Agenda IV: Overview of Data Access and Release

Sandra Bannerman, Research Data Manager, HCAI



Provisions in Enabling HPD Statute

- Develop a comprehensive program for data use, access, and release including [127673.82(a)]:
 - A Data Release Committee to advise HCAI [HSC 127673.84].
 - Access to data in a secure research environment [HSC 127673.82(d)].
 - Transfer of data files to qualified applicants [HSC 127673.83(c)].
- Protect privacy, security, and confidentiality of consumers' individually identifiable health care information [HSC 127673.81 (b)].
- Prohibition of use of data for individual patient care or treatment, or individual coverage or eligibility decisions, or similar purposes [HSC 127673.81(d)].



Provisions in Enabling HPD Statute, cont.

- Access to HPD data by researchers and other qualified applicants is necessary to meet program research and policy goals.
- HCAI must establish a secure environment to access HPD data with controls to prevent unapproved access and inappropriate use.
- With the advice of the advisory committee and data release committee, HCAI will develop criteria, policies, and procedures for access to and release of HPD data.

Health & Safety Code Section 127673.82



HPD Legislative Intent

- Collect information regarding health care costs, utilization, quality, and equity to inform policy decisions.
- Provide public benefit for Californians and the state while preserving consumer privacy.
- Improve data transparency to achieve a sustainable health care system with more equitable access to affordable and quality health care for all.
- Use data to develop innovative approaches to deliver health care that is cost effective and responsive to needs of enrollees.

Health & Safety Code Section 127671



HPD Data Access & Release Objectives

1. Protect Patient Privacy

- 2. Support Program Goals
 - 3. Ensure appropriate data users, uses, methodologies, and compliance with all requirements
 - 4. Provide timely data access to qualified applicants and make decisions in a consistent manner
 - 5. Enable & support diverse users, representing multiple audiences & levels of expertise
- 6. Complement the HPD public reporting program
- 7. Support sustainability through user fees that balance program support and affordability



Considerations for DRC in Making Recommendations

Statute requires consideration of:

- Whether and to what extent the data use will contribute to program goals:
 - For requests that do not include direct personal identifiers, whether the use of the data is "consistent with the goals"
 - For requests that include direct personal identifiers, the bar is higher: access may only be provided for research projects that offer "significant opportunities to achieve program goals"
- Whether the use of the data provides **greater transparency** regarding health care costs, utilization, quality, or equity, or
- How the information may be used to **inform policy decisions** regarding the provision of quality health care, improving public health, reducing health disparities, advancing health coverage, or reducing health care costs

HSC Section <u>127673.83</u> b(2), <u>127673.84</u>(d)(2)

