

# Seismic Compliance Plan and Delays Beyond the 2030 Deadline

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*March 4<sup>th</sup>, 2025*

# Recent / Upcoming HCAI Seismic Webinars

- February 20, 2025 - Seismic Grant: Small and Rural Hospital Relief Program
- March 4, 2025 - Seismic compliance plan and delays beyond the 2030 deadline
- March 18, 2025 - NPC compliance
- March 27, 2025 - Water rationing plan
- April 2, 2025 - SPC compliance

# Outline

- Summary
- HCAI's Efforts to Implement AB 869
- PIN 80 - Seismic Compliance Plan, and AB 869 Delays Beyond 2030 Deadline.
- Flow Charts – the whole process
- Seismic Compliance Plan Application
- Seismic Compliance Delay (AB 869) Application

# What is the overall summary?

- Seismic Compliance Plan Application (new interface, old requirement)
  - Two brief tables that identifies seismic scope and schedule to achieve compliance
  - Required for ALL hospitals, deadline to submit 1/1/2026.
- Delay application (AB 869)
  - A final compliance date between 1/1/2030 and 1/1/2033 (matching Seismic Compliance Plan application final date on the schedule)
  - Verifies eligibility with required documentation
  - Only eligible hospitals can apply, deadline to submit 1/1/2026

**Submit a seismic compliance plan & a delay application by 1/1/2026.**

HSC Section	AB 869 High-Level Summary
130065.1(b)	Authorizes an up to 3-year delay of the 2030 seismic compliance deadline for a <b>Distressed Hospital Loan Program Recipient</b> , a <b>small hospital</b> , a <b>rural hospital</b> , a <b>critical access hospital</b> or a health care <b>district hospital</b> (with some exceptions) and subject to securing HCAI approval of a seismic compliance plan and if necessary, an NPC-5 evaluation report.
130065.1(d)(1)	To secure a delay, if necessary, the hospital must have submitted the <b>NPC-5 evaluation report</b> no latter than January 1, 2025, for each noncompliant building.
130065.1(d)(2)	To secure a delay, the hospital must submit a <b>seismic compliance plan</b> no later than 1/1/26 with steps (and milestones) to achieve compliance at the earliest reasonable date, but no later than 1/1/33. HCAI must approve or deny the compliance plan within 120 days. If denied, hospitals can remedy the deficiencies.
130065.1(d)(3)	Hospital and HCAI-identified <b>milestones</b> will be used as the basis for determining whether the hospital is making adequate progress toward the compliance deadline.
130065.1(e)	HCAI has the <b>discretion</b> to delay compliance with seismic safety standards for an <b>additional 2-years</b> for eligible hospitals that continue to experience financial distress or for circumstances beyond their control.
130065.1(g)&(i)	If a hospital misses a milestone or the deadline, HCAI has the authority to assess <b>fin</b> es and <b>delay issuing permits</b> for non-seismic related construction.

# HCAI's Efforts to Implement AB 869

- Upon chaptering, HCAI began to develop regulations to implement AB 869.
- Regulations issued for public comment in early November as Part of the Triennial Code Cycle update to the 2025 California Building Standards Code.
- Proposed changes to the administrative code reviewed during the December Hospital Building Safety Board meeting.
- HCAI sent letters to potential eligible facilities (about 130 facilities) for awareness.

# HCAI's Efforts to Implement AB 869

- The California Building Standards Commission gave final approval (February).
- Final Express Terms were filed with the Secretary of State on February 27, 2025
- Regulations will become effective (30-days after filing) March 29, 2025.
- **HCAI PIN 80** published March 4, 2025 outlining implementation.
- Streamlined seismic compliance plan application opened
- New seismic delay application opened

# PIN 80 - Seismic Compliance Plan, and AB 869 Delays Beyond 2030 Deadline

- PIN 80 outlines the implementation of the regulations for seismic compliance delay as required by Assembly Bill 869.
- A streamlined seismic compliance plan application has been introduced in PIN 80.
- The rollout of this automated seismic compliance plan is done in conjunction with the AB 869 delay application, since the delay to the 2030 deadline requires close monitoring of the seismic compliance progress.
- Tracking of progress is now centralized through the seismic compliance plan application.



# PIN 80 - Policy

- All general acute care facilities shall submit a compliance plan by January 1, 2026 (2025 California Administrative Code Chapter 6, Section 1.4.5)
- Hospitals seeking a delay shall **submit a seismic compliance plan and a delay application to HCAI no later than January 1, 2026.**
- The 2030 compliant facilities (all general acute care buildings in a facility having SPC 3/4/4D/5 and NPC 5 ratings) are not required to submit a compliance plan application.
- If a delay is approved, the additional time is valid for the entire facility provided that the approved schedule for each building is reasonably achieved over the course of the compliance plan timeline.

# Definitions

- **Small Hospital** – A facility with 50 or fewer licensed beds. Bed count is the total number of licensed beds, not general acute care specific beds.
- **Rural Hospital** – Means a “rural general acute care hospital” as set forth in subdivision (a) of Section 1250 of the Health and Safety Code or a hospital located in a rural or frontier medical study service area (MSSA), as defined by the California Healthcare Workforce Policy Commission.
- **Health Care District Hospital** – A hospital authorized pursuant to Division 23 of the Health and Safety Code.
- **Distressed Hospital Loan Program (DHLP) Recipient** – A hospital that received a loan pursuant to Chapter 4 (commencing with Section 129380) of Part 6 of Division 107 of the Health and Safety Code. This may also include a future program recipient, should the Legislature appropriate additional state funding to the program and extend the date identified in Section 129387.
- **Critical Access Hospital** – A hospital designated by the State Department of Public Health as a critical access hospital and certified as such by the Secretary of the United States Department of Health and Human Services under the federal Medicare Rural Hospital Flexibility Program.

# Check Facility Status

- There are several ways to check facility types individually on HCAI website. However, to see the entire list please visit [Report Center – HCAI](http://report.hcai.ca.gov) [report.hcai.ca.gov]
  - Choose **Office of Statewide Hospital Planning and Development & AB 869 Eligibility Summary** list and press “Go” [Wait 5-10 seconds to generate]

## HCAI Report Center

Select Facility(s) **10006 - Orchard Hospital, 10009 -**

Select One From Below: **All**

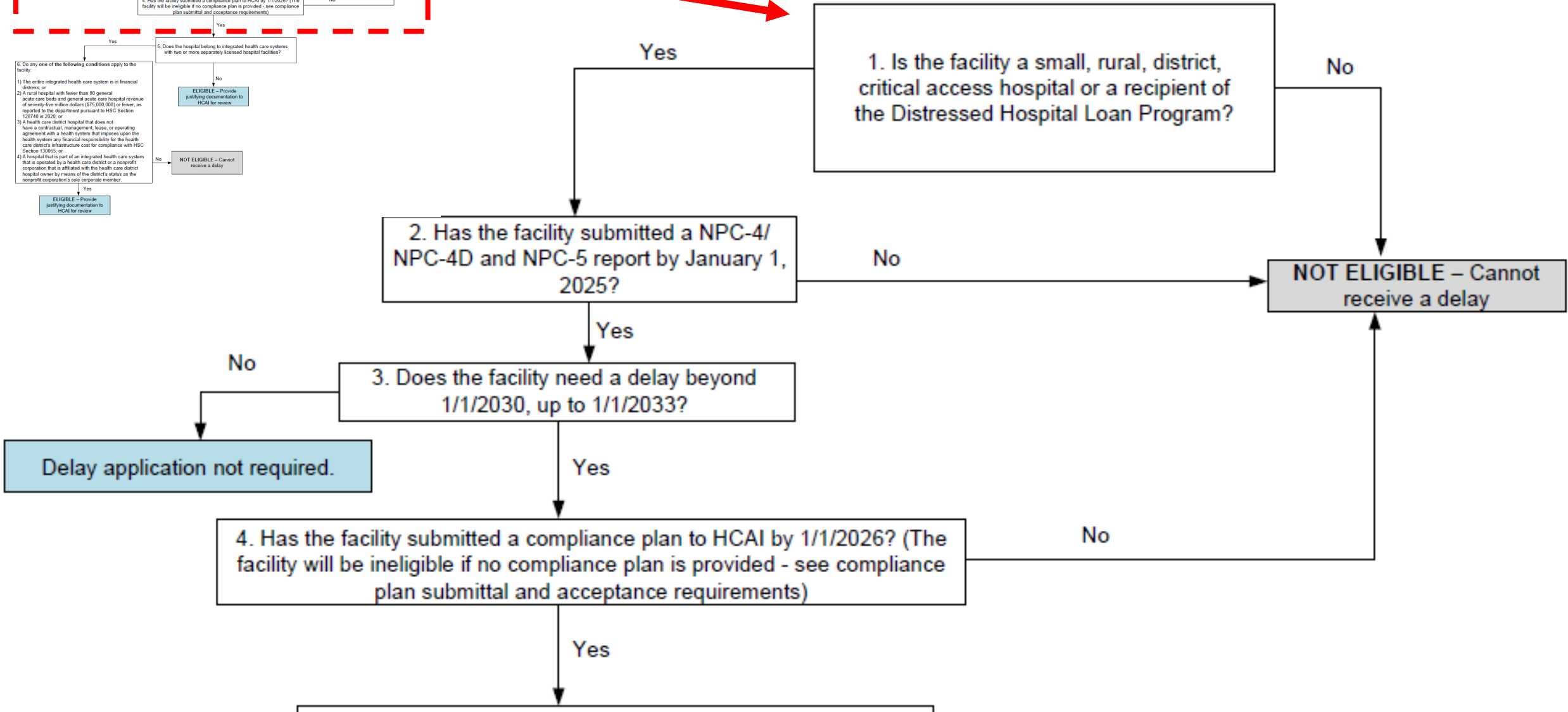
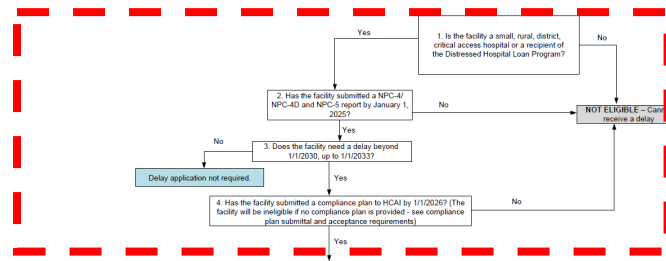
Navigation bar with icons for back, forward, refresh, search, and print. Includes a search box with 'Find | Next' and a '100%' zoom level.

