



SUBJECT

Compliance Plan Requirements for Participants in the Small and Rural Hospital Relief Program

PIN: 71

Effective: 06/01/2022



PURPOSE

The Small and Rural Hospital Relief Program (SB 395, Caballero, Statutes of 2021) is established in state law to provide grants to qualifying facilities for the purpose of advancing a hospital's effort to bring its buildings into compliance with Health and Safety Code (HSC) §130065. The program is administered by HCAI's Cal-Mortgage Loan Insurance Program with assistance from the Facilities Development Division. The purpose of the Small and Rural Hospital Relief Program is to support and enhance the effort of qualifying facilities to preserve access to general acute care for the communities they serve through provision of state grant funding and technical assistance for building seismic safety and resiliency.

The purpose of this Policy Intent Notice (PIN) is to describe content and process requirements for a revised HCAI seismic compliance plan that meets the requirements of the Small and Rural Hospital Relief Program for admission and eligibility for subsequent grant applications.

BACKGROUND

The Small and Rural Hospital Relief Program was established and funded to provide grant funding to advance compliance with state seismic safety requirements for facilities not in full conformance with HSC §130065 that meet at least one of the eligibility criteria:

- a. A small hospital with fewer than 50 licensed general acute care beds.
- b. A hospital that is designated as Rural or Frontier by the U.S. Centers for Medicare and Medicaid Services (CMS).
- c. A hospital designated as a Critical Access Hospital (CAH) by CMS.

Participating hospitals with qualifying facilities will be eligible to seek funding for the following phases of seismic improvement project development and delivery:

- Pre-design services, including building structural evaluations, as provided in the California Administrative Code, California Code of Regulations, Title 24, Part 1, Chapter 6, Article 2. This phase also includes all aspects of discovery of existing conditions including Materials Testing and Condition Assessment Program (MTCAP) and Results (MTCAR).
- Design phase activity including design, project budget development, plan review, and permitting.
- Construction phase activity.

POLICY

The Small and Rural Hospital Relief Program is administered by HCAI’s Cal-Mortgage Loan Insurance Division (CM) with support from the Facilities Development Division (FDD). Participation in the program requires a current compliance plan accepted by the FDD Seismic Compliance Unit. The contents of a revised compliance plan as required for admission into the program are simplified with fewer requirements than those specified in the California Administrative Code, California Code of Regulations, Title 24, Part 1, Chapter 6, Article 1, Section 1.4.

PROCEDURE

A hospital requesting participation in the Small and Rural Hospital Relief Program must have an approved compliance plan on file with FDD that is three years old or less prior to eligibility for a state grant. For program purposes, each participating hospital will submit a compliance plan with the following information:

- a) Facility name and five-digit identification number.
- b) List of all hospital buildings in use at the facility for general acute care that are not in full compliance with HSC §130065 with an inventory of General Acute Care (GAC) services in each affected building.
 - i. *Search for your facility’s site plan, building inventory and seismic performance ratings at the [HCAI Facility Detail](#) page.*
 - ii. *Appendix A provides an optional template for identifying service locations.*
- c) Proposed Method of Compliance:
 - i. Retrofit – modify the building in a manner that brings the building up to a performance rating of SPC-4D or SPC-5 and NPC-5.
 - ii. Replace – relocate general acute care service to an existing conforming building.
 - iii. Rebuild – relocate general acute care service to a new SPC-5/NPC-5 building.
- d) If retrofit is the proposed method of compliance, describe the method of improvement for each affected building’s structural (SPC) and non-structural (NPC) performance rating.
- e) Compliance program schedule.
- f) Listing of approved HCAI project numbers for building evaluations, materials testing, and compliance projects related to the improvement plan description.

Compliance plans are submitted to FDD’s Seismic Compliance Unit by submitting a no-fee project application using the [eServices Portal system \(eSP\)](#) to create a new project. Select the option marked “New Compliance Plan” and complete the application as required. Use the upload feature to attach required documents described above.

<u>Original signed</u>	<u>06/01/2022</u>
Chris Tokas	Date

APPENDIX A

BUILDING SERVICE INVENTORY

Identify Impacted Services in Affected Buildings

Facility Name _____

Facility ID Number _____

List of Services	Building Number(s)	Notes
Basic		
Medical/Surgical Units		
Surgical Services		
Anesthesia/Recovery		
Clinical Laboratory		
Radiological		
Pharmaceutical		
Dietetic		
Support Services		
Storage		
Morgue		
Administrative Space		
Central Sterile Supply		
Employee Dressing Rooms and Lockers		
Laundry		
Supplemental		
Emergency		
Intensive/Critical Care		
Perinatal Services		
Therapy/Rehab		
Dialysis		
Outpatient Services		
Others: (please list)		