filled first year slot (based on number of slots approved by the accrediting body).

Legend:

Q1.1: Percent and number of clinical training sites in medically underserved areas.

Q1.2: Percent and number of underrepresented minority graduates.

Q1.3: Percent and number of graduates in medically underserved areas.

Q2.1: Do your non-first year residents spend at least an average of eight hours per week at a primary care continuity clinic?

Q2.2: Percent and number of graduates in primary care ambulatory care settings five years' post residency.

Q2.3: Percent and number of underrepresented minority residents.

Q2.4: Describe the training sites payer mix (up to three continuity clinics).

		New	Prima	y Care	Residency	/ Slots	for Exis	ting Pro	grams	(Expansio	n) ^{1,2,3}		
Application	Туре	Q1.1	Q1.2	Q1.3	Section 1 Score	Q2.1	Q2.2	Q2.3	Q2.4	Section 2 Score	Total Score	Slots Requested	Amount Requested
SBPCR-1000492	FM	16	16	15	47	10	9.8	8	20	47.8	94.8	2	\$600,000
SBPCR-1000488	FM	16	10	10	36	10	12	0	20	42	78	2	\$600,000
SBPCR-1000551	IM	16	5	13	34	10	13	0	20	43	77	2	\$600,000
SBPCR-1000522	FM	10	8	8	26	10	13	0	20	43	69	3	\$900,000
SBPCR-1000550	OB/GYN	13	5	7	25	10	12	0	20	42	67	1	\$300,000
SBPCR-1000528	FM	10	8	10	28	10	10.5	0	10	30.5	58.5	3	\$900,000
SBPCR-1000567	IM	4	9	11	24	0	9.8	1	20	30.8	54.8	1	\$300,000

¹ Existing Primary Care Residency Program: A program that is accredited by the Accreditation Council for Graduate Medical Education or the American Osteopathic Association and will enroll at least one class by July 1.

² New Primary Care Residency Slots for Existing Programs (Expansion): A permanent increase in the number of Accreditation Council on Graduate Medical Education or American Osteopathic Association1 approved primary care residency slots for an existing primary care program as evidenced by a letter from the appropriate accrediting body.

³ Primary Care: Primary care refers to Family Medicine (FM), Internal Medicine (IM), Obstetrics and Gynecology (OB/GYN), and Pediatric (Peds) specialties.

Recommended Award Methodology:

- 1. Exclude programs with incomplete applications.
- 2. Assign average score for Sections 1.2, 1.3, 2.2, and 2.3 to programs with no data available.
- 3. Arrange Total Score from highest score to lowest score.
- 4. Award zero slots to programs with less than 50 percent of total maximum points.
- 5. Award \$300,000 per expansion slot requested (based on number of slots approved by the accrediting body).

Legend:

- Q1.1: Percent and number of clinical training sites in medically underserved areas.
- Q1.2: Percent and number of underrepresented minority graduates.
- Q1.3: Percent and number of graduates in medically underserved areas.
- Q2.1: Do your non-first year residents spend at least an average of eight hours per week at a primary care continuity clinic?
- Q2.2: Percent and number of graduates in primary care ambulatory care settings five years' post residency.
- Q2.3: Percent and number of underrepresented minority residents.
- Q2.4: Describe the training sites payer mix (up to three continuity clinics).