



Health Care Payments Data Program Submitter Group

April 14, 2022

Welcome & Key Program Updates

Starla Ledbetter, Chief Data Officer, HCAI

Today's Agenda

- Welcome and Key Program Updates
- Registration and Data Collection
- April Spotlight: Test Cases for Submitters
- Program Next Steps
- Adjournment

Registration and Data Collection Overview

Gina Robertson, HPD Data Submitter Liaison, Onpoint

Plan Registration

- Opened 3/7/2022, with notifications sent to qualifying plans
- Plans deemed “qualifying” based on [California Code of Regulations](#)
- [Webinar](#) held on 2/24/2022 outlining plan registration requirements
- “Payer Code” assigned as part of plan registration process

(m) “Plan” means a non-exempt health plan, health insurer, or public self-insured plan; and any voluntarily participating entity.

Submitter Registration

- Opened 4/4/2022, with notifications sent to submitters
- A submitter can report on-behalf of multiple plans within the same set of submissions (e.g., eligibility, medical, pharmacy, provider)
- Access to portal not provided until submitter registration approved

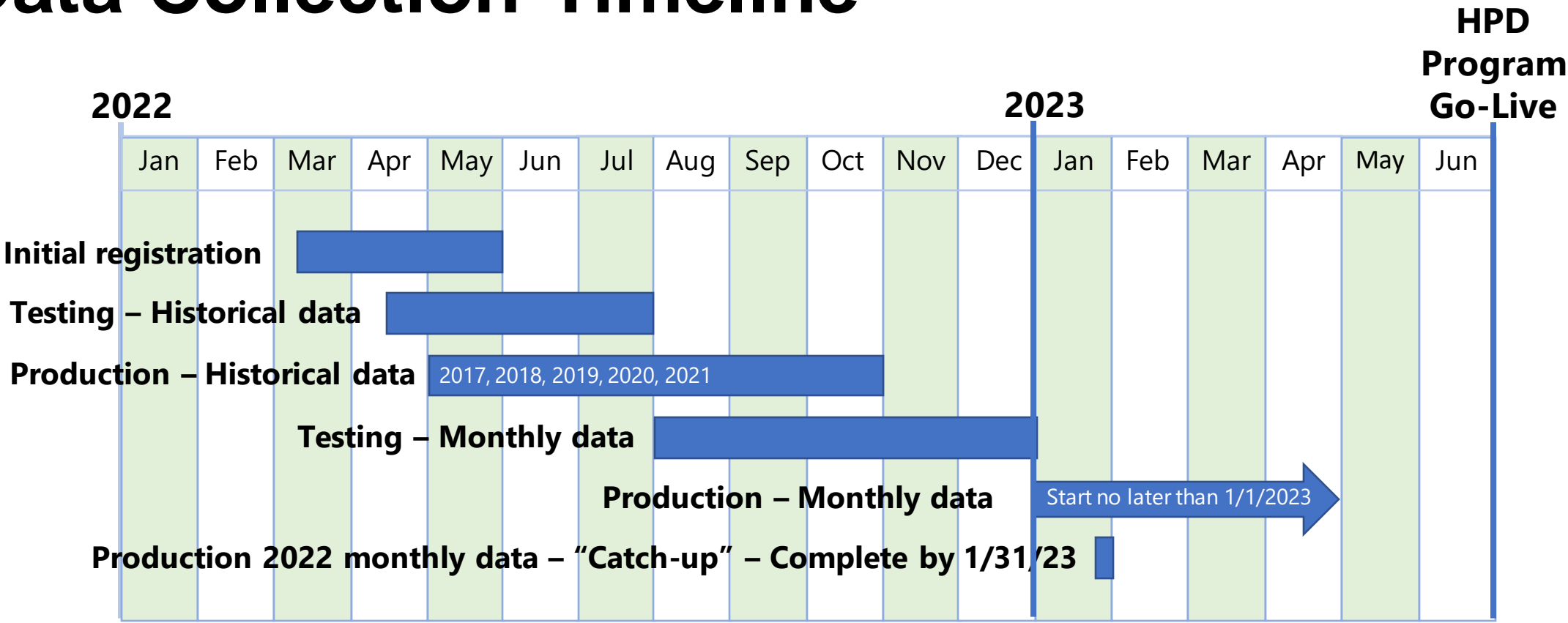
2.2 Submitter Registration

Each entity who will submit data to HPD must register via the data portal. Plans who will submit data themselves (without any delegation) must also register as a submitter.