**AB 869 Eligibility Summary**

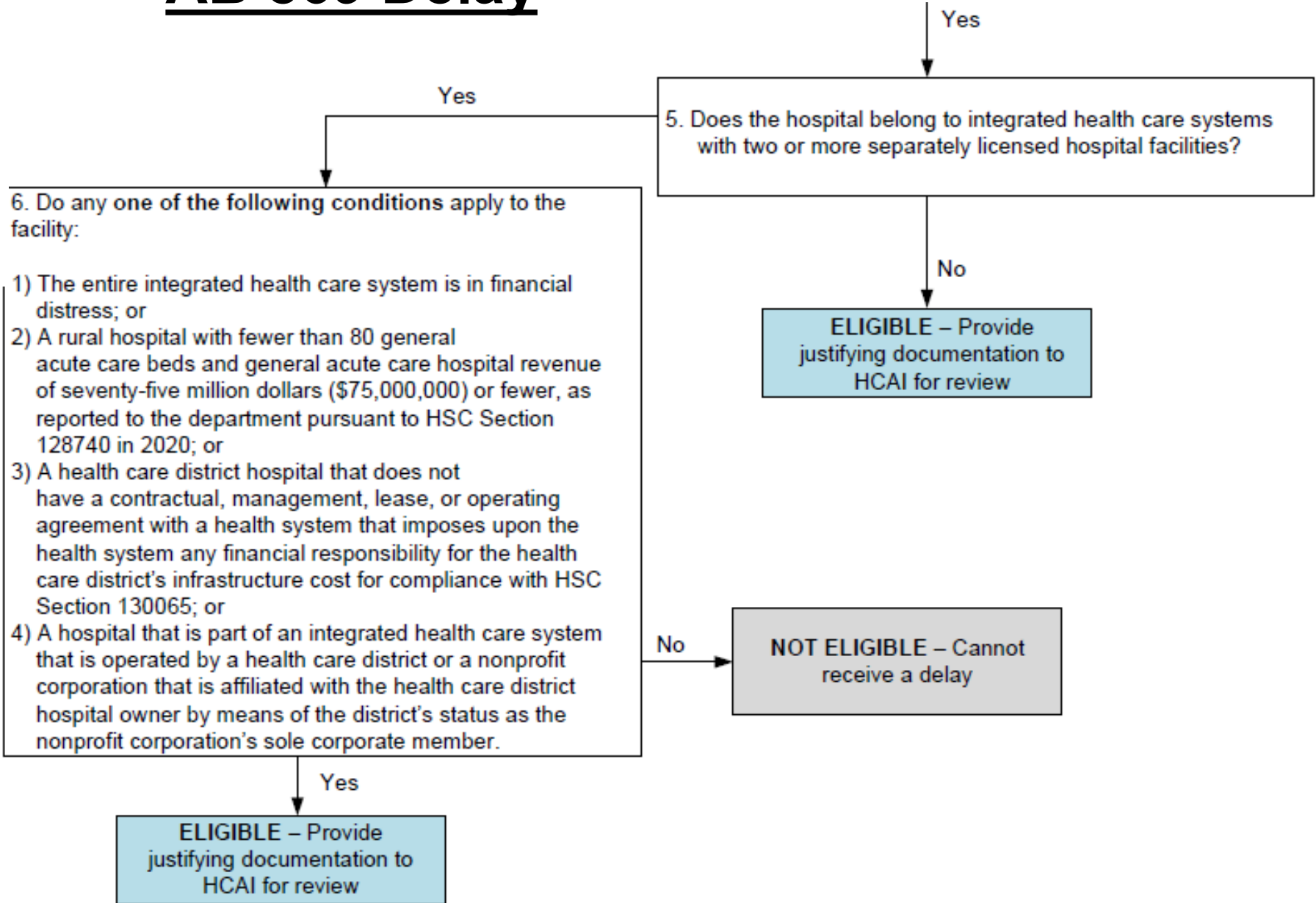
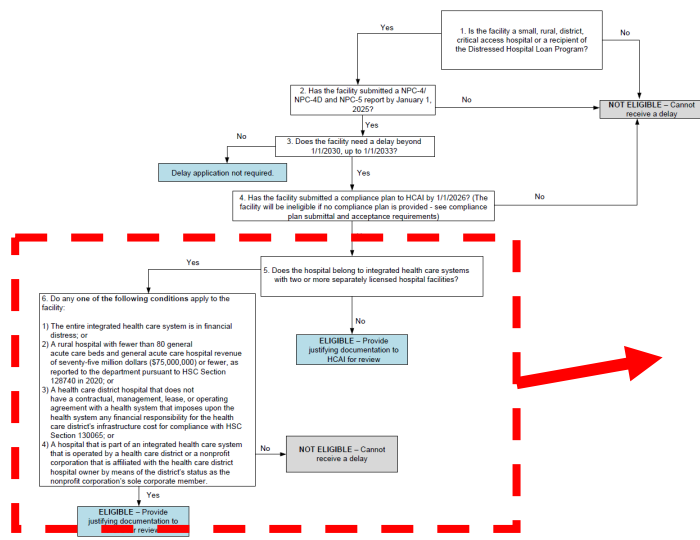
HSC - Health & Safety Code  
 MSSA - Medical Service Study Areas  
 DHLP - Distressed Hospital Loan Program

Facility	County Code	MSSA Rural Frontier	Rural 80 Beds under 75 Million	Beds 50 or Less	HSC 1250 Rural	DHLP	Compliant 2030	NPC Submittal	District
10019 - Oroville Hospital	04 - Butte	Yes						Submitted	
10029 - Mark Twain Medical Center	05 - Calaveras	Yes	Yes	Small	Yes			Submitted	District
10032 - Colusa Medical Center	06 - Colusa	Yes	Yes	Small	Yes			Not Submitted	
10109 - Barton Memorial Hospital	09 - El Dorado	Yes			Yes			Submitted	
10112 - Marshall Medical Center	09 - El Dorado	Yes			Yes			Submitted	

# Flow Chart - Eligibility Criteria for AB 869 Delay



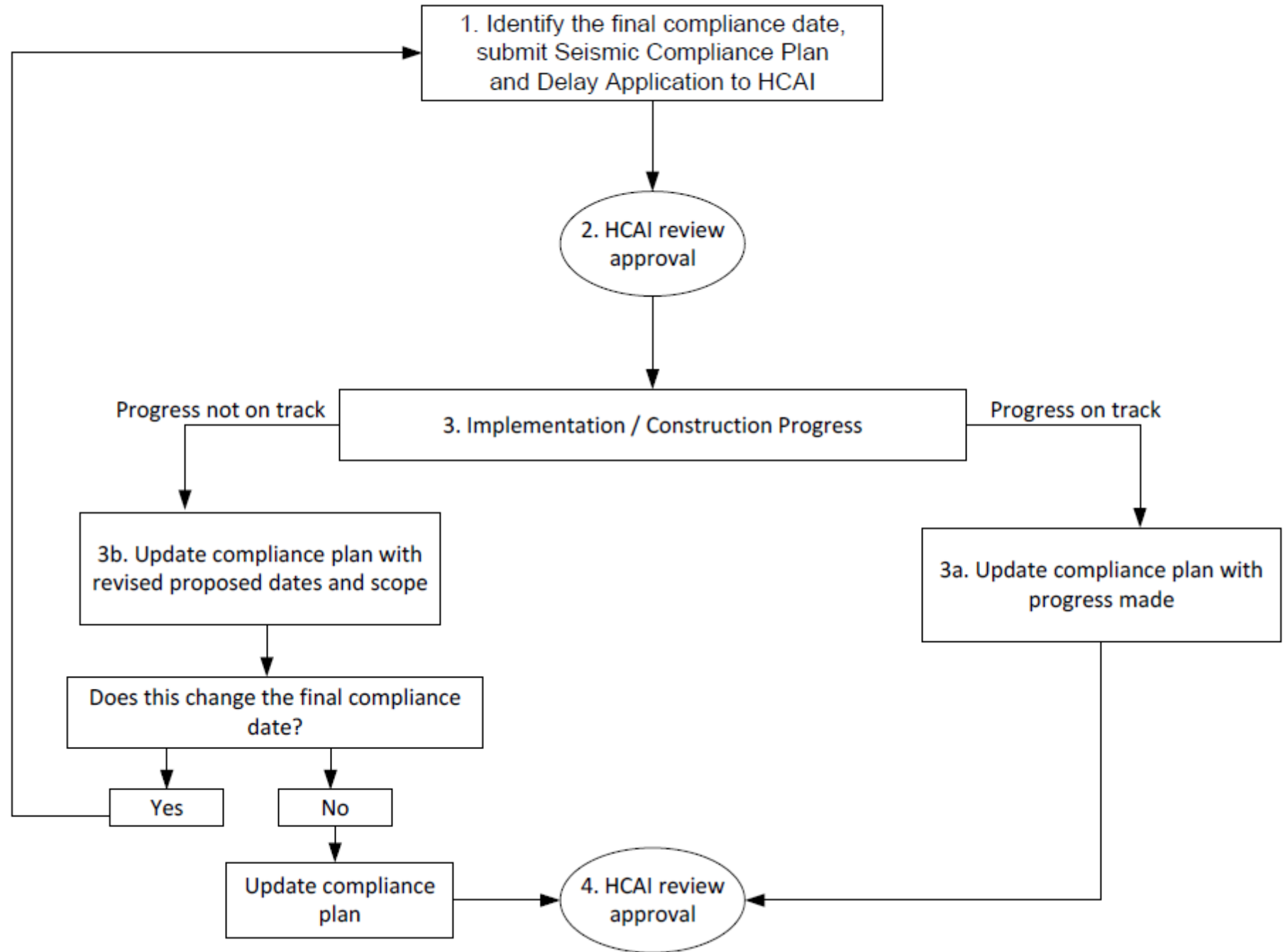
# Flow Chart - Eligibility Criteria for AB 869 Delay



## Eligibility Flow Chart Text:

1. The facility must be classified as a small, rural, district, critical access hospital or a DHLP recipient, and have submitted NPC-4 / NPC-4D and NPC-5 evaluation reports for all hospital buildings by January 1, 2025.
2. The facility must need a delay beyond the January 1, 2030 deadline, for up to a maximum of 3 years.
3. The facility shall submit a seismic compliance plan to HCAI by January 1, 2026.
4. A facility that belongs to an integrated health care system with two or more separately licensed hospital facilities shall meet at least one of the following conditions:
  - a. The entire integrated health care system is in financial distress; or
  - b. A rural hospital with fewer than 80 general acute care beds and general acute care hospital revenue of seventy-five million dollars (\$75,000,000) or fewer, as reported to the Department pursuant to HSC Section 128740 in 2020; or
  - c. A health care district hospital that does not have a contractual, management, lease, or operating agreement with a health system that imposes upon the health system any financial responsibility for the health care district's infrastructure cost for compliance with HSC Section 130065; or
  - d. A hospital that is part of an integrated health care system that is operated by a health care district or a nonprofit corporation that is affiliated with the health care district hospital owner by means of the district's status as the nonprofit corporation's sole corporate member.

# Flow Chart - submitting and updating seismic compliance plan and delay applications



# Seismic Compliance Plan Application



# Seismic Compliance Plan Application

- Seismic Compliance Plan is **NOT** a drawing or calculations or an evaluation.
- Seismic compliance plans **outline** the details for how each building in the facility will achieve seismic compliance by the proposed completion date.
- The information includes types of compliance solutions, such as retrofitting a building or removing acute care services, with associated timelines and related project numbers, if any, for each building.

# Seismic Compliance Plan – Change in Plans

California Administrative Code Part 1 Chapter 6 Section 1.4.5 requires:

*A change to an approved Compliance Plan shall be submitted by a hospital owner when the method or schedule to achieve compliance changes.*

HCAI has not received compliance plan updates from many facilities in the last 24 years.

# Seismic Compliance Plan

- First submittals were due 2001.
- The required info is still the same, just different submittal process.
- 2001 → paper submittal → pdf submittal → 2025 automated submittal

**1.4 Compliance plans.** A compliance plan shall be prepared and submitted for each building subject to these regulations. All general acute care hospital owners shall formulate a compliance plan which shall indicate the facility's intent to do any of the following:

1. Building retrofit for compliance with these regulations for continued acute care operation beyond 2030;
2. Partial retrofit for initial compliance, with closure or replacement expected by 2002, 2008, 2013 or 2030;
3. Removal from acute care service with conversion to nonacute care health facility use; or
4. No action, building to be closed, demolished or replaced.

This plan must clearly indicate the actions to be taken by the facility and must be in accordance with the timeframes set forth in Article 2 (Structural Performance Category-"SPC") and Article 11 (Nonstructural Performance Category-"NPC") of the Seismic Evaluation Design regulations.

2. All site, architectural, and engineering plans shall be formatted on 11- by 17-inch sheets (folded to 8 1/2 by 11 inches);
3. Larger sheets, if required to clearly describe the requested information, shall be appended to the compliance plan; and
4. Other supporting documents in addition to those meeting the minimum requirements of Section 1.4.4 may be appended to the compliance plan.

**1.4.2 Compliance plan submittal.** Hospital owners shall submit the compliance plan to the Office by January 1, 2001, unless the owner requests an extension pursuant to Section 1.4.3. The hospital owners shall submit the compliance plan in accordance with Section 7-113, "Application for Plan or Report Review" and Section 7-133, "Fees" of Article 3, Chapter 7, Part 1, Title 26.

**1.4.3 Compliance plan submittal extension.** Hospital owners may request an extension from the Office for submission of the compliance plan. Any hospital owner requesting an extension for submittal of the compliance plan shall make such request in writing to the Office up to 180 days prior to, but no later than January 1, 2001. The compliance plan must be submitted no later than January 1, 2002. All hospital owners requesting an extension for submittal of the compliance plan shall certify to OSHPD that all hospital buildings continuing acute care operation beyond January 1, 2002 meet the standards of NPC 2 by January 1, 2002.

**1.4.4 Compliance plan requirements.** Each compliance plan shall contain the following elements:

1. An Existing Site/Campus Description;
2. A Compliance Plan Description;
3. A Compliance Site Plan;
4. A Compliance Plan Schedule; and
5. An Existing and Planned Buildings Matrix.

**1.4.4.1 Existing site/campus description.** If the compliance plan is submitted separately from the seismic evaluation, it will be necessary to resubmit the information as specified in Section 1.3.4.5, of the Nonstructural Evaluation Report.

**1.4.4.2 Compliance plan description.** Provide a comprehensive narrative description of the Compliance Plan, including the projected schedule for compliance.

**1.4.4.3 Compliance site plan.** Provide Compliance Site Plans, indicating the configuration of the facility at the 2008 and 2030 milestones. The plans shall indicate conforming and nonconforming buildings and identify the final configuration of the facility at each milestone, after completion of compliance measures.