Registration Resources/Documentation

- [HPD Program Regulations](#)
 - Defines mandatory plans and submitters
 - Outlines registration requirements and timeline
- [HPD Program Data Submission Guide](#)
 - Details registration requirements
- [HPD Program Reporting Manual](#)
 - Provides plan and submitter registration examples

Data Collection Timeline



Prerequisites for Submitting Data

- Completed and approved submitter registration(s)
- Plan and submitter codes received and incorporated into submissions
- Successful sign-in to the claims data manager (CDM)
- Public PGP key sent to Onpoint for data encryption
- If submitter will be sending data via SFTP:
 - Public SSH key sent to Onpoint for SFTP connectivity
 - Confirmation from Onpoint of SFTP account creation

Testing: Historical Data

- Can begin as soon as prerequisites are complete
- Due July 29, 2022
- Recommended testing approach:
 - First confirm PGP encryption/SFTP connectivity
 - Then submit test files with a month or quarter of real production data per file type
- Submitters will work in the CDM to track submission status and collaborate with Onpoint/HCAI to review data quality validations

Historical Data – Production Submission

- “Historical” covers data for June 29, 2017 – December 31, 2021
- Due October 28, 2022
- Can be submitted in timespan of choice (month, quarter, year)
- If test file holds real historical data, Onpoint can transition test file into a “production” file for the historical period

Catch-up and Monthly Production Data

- “Catch-up” covers January 1, 2022 – October 31, 2022
- “Monthly Production” begins with November 2022 data (and onward)
- Ongoing production files...
 - Must be submitted in monthly increments
 - Are due by the first business day of the second month after the report month (e.g., January data due by first business day in March)

Reaching Onpoint for Support

- Team of experienced Data Operations Analysts
- Support will always be one click, phone call, or email away
- HPD technical support: hpd-support@onpointhealthdata.org