**1.4.4.4 Compliance plan schedule.** Provide a bar graph schedule which describes the schedule for compliance with the SPC and NPC seismic performance categories, indicating the schedule of the following major phases of the plan:

1. Obtain a geotechnical report (if necessary);
2. Architecture and engineering design/construction document preparation;
3. Local approvals;
4. Office review, approval and permitting;

BUILDING NAME/ DESIGNATION	BUILDING TYPE (per Section 2.2.3)	SPC existing	SPC planned	NPC existing	NPC planned

5. Approval of Department of Health Services Licensing and Certification, and any other required licensing;
6. Permanent relocation of acute care services to other buildings or facilities (identify services affected);
7. Temporary/interim relocation of acute care services to other buildings including the duration of the approved program flexibility plan pursuant to Health and Safety Code Section 1276.05;
8. Construction period; and
9. Beneficial occupancy;

BUILDING NAME/ DESIGNATION	OSHPD (or local building) permit date/ number	GOVERNING BUILDING CODE	CONSTRUCTION COMPLETION DATE	BUILDING TYPE (per Section 2.2.3)	SPC	NPC

# Seismic Compliance Plan Application

- Seismic compliance plan applications are projects which are submitted to HCAI via the [eServices Portal \(eSP\)](#).
- For step-by-step instructions on how to submit a seismic compliance plan application see [User Guide 21 Application for Seismic Compliance Plan Review](#).

e-Services  
Portal  
User  
Guide

APPLICATION FOR  
SEISMIC  
COMPLIANCE PLAN

VERSION 1.0

Section  
21

# Overview of Seismic Compliance Plan

There are two main tables



- **Compliance Method:** Outlines method of compliance for each building
- **Building Milestones:** Outlines critical and regular milestones for each building

The rest of the application is about facility ownership, applicant contact info, etc.

# Overview of Seismic Compliance Plan: Compliance Method

- Compliant
- Demolish building
- Relocate services to other existing compliant building
- Replace services to NEW building
- SPC retrofit
- NPC retrofit
- SPC and NPC retrofit

Facility will see  
HCAI comments  
here

<input type="checkbox"/>		Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note	
<input type="checkbox"/>		BLD-00317	Cancer Research Institute (Ezralow Tower)	3	3					<a href="#">Actions</a> ▼ <input type="button" value="Edit"/>
<input type="checkbox"/>		BLD-03689	Topping Tower	5	2					<a href="#">Actions</a> ▼

Edit Selected

# Overview of Seismic Compliance Plan: Building Milestones (max 10 per bldg)

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A

- i. Completed
- ii. In Progress
- iii. Not Started

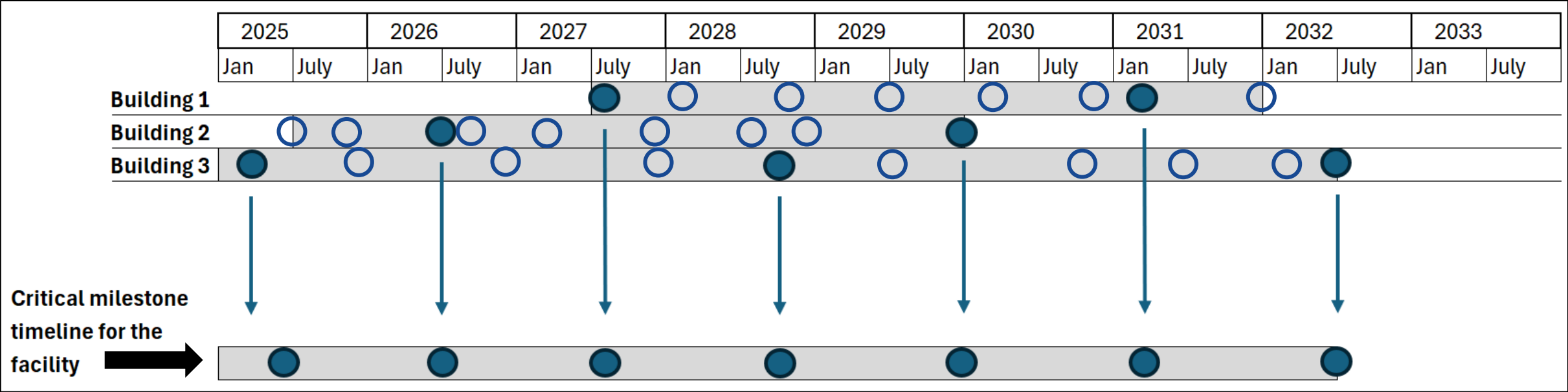
Facility will see HCAI comments here

## BUILDING MILESTONES

Edit

Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination
BLD-00317	Evaluation Report Submittal	NPC 5 Evaluation report submitted in December 21, 2023	No	12/22/2023	Completed	SRU-2023-00863		

# Critical vs Regular Milestones

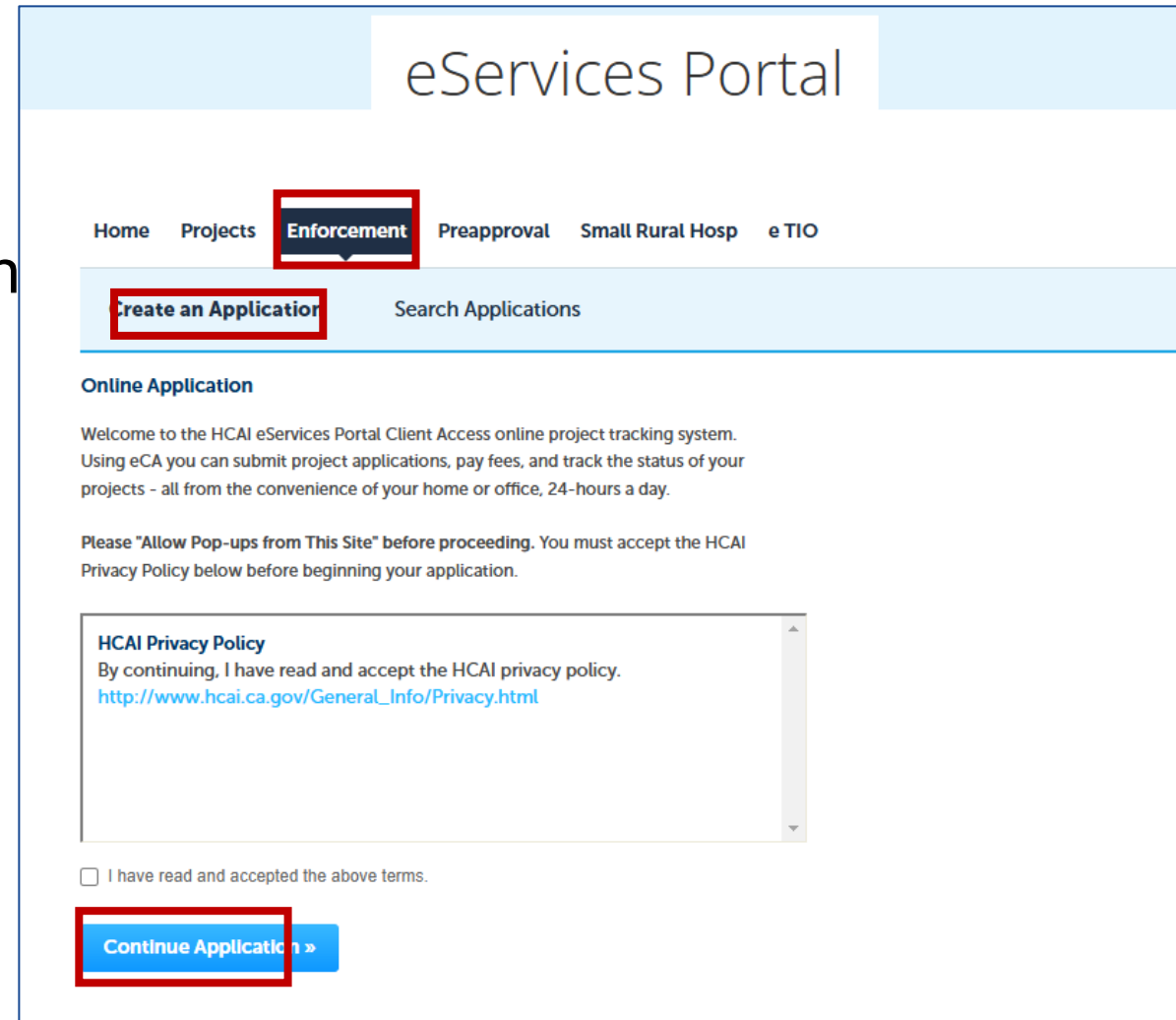




# Step-By-Step Seismic Compliance Plan

## New Application

- Enforcement
  - Create a new application or search existing
  - Agree to terms and continue application



The screenshot displays the HCAI eServices Portal interface. At the top, the text 'eServices Portal' is visible. Below this is a navigation menu with links for 'Home', 'Projects', 'Enforcement', 'Preapproval', 'Small Rural Hosp', and 'eTIO'. The 'Enforcement' link is highlighted with a red box. Below the navigation menu, there are two buttons: 'Create an Application' and 'Search Applications'. The 'Create an Application' button is also highlighted with a red box. The main content area is titled 'Online Application' and contains a welcome message: 'Welcome to the HCAI eServices Portal Client Access online project tracking system. Using eCA you can submit project applications, pay fees, and track the status of your projects - all from the convenience of your home or office, 24-hours a day.' Below this is a warning: 'Please "Allow Pop-ups from This Site" before proceeding. You must accept the HCAI Privacy Policy below before beginning your application.' A scrollable box contains the 'HCAI Privacy Policy' text: 'By continuing, I have read and accept the HCAI privacy policy. [http://www.hcai.ca.gov/General\\_Info/Privacy.html](http://www.hcai.ca.gov/General_Info/Privacy.html)'. Below the scrollable box is a checkbox with the text 'I have read and accepted the above terms.' At the bottom, there is a blue button labeled 'Continue Application »' which is highlighted with a red box.

# Compliance Plan – New Application

- Select Compliance Plan and click the icon for continue application

The screenshot displays the 'eServices Portal' interface. At the top, there is a navigation menu with 'Home', 'Projects', 'Enforcement', 'Preapproval', 'Small Rural Hosp', and 'e TIO'. Below the menu, there are two buttons: 'Create an Application' and 'Search Applications'. The main content area is titled 'Select a Record Type' and includes a search box and a 'Search' button. Underneath, there is a list of record types with radio buttons for selection. The 'Enforcement Compliance Applications' section is expanded, and the 'Compliance Plan' option is selected, indicated by a red arrow. Other options include 'Re-Open Closed Project', 'Hospital Reporting', 'SNF/CF Assessments', and 'SNF Alternate Source of Power Assessment'. At the bottom of the page, a blue button labeled 'Continue Application »' is highlighted with a red box.

# Compliance Plan – Step 1

- Facility Information
  - Fill in the facility information
  - Type the facility ID to use the existing database to populate the information

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization	6	7	8
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Step 1: Facility Information > Facility \* indicates a required field.

Facility

Enter the HCAI Facility ID for this Project (or select 'Auto-fill' if available) and then click on the Search button. Select the correct facility from the returned list. Both the Address and Owner sections will be automatically completed with the current information from our database. **Please verify that this information is correct.** Contact HCAI at [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) if the current facility information is incorrect or to add a new facility.

* Facility ID 10025	Facility Name UC Davis Rehabilitation Institute
Responsible Region: North Region	Type of Facility: General Acute Care

Search Clear

# Compliance Plan – Step 1 continued

- Facility Information
  - Address and owner information will be filled in automatically based on our database
  - Click Continue Application

**Address**

---

\* Street No.:  \* Street Name:  City:  State:  \* Zip:

**Owner**

---

Owner Name:  ?

Address Line 1:  City:  State:  Zip:

Phone:  E-mail:

# Compliance Plan – Step 2

- Contacts

- Primary Project Contact
- Additional Contacts
- Licensed Professionals
- Responsible Party

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information 2 **Contacts** 3 Method and Milestones 4 Attachments 5 Facility Authorization 6 7 8

**Step 2: Contacts > Project Contacts**  
A primary Contact and at least one additional contact are required.

\* Indicates a required field.

**Primary Project Contact**

The primary Contact will receive HCAI correspondence regarding this project.

Select from Account Add New Look Up

**Additional Contacts**

At least one additional Contact is required, but several can be added. These contacts are supplemental to the Primary contact above and correspondence regarding this project.

Select from Account Look Up

Showing 0-0 of 0

Full Name	Business Name	Contact Type	Work Phone	Fax	E-mail	Action
No records found.						

**Licensed Professional List**

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) to add a new Licensed Professional.

Licensed Professional's name, address, and other information will be automatically populated with the current information from our database. Please verify that the information is correct by clicking the Edit link and reviewing the Licensed Professional Information; contact HCAI at the phone number or email address above to have missing information added or inaccurate information corrected.

Add additional Licensed Professionals for this project by clicking "Look Up" and repeat these steps until all Licensed Professionals for this project have been added to the application. When the list is complete, click "Continue Application".

Look Up

Showing 0-0 of 0

License Number	License Type	Contact Name	Business Name	Business License #	Home Phone	Fa
No records found.						

**Property Management Information**

**Responsible Parties**  
Please refer to eSP User Guide 21. Application for Seismic Compliance Plan Review [here](#) for detailed descriptions of the Responsible Parties designations.

Facility Property Owner Type: \* Private (Investor - Corporation) ▼

Is the Facility Property Owner also the managing organization/licensee?: \*  Yes  No

Managing organization or licensee name: \* ABC

Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?: \* Managing Organization/Licensee ▼

# Compliance Plan – Step 2 continued

- **Contacts**

- Fill in the Primary Project Contact and Additional Contacts
- At least one primary and one additional contact shall be included

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 <b>Contacts</b>	3 Method and Milestones	4 Attachments	5 Facility Authorization	6	7	8
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**Step 2: Contacts > Project Contacts**  
A primary Contact and at least one additional contact are required. \* indicates a required field.

**Primary Project Contact**

The primary Contact will receive HCAI correspondence regarding this project.

Select from Account Add New Look Up

**Additional Contacts**

At least one additional Contact is required, but several can be added. These contacts are supplemental to the Primary contact above and will receive HCAI correspondence regarding this project.

Select from Account Look Up

Showing 0-0 of 0

Full Name	Business Name	Contact Type	Work Phone	Fax	E-mail	Action
No records found.						

**Licensed Professional List**

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [compliance@hcai.ca.gov](mailto:compliance@hcai.ca.gov) to add a new Licensed Professional.

# Compliance Plan – Step 2 continued

- Contacts (continued)
  - Include the licensed professionals
  - Use the look up option to search from database

## Licensed Professional List

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) to add a new Licensed Professional.

Licensed Professional's name, address, and other information will be automatically populated with the current information from our database. Please verify that the information is correct by clicking the Edit link and reviewing the Licensed Professional Information; contact HCAI at the phone number or email address above to have missing information added or inaccurate information corrected.

Add additional Licensed Professionals for this project by clicking "Look Up" and repeat these steps until all Licensed Professionals for this project have been added to the application. When the list is complete, click "Continue Application".

Look Up

Showing 0-0 of 0

License Number	License Type	Contact Name	Business Name	Business License #	Home Phone	Fa
No records found.						

# Compliance Plan – Step 2 continued

## Property Management Information.

- Include the Facility Property Owner Type
  - Private (Investor – Corporation)
  - Private (Investor – Individual)
  - Private (Investor – Partnership)
  - Private (Investor – Limited Liability Company)
  - Non-profit Corporation (incl/ Church-related)
  - City
  - County
  - District
  - University of California

The screenshot shows a web form titled "Property Management Information". The form includes several fields: "Facility Property Owner Type" (a dropdown menu), "Is the Facility Property Owner also the managing organization/licensee?:" (radio buttons for Yes/No), "Managing organization or licensee name:" (a text input field), and "Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?:" (a dropdown menu). There are two buttons at the bottom: "Continue Application >" and "Save and resume later". A red box highlights the "Facility Property Owner Type" dropdown menu, and a red arrow points from it to a larger red box showing the dropdown menu's options: "--Select--", "Private (Investor - Corporation)", "Private (Investor - individual)", "Private (Investor - Partnership)", "Private (Investor - Limited Liability Company)", "Non-profit Corporation (incl. Church-related)", "City", "County", "District", and "University of California".



# Compliance Plan – Step 2 continued

- Contacts (continued)
  - Please fill in the following information
    - Is the Facility Property Owner also the managing organization/licensee?
    - Managing organization or licensee name
    - Who is financially obligated for infrastructure improvements including seismic upgrades?