Submitter Testing

Greg Dawson, HPD Consultant

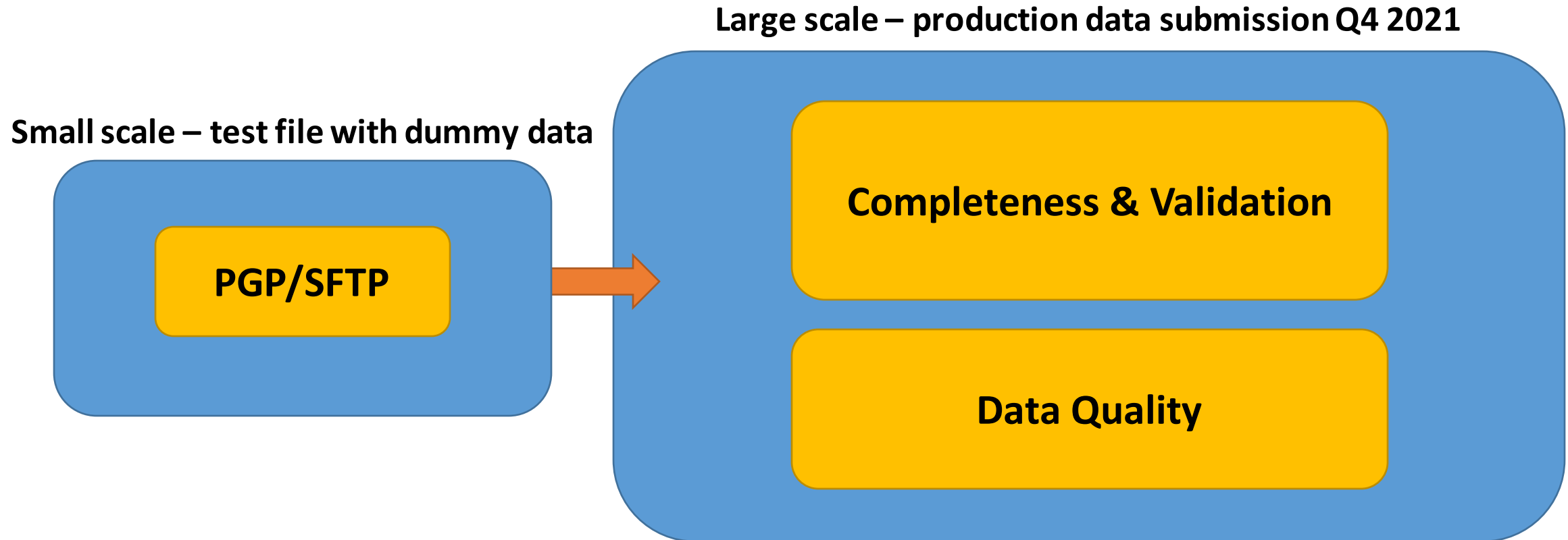
HPD Testing – Historical Data

- Testing of historical data opens 04/07/2022, through 07/29/2022
- Submitter-led process, go at your own pace
- Pre-requisites:
 - Relevant plan and submitter registrations completed
 - Public PGP key sent to Onpoint
 - If using SFTP, account is set-up with Onpoint and connectivity confirmed
- Support at hpd-support@onpointhealthdata.org

Testing Process

- PGP encryption/SFTP Connectivity
- File formatting
- Data element completeness and validation
- Data quality, understanding data values and data variances
- **Goals:**
 - 1. Submission of high-quality data (Submitter)**
 - 2. Full understanding of data quality and variances (HCAI)**
 - 3. Ready for production submission**

Testing Process Goals



PGP Encryption and SFTP Connectivity

- Each submitter should engage with HPD Support as soon as Submitter Registration has been completed – please refer to the Training Session on this subject
 - Training materials are available
 - Training session recording available upon request
 - All files must be encrypted whether using SFTP or not
- Onpoint has a test file available to test encryption

Goal: Validate the ability to encrypt and submit a test file

Completeness and Validation

- Once encryption and connectivity have been verified, HPD Support recommends submitting a test file for each file type covering a late 2021 reporting period using production data.
- Submitters need to validate specific formatting and validation edits per the DSG, such as required and situationally required fields, national coding standards, and standard field values – see the Reporting Manual for references

Goal: No issues with file formatting or data element values, all mapping issues resolved

Understanding Data Values and Variances

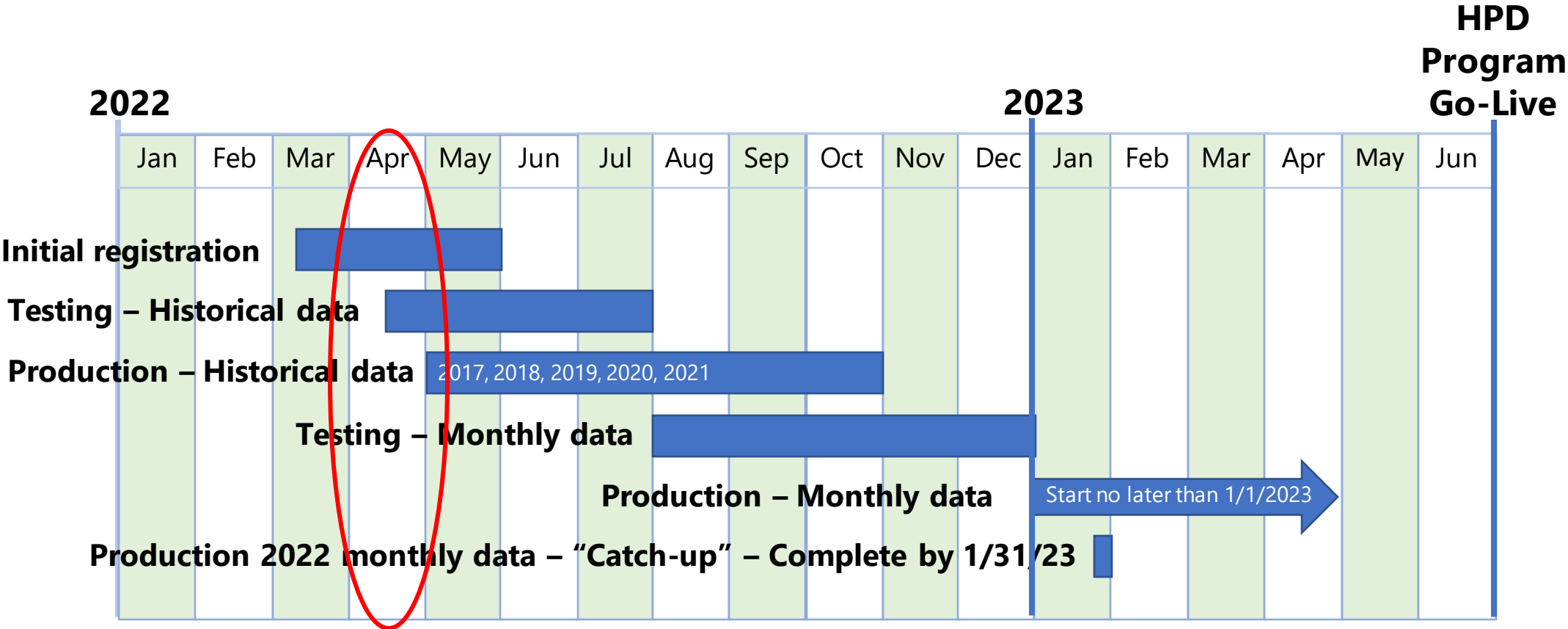
- Ultimately, HPD Support recommends submission of the latest historical data to properly evaluate available data values and start outlining any data variances that may be required
 - This is particularly important for submitters sending data for more than one payer code
- Only by evaluating a quarter of data will the submitter and HPD Support be able to truly evaluate data completeness and any data variances that need to be put in place
- Start with the most recent data (Q4 2021) to create a good baseline and move to older, potentially more challenging files as testing progresses

Goal: Ready for production submission of historical data

Program Next Steps-Timelines and FAQs

*Dionne Evans-Dean,
Cost Transparency Section Manager, HPD Program*

Implementation and Submission Timeline



Submitter Resources and FAQs

- [HPD Submitter Webpage](#)

Health Care Payments Data Program Submitters

DATA & REPORTSFEATUREDTOPICS A-Z CONTENTDATASETSREQUEST DATASUBMIT DATA

1. Overview

2. Submitter Group

3. Upcoming Meetings

A. April 14, 2022

4. Meeting Archive

A. January 13, 2022

B. October 14, 2021

C. July 15, 2021

5. Submitter Resources

6. Submitter FAQ's

7. APCD-CDL™ FAQ's

8. Contact

Submitter Resources

- [HPD Regulations](#), [Data Submission Guide](#), and [Reporting Manual](#) .
- Training webinar presentation slides and records available:
 - Introduction to APCD-CDL and Data Submission
 - SFTP & PGP Encryption
 - Plan Registration
 - Submitter Registration
 - Onpoint CDM (Data Submission Portal), Validations, and Variances.

Top Submitter FAQs

- **Who will be required to submit data to HPD?**
 - Commercial plans licensed with the Department of Managed Health Care (DMHC) and the California Department of Insurance (CDI), who have greater than the threshold of covered lives (40,000).
 - Public self-insured entities who have greater than the threshold of covered lives.
 - Qualified Health Plans offered by the California Health Benefit Exchange (Covered CA) is a mandatory submitter regardless of the threshold.
 - Please refer to [California Code of Regulation Sections 97310 and 97314](#).

Top Submitter FAQs (continued)

- **How often will submitters need to provide data?**
 - Ongoing data submission will be on a monthly basis.
 - Submitters will also provide historical data (June 29, 2017 through December 2021), and production 2022 monthly files (catch-up files) as part of initial HPD data collection.