The screenshot shows a web form titled "Property Management Information". The form includes a section for "Responsible Parties" with a reference to the eSP User Guide. It contains several fields: "Facility Property Owner Type" (a dropdown menu), "Is the Facility Property Owner also the managing organization/licensee?" (radio buttons for Yes/No), "Managing organization or licensee name" (a text input field), and "Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?" (a dropdown menu). A red box highlights the "Is the Facility Property Owner also the managing organization/licensee?" section and the "Who is financially obligated..." dropdown. A red arrow points from this dropdown to a larger, detailed view of the dropdown menu below. The menu options are: "--Select--", "--Select--", "Managing Organization/Licensee", "Property Owner", and "Other". There are two buttons at the bottom: "Continue Application >" and "Save and resume later".

# Compliance Plan – Step 3

- Method and Milestones
  - All buildings under the chosen facility will be prepopulated
  - Fill Compliance Method and Building Milestones

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 Contacts	<b>3 Method and Milestones</b>	4 Attachments	5 Facility Authorization	6	7	8
------------------------	------------	--------------------------------	---------------	--------------------------	---	---	---

Step 3: Method and Milestones > Method and Milestones \* indicates a required field.

**Custom Lists**

**COMPLIANCE METHOD**

The table below shows a list of the GAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).

Showing 0-0 of 0

Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note
No records found.							

Edit Selected

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Showing 0-0 of 0

Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination
No records found.								

Add a Row Edit Selected

Continue Application » Save and resume later

# Compliance Plan – Step 3 continued

- Compliance Method
  - For each building, click on the Action icon and edit to complete the information

Step 3: Method and Milestones > Method and Milestones

\* indicates a required field.

## Custom Lists

### COMPLIANCE METHOD

The table below shows a list of the CAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).



Required forms have not been completed in the section below. For each of the indicated rows, please click Edit from the Actions drop-down menu to complete the form.

Showing 1-2 of 2

<input type="checkbox"/>	Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note	Actions
<input type="checkbox"/>	BLD-00317	Cancer Research Institute (Ezralow Tower)	3	3					Actions Edit
<input type="checkbox"/>	BLD-03689	Topping Tower	5	2					Actions

Edit Selected

# Compliance Plan – Step 3 continued

- Compliance Method
  - Ratings and the name of the building will be prepopulated
  - Click on the drop-down menu to select the compliance type plan.
    - Compliant
    - Demolish building
    - Relocate services to other existing compliant building
    - Replace services to NEW building
    - SPC retrofit
    - NPC retrofit
    - SPC and NPC retrofit
  - Include a Narrative (required), Seismic Compliance Related Project Numbers (if any), and HCAI Note if needed

**COMPLIANCE METHOD** ×

The table below shows a list of the GAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).

Building No.: BLD-00317	Building Name: Cancer Research Institute	Current SPC Rating: 3
Current NPC Rating: 3	* Compliance Type: --Select--	* Narrative: <div style="border: 1px solid gray; height: 40px;"></div>
Seismic Compliance Related Project Numbers: <small>Separate multiple project numbers with a semicolon i.e. S240023-23-00;S240045-23-00;S240234-23-00</small>	HCAI Note: <div style="border: 1px solid gray; height: 40px;"></div>	

\* Compliance Type: \* Narrative:

--Select--

- Select--
- Compliant
- Demolish building
- Relocate services to other existing compliant building
- Replace services to NEW building
- SPC Retrofit
- NPC Retrofit
- SPC and NPC Retrofit

# Compliance Plan – Step 3 continued


- Building Milestones for each building
  - A minimum of one milestone is required per building (maximum of 10)
  - Edit each milestone to be populated

## BUILDING MILESTONES

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

 Required forms have not been completed in the section below. For each of the indicated rows, please click Edit from the Actions drop-down menu to complete the form.

Showing 1-10 of 20

<input type="checkbox"/>	Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination	
<input type="checkbox"/>	BLD-00317									Actions Edit
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions

< Prev 1 2 Next >

Add a Row Edit Selected

# Compliance Plan – Step 3 continued

## Building Milestones

Include milestone type from drop-down menu options (required)

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Building No.:

\*Milestone Type:

Description:

Critical Milestone

Completion Date:

Status:

Related Project Numbers:

HCAI Note:

HCAI Determination:

\*Milestone Type:

Description:

--Select--

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A

# Compliance Plan – Step 3 continued

- Building Milestones

- Include description (if any), critical milestone, proposed completion date, related project numbers, HCAI Note
- Select the status of the milestone
  - Complete
  - In Progress
  - Not Started
- HCAI determination box is for office use only.

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Building No.: BLD-00317

\*Milestone Type: --Select--

Description:

Critical Milestone

Completion Date: MM/DD/YYYY

Status: --Select--

Related Project Numbers:

HCAI Note:

HCAI Determination: --Select--

Submit Cancel

Status:

--Select--

--Select--

Completed

In Progress

Not Started

# Compliance Plan – Step 3 continued

- Building Milestones

- Example of populated milestones
- **At least one** milestone shall be selected as a **critical** milestone for each significant building.
- **Critical** milestones can be skipped for minor buildings such as canopies, tunnels, sheds, equipment yards, or buildings that are not as important/significant relative to the rest.
- Regular milestones are still required for all buildings.

**BUILDING MILESTONES**

Update project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Showing 1-10 of 20

<input type="checkbox"/>	Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination	
<input type="checkbox"/>	BLD-00317	Evaluation Report Submittal	NPC 5 Evaluation report submitted in December 21, 2023	No	12/22/2023	Completed	SRU-2023-00863			Actions ▼
<input type="checkbox"/>	BLD-00317	Evaluation Report Submittal	NPC 4 evaluation report submitted on	No	12/22/2023	Completed	SRU-2023-00861			Actions ▼
<input type="checkbox"/>	BLD-00317	NPC 4/4D Construction Document Submittal	NPC 4 retrofit	No	02/02/2026	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Permit Issuance	NPC 4 retrofit	No	11/24/2027	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Construction Final	NPC 4 retrofit	Yes	06/28/2029	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	NPC 5 Construction Document Submittal	NPC 5 retrofit	No	01/28/2026	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Construction Final	NPC 5 retrofit	Yes	07/19/2029	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼

< Prev 1 2 Next >

Add a Row Edit Selected



# Compliance Plan – Step 4

- Attachments

Provide any attachments relevant to the review.

Compliance Plan

1	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization
---	------------	-------------------------	---------------	--------------------------

Step 4: Attachments > Attachments \* indicates a required field.

### Attachment

---

Attachments are optional and not required. If you have any attachments please add them below.

The maximum file size allowed is 300 MB.  
ade;adp;bat;chm;cmd;com;cpl;exe;hta;htm;html;ins;isp;jar;jse;lib;lnk;mde;mht;mhtml;msc;msp;mst;php;pif;reg;scr;scf;shb;shs;sys;vb;vbe;vbs;vxd;v are disallowed file types to upload.

Name	Type	Size	Latest Update	Action
No records found.				

[Add](#)

[Continue Application »](#) [Save and resume later](#)

# Compliance Plan – Steps 5, 6, 7 and 8

- Facility Authorization
- Review
- Pay Fees
- Record Issuance

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1	2	3	4 Attachments	5 Facility Authorization	6 Review	7 Pay Fees	8 Record Issuance
---	---	---	---------------	--------------------------	----------	------------	-------------------

Step 6: Review

# Compliance Plan – Amendment

- Once approved, a submitted compliance plan can be amended in the future.
- Once amended, the revised application will be reviewed by HCAI.
- The past application is archived and visible in the portal to the facility.

**Records**

To pay invoices, click the Pay Fees link, or click [Shopping Cart Payment Portal](#).  
You must be logged in to eCA to access the payment portal.  
See eCA Online Payment Instructions for more information.

Showing 1-10 of 11 | [Download results](#) | [Add to collection](#)

<input type="checkbox"/>	Date	Record Number	Record Type	Project Name	Status	Action
<input type="checkbox"/>	03/03/2025	<a href="#">CP-10450</a>	Compliance Plan	Compliance Plan for Garden Grove Hospital and Medical Center	Active	<a href="#">Amendment</a>

# **Seismic Compliance Delay (AB 869) Application**

# Overview of Delay Application (AB 869)

There is one critical info asked:

- **Requested delay deadline date**

There is required documentation at the attachment step

The rest of the application is about facility ownership, applicant contact info, etc.

# Seismic Compliance Delay (AB 869) Application

- Submitted to HCAI's Seismic Compliance Unit via the [eServices Portal \(eSP\)](#).
- For step-by-step instructions on how to submit a delay application see [User Guide 13A Applications for Seismic Delays for AB 869](#).
- The Department will review submittals within 120 days, and comment, approve or deny the hospital's seismic compliance plan and related delay request.
- The 120-day approval period will restart each time the facility resubmits the compliance plan for backcheck. "Approved", "Denied" and "HCAI commented/remarked" applications will be posted on the HCAI website, including reasons for denial or details of comments.

# Seismic Compliance Delay (AB 869) Application

Each participating hospital shall submit a delay application with the following information:

- The requested delay deadline, not beyond 1/1/2033. The requested delay date shall align with the proposed completion date outlined in the seismic compliance plan.
- The delay application shall include a brief narrative explaining the need for additional time and detailing the efforts toward compliance.
- Provide a management, lease, or operating agreement to identify the entity with financial responsibility for the facility's infrastructure cost as it relates to seismic compliance.

# If eligibility is due to System level Financial Distress

- If the requested eligibility criteria is due to the entire integrated health care system being in financial distress, then supporting documents which demonstrate financial distress are required to be submitted.
- The facility shall submit updated financial status reports and related documents on February 1st and August 1st of each year for re-evaluation under the same application.
- If the facility is no longer in financial distress, the timeline shall be revised to address remaining seismic compliance work in a timely manner.



# If eligibility is due to Financial Distress – Required Documents

The documents required for submission include, but are not limited to:

- A narrative description of the current financial condition and the primary driver of ongoing financial hardship.
- A turnaround plan outlining strategies to establish a path toward a sustainable facility, which should include a two-year cash flow projection.

# If eligibility is due to Financial Distress – Required Documents

The following financial ratios using the most recent internally prepared year-to date financial statements will be considered

- a) **Days Cash on Hand** = unrestricted cash and cash equivalents as of such date / ((operating expenses as of the twelve-month period ending on such date – non-cash charges of the twelve-month period ending on such date) / 365)
- b) **Current Ratio** = current assets / current liabilities
- c) **Operating Margin** = net income for such period / total revenue for such period
- d) **Net Cash Runway** = cash balance as of such date / monthly average operating loss (excluding depreciation and non-cash expenses) for twelve-month period ending on such date
- e) **Debt Service Coverage Ratio** = net income available for debt Service as of the twelve-month period ending on such date

# If eligibility is due to “a rural hospital, with fewer than 80 beds, and less than \$75,000,000 revenue in 2020”

- A brief narrative of financial statement shall be provided. Facility specific year 2020 financial reports are available on the [HCAI website](#).
- If there is a discrepancy in the financials displayed on the HCAI website, please provide justifying documentation.
- The revenue may be calculated using net total revenue and other operating revenue in annual audited financial statements and shall be compared to \$75,000,000 limit.

# ***Additional 2-year Delay (up to 1/1/2035)***

- May be granted when factors beyond the hospital's control make it impossible for the hospital to meet the deadline.
- Factors beyond the hospital's control include, financial distress, supply chain interruptions (contractor, labor, or material delays), acts of God (fire, earthquake, extended periods of severe weather etc.), government entitlements, and other circumstances beyond the hospital's control.

# ***Additional 2-year Delay (up to 1/1/2035)***

Consideration for the additional up to two-years delay will depend on **the future status** of the facility and the compliance progress achieved. Therefore, this additional up to two-year **delay will not be considered before January 1<sup>st</sup>, 2030.**

Applications may be submitted after January 1<sup>st</sup>, 2030 with related justifying documentation.

To establish factors beyond the hospital's control, each hospital shall provide the following:

- a) A description of the factors beyond their control which are delaying construction, and their influence on meeting the critical milestones for the project,
- b) A revised seismic compliance plan, updating the existing application, indicating the length of delay needed to complete the project.

# NPC Deadlines for facilities with AB 869 delay

- By January 1, 2025, the hospital owner shall submit a complete nonstructural evaluation up to NPC 4 or 4D and NPC 5, for each building
- By March 1, 2026, the hospital owner shall submit construction documents.
- By March 1, 2028, the hospital owner shall obtain a building permit
- By the approved delay date (between 1/1/2030 and 1/1/2033) – finish construction, obtain certificate of occupancy.

Please note that there is one year of time allowed between the plan approval and the start of construction, with an optional extension of one additional year provided there is justification (CAC, Chapter 7, Section 7-129 c).

# SPC Deadline for facilities with AB 869 delay

- There is no interim deadline for SPC
- The SPC related milestones in the approved seismic compliance plan are enforceable deadlines
- Final deadline is the final delay date in the approved application.

# Small and Rural Hospital Relief Program Changes in AB 869

- AB 869 has also expanded eligibility requirements for the Small and Rural Hospital Relief Program (SRHRP).
- Eligibility for the SRHRP program will now include any Distressed Hospital Loan Program recipient and any Health Care District hospital that seeks delay under Section 1.5.2 Item 3, beyond the January 1, 2030 deadline.
- These hospitals are now able to apply for SRHRP grants under this change.
- Please see 2025 California Administrative Code Chapter 6 Section 1.9.2 Grant Requirements (or Appendix B in PIN 80) for more information.



# AB869 Delay – New Application

- Click - Projects
  - Create a new application or search existing
  - Select “Application for Seismic Ext – SPC” under Seismic Compliance Applications
  - Agree to terms and continue application

The screenshot shows the HCAI eServices Portal interface. At the top, there is a navigation menu with links for Home, Projects (highlighted with a red box), Enforcement, Preapproval, and Small Rural Hosp. Below the navigation is a light blue bar with buttons for 'Create an Application' and 'Search Projects'. The main content area is titled 'Select a Record Type' and lists three options: 'Construction Projects', 'Expedited Building Permit', and 'Seismic Compliance'. The 'Seismic Compliance' section is expanded, showing a list of application types: 'Application for Seismic Ext - SPC', 'Compliance Plan Review', 'Request for NPC or SPC Upgrade', and 'Request for Other Seismic Application'. A red arrow points to the 'Application for Seismic Ext - SPC' option. At the bottom of the page, there is a red button labeled 'Continue Application »'.

Home **Projects** Enforcement Preapproval Small Rural Hosp

Create an Application Search Projects

Select a Record Type

**Construction Projects**  
Application for New Project  
This application is used to apply for all project types other than Incremental projects; structural and non-structural, preliminary reviews, SB 1838 projects, GeoTech projects and RACS projects. Incremental projects must be submitted via the traditional paper application.

**Expedited Building Permit**  
An Expedited Building Permit (XBP) is intended as general reference guides and/or checklists to facilitate repair, maintenance, minor renovation/remodeling, or installation of certain equipment projects. XBP is only for single-story Skilled Nursing Facilities (SNFs) and Intermediate-Care Facilities. See 'How to Guides' at <http://hcai.ca.gov/FDD/SNF-ICF>.

**Seismic Compliance**  
is also used to submit AB 2190 Amendments and Quarterly Reports. Consult the [Amendments and Quarterly Reports for Seismic Extension Projects](#).

\* Submission of AB 2190 seismic extension projects closed as of 04/01/19, however, AB 2190 Amendments and Quarterly Reports can be submitted through eServices Portal.

Search

▶ **Construction Project Applications**  
 Application for New Project  
 Expedited Building Permit

▶ **Seismic Compliance Applications** ←  
 Application for Seismic Ext - SPC  
 Compliance Plan Review  
 Request for NPC or SPC Upgrade  
 Request for Other Seismic Application

▶ **Temporary Structures**  
 Temporary Membrane Structure

**Continue Application »**

# AB869 Delay – Step 1

- Facility Information
  - Fill in the facility information
  - Type the facility ID to use the existing database to populate the information

Application for Seismic Ext - SPC

1 Facility Information	2 Contacts and Professionals	3 Seismic Extension Details	4 Attachments	5 Facility Authorization	6	7	8	9
------------------------	------------------------------	-----------------------------	---------------	--------------------------	---	---	---	---

**Step 1: Facility Information > Facility Information**  
Seismic Extensions are only applicable to General Acute Care Hospitals. \* indicates a required field.

**Facility**

Enter the HCAI Facility ID for this Project (or select 'Auto-fill' if available) and then click on the Search button. Select the correct facility from the returned list. Both the Address and Owner sections will be automatically completed with the current information from our database. **Please verify that this information is correct.** Contact HCAI at [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) if the current facility information is incorrect or to add a new facility.

\* Facility ID  Facility Name

Type of Facility:

County Code:

Facility State:

# AB869 Delay – Step 1 continued

- Facility Information
  - Address and owner information will be filled in automatically based on our database
  - Click continue application

**Address**

\*Street No.:  \*Street Name:  City:  State:  Zip:

**Owner**

Owner Name:  ?