Top Submitter FAQs (continued)

- **Do we need to include Dental ONLY membership in the Member Eligibility file?**
 - Submission of dental claims data is not required until the 2024 timeframe.
 - Dental services submitted on an 837 P/I that are covered under a member's medical benefits are expected and should be reported within the medical claim file. These types of claims include services that are deemed “medically necessary” and would not include data elements such as tooth number or tooth surface, with CDT codes reported in the procedure code field (CDLMC088).
 - Please refer to the HPD [Reporting Manual](#) regarding submitting dental data.

Top Submitter FAQs (continued)

- **When are test and production submissions scheduled to occur?**
 - For medical claims and encounters, pharmacy, eligibility, and provider files:
 - Testing for historical data submission is planned to start in Q2 2022 with testing to be completed by July 29, 2022.
 - Testing for monthly data submission is planned to start in Q3 2022.
 - Monthly production submissions are expected to begin no later than January 2023.

Top APCD-CDL™ FAQs

- **What is my payer code (data element codes CDLHD003 and CDLTR003)?**
 - Payer Codes will be supplied upon registering to submit data to the HPD program.
 - A list of approved Payer Codes will be provided to submitters prior to reporting data to the HPD.
 - Submitters must include the Payer Code as part of each reported submission. However, if a submitter reports data on behalf of more than one plan, they should leave the Payer Code in the Header and Trailer records of each file null.

Top APCD-CDL™ FAQs (continued)

- **What is my submitter code (data elements CDLHD002 and CDLTR002)?**
 - The submitter code will be assigned to each submitting organization as part of the Submitter Registration process.
 - The same submitting entity may report data under more than one Submitter Code if a submitting entity needs to report claims adjudicated under different methods/systems in separate or if a submitting entity finds that mapping separate source systems per file type is easier to do so under separate mappings (e.g., one Submitter Code provided for mapping HMO data, another Submitter Code provided for mapping PPO data, etc.).

Top APCD-CDL™ FAQs (continued)

- **What reporting period (data elements CDLME005 and CDLME006) should I be using to submit my files?**
 - Historical files may be submitted using the timespan preferred by the submitter. After all historical data are submitted, submitters will be sending files monthly as part of their regular reporting.
 - Submitters should be using the following dates to report each file type:
 - Eligibility: enrollment start and end dates (earliest reported for the Period Beginning Date and reported for the Period End Date)
 - Medical: paid date (earliest reported for the Period Beginning Date and latest reported for the Period End Date)
 - Pharmacy: paid date (earliest reported for the Period Beginning Date and latest reported for the Period End Date)
 - Provider: Period Beginning Date and Period End date should align with data reported for eligibility and claims files.

Top APCD-CDL™ FAQs (continued)

- **How do I report a member's enrollment properly in my organization's eligibility file (data elements CDLME005 and CDLME006)?**
 - Enrollment coverage should be reported using the “Start Year of Submission” (CDLME005) and the ‘Start Month of Submission’ (CDLME006) fields in the eligibility file.
 - The “Start Year of Submission” corresponds to the year for the month of enrollment that is being reported per member. Each row in the eligibility file should report a per-member-per-month record of enrollment.
 - The “Start Month of Submission” corresponds to the month of enrollment that is being reported per member.

Top APCD-CDL™ FAQs (continued)

- **Do I just need to submit the data specified in the Data Submission Guide?**
 - The Data Submission Guide (DSG) is based upon the APCD-CDL™ specifications.
 - The DSG only includes those data elements that HPD have designated as Required or Situational, and submitters are reminded to include all fields per the APCD-CDL™ specifications.
 - APCD-CDL™ data elements not identified in the DSG as either Required or Situational must still be populated as data is available.
 - Please refer to [California Code of Regulations Section 97344.](#)

Who do I contact for assistance?

- hpdpd@hcai.ca.gov: regulation or statute Interpretation, to subscribe to HPD's mailing list, program implementation questions.
- hpdpd-support@onpointhealthdata.org: registration, data submission, technical data file specifications or mapping questions.

Adjournment