Address Line 1:  City:  State:  Zip:

Phone:  E-mail:

# AB869 Delay – Step 2

- Contacts and Professionals
  - Fill in the Applicant and Contact List
  - At least one Applicant and one contact shall be included

Application for Seismic Ext - SPC

1 Facility Information 2 **Contacts and Professionals** 3 Seismic Extension Details 4 Attachments 5 Facility Authorization 6 7 8 9

**Step 2: Contacts and Professionals > Contact List**  
Enter the Contact information for the Owner's Representative.

**Applicant**

Applicant  
At least one Applicant is required in the Applicant. To add a Applicant, complete each of the required fields or check 'Auto-fill' and then click on "Save" button.

**Contact List**

Contact List  
In the Contact List, multiple additional contacts may be provided and all contacts may be copied on correspondence from OSHPD. To add a contact, complete each of the required fields or check 'Auto-fill' and select the correct contact from the list. Identify the Contact Type, and then click on "Save" button. To add additional Contacts, click the "Add Contacts" link and repeat the steps above.

\* indicates a required field.

**Applicant**

Applicant  
Please enter new or select from account the applicant for this applications. The applicant will be the primary contact.

Select from Account Add New

**Contact List**

Select from Account Add New

Showing 0-0 of 0

Name	Business Name	Contact Type	Work Phone	E-mail	Action
No records found.					

Save pending submittal

**Continue Application »**

# AB869 Delay – Step 2 continued

- Contacts and Professionals
  - Include Licensed Professional information (optional)

Home **Projects** Enforcement Preapproval Small Rural Hosp

Create an Application Search Projects

Application for Seismic Ext - SPC

1 Facility Information	2 Contacts and Professionals	3 Seismic Extension Details	4 Attachments	5 Facility Authorization	6	7	8	9
------------------------	------------------------------	-----------------------------	---------------	--------------------------	---	---	---	---

**Step 2: Contacts and Professionals > Licensed Professional List**  
**Licensed Professionals are OPTIONAL for Seismic Extension requests.**

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact OSHPD at (916) 440-8400 or email [eserv@oshpd.ca.gov](mailto:eserv@oshpd.ca.gov) to add a new Licensed Professional.

\* indicates a required field.

### Licensed Professional List

---

**Look Up**

Showing 0-0 of 0

License Number	License Type	Contact Name	Business Name	Action
No records found.				

**Save pending submittal** **Continue Application »**

# AB869 Delay – Step 3

- Scope and Details

- Include project name and project scope
- Include requested information under additional details

Home **Projects** Enforcement Preapproval Small Rural Hosp

Create an Application Search Projects

Application for Seismic Ext - SPC

1 Facility Information 2 Contacts and Professionals **3 Seismic Extension Details** Attachments 5 Facility Authorization 6 7 8 9

Step 3: Seismic Extension Details > Scope and Details \* indicates a required field.

**Detail Information**

\*Project Name

\*Project Scope

**Additional Details**

ASI REQUEST FOR SEISMIC EXT

\* Extension Type Requested:

Extension SubType:

Extension Deadline:

\* Requested Extension Deadline:

[Save pending submittal](#) [Continue Application »](#)

# AB869 Delay – Step 3 continued

- Scope and Details - Additional Details
  - Delay Type Request - Select AB 869 from the drop-down menu
  - Fill request delay deadline

## Additional Details

### ASI REQUEST FOR SEISMIC EXT

\* Extension Type Requested:

--Select--

Extension SubType:

--Select--

Extension Deadline:

\* Requested Extension Deadline:

AB 1471  
AB 2190 (H & S Code 130066)  
**AB 869 (H & S Code 130065)**  
SB 1447 (H & S Code 130065)  
SB 564 (H & S Code 130068)

# AB869 Delay – Step 4

- Attachments

**\*REQUIRED\***

Include attachments providing a narrative describing the delay request

The screenshot shows the HCAI web application interface. At the top, there is a navigation bar with links for Home, Projects (highlighted), Enforcement, Preapproval, and Small Rural Hosp. Below this is a light blue header with 'Create an Application' and 'Search Projects' buttons. The main content area is titled 'Application for Seismic Ext - SPC' and features a progress indicator with steps 1 through 9. Step 4, 'Attachments', is currently selected and highlighted in blue. Below the progress bar, the section is titled 'Step 4: Attachments > Supporting Documents' with a sub-heading 'Upload Supporting Documents'. There are two paragraphs of instructions: one for AB 2190 Extensions and one for AB 869 Extensions. A note indicates that an asterisk (\*) denotes a required field. Below the instructions is an 'Attachment' section with a horizontal line. Underneath, there is a note about document volume and a red warning: '\*\* During plan review, if you are uploading supplemental documents without uploading a plan file, please contact support staff shown below so the proper person is notified. \*\*'. Contact information for Construction Projects and Seismic Compliance applications is provided. A file size limit of 300 MB and a list of disallowed file types are also mentioned. At the bottom of the attachment area is a table with columns for Name, Type, Virtual Folders, Description, Size, and Action, which currently shows 'No records found.'. Below the table are three buttons: a blue 'Add' button, a blue 'Save pending submittal' button, and a red 'Continue Application >>' button.



# AB869 Delay – Step 4 continued

- Attachments (continued)

At the minimum, the narrative shall cover the following items

- Information/documents to justify the eligibility

Home **Projects** Enforcement Preapproval Small Rural Hosp

Create an Application Search Projects

Application for Seismic Ext - SPC

1	2 Contacts and Professionals	3 Seismic Extension Details	4 Attachments	5 Facility Authorization	6 Payment Options	7	8	9
---	------------------------------	-----------------------------	---------------	--------------------------	-------------------	---	---	---

**Step 4: Attachments > Supporting Documents**  
**Upload Supporting Documents**

AB 2190 Extensions  
Please submit: (1) a letter from the hospital describing the proposed method of compliance with compliance date, (2) an extension schedule for plan review submittal, submittal of a construction schedule, obtaining a building permit and start of construction, (3) a construction schedule where available or where construction has already commenced.

AB 869 Extensions  
Please submit: (1) a narrative describing the extension request.

\* indicates a required field.

**Attachment**

---

Due to the high volume of documents we receive, not every document type uploaded sends a notification to HCAI staff.

**\*\* During plan review, if you are uploading supplemental documents without uploading a plan file, please contact support staff shown below so the proper person is notified. \*\***

For assistance with Construction Projects, contact: [SacProjectSupport@hcai.ca.gov](mailto:SacProjectSupport@hcai.ca.gov) (916) 440-8404 or [LAProjectSupport@hcai.ca.gov](mailto:LAProjectSupport@hcai.ca.gov) (213) 620-2451  
For assistance with Seismic Compliance applications, contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov)

The maximum file size allowed is 300 MB.  
ade;adp;bat;chm;cmd;com;cpl;exe;hta;htm;html;ins;isp;jar;js;jse;lib;lnk;mde;mht;mhtml;msc;msp;mst;php;pdf;reg;scr;scf;shb;shs;sys;vb;vbe;vbs;vxd;v are disallowed file types to upload.

Name	Type	Virtual Folders	Description	Size	Action
No records found.					

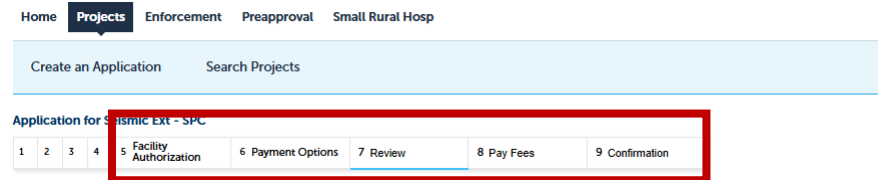
**Add**

**Save pending submittal**

**Continue Application »**

# AB 869 Delay - Steps 5, 6, 7, 8 and 9

- Facility Authorization
  - Pay Now
  - Invoice
- Review
- Pay Fees
- Confirmation



# Adjustments to Schedules (AB 869)

- The Department may grant an adjustment as necessary to deal with contractor, labor, material delays, with acts of God, or with governmental entitlements, experienced by the hospital.
- The hospital shall submit the reason for the delay along with substantiating documents, a revised construction schedule, and new milestones consistent with the adjustment.
- Requests for adjustments shall be made with the Department as soon as the reasons for delay are known, but no less than 30 calendar days before any upcoming delay schedule or construction milestone dates.

# Accountability Measures for Seismic Compliance Plan Violations

- Failure to comply with the construction schedule or meet any critical milestone established by the Department and the hospital shall result in the assessment of a fine of \$5,000 per calendar day per facility until the requirements or milestones, respectively, are met.
- These fines apply to critical milestones and do not extend to regular milestones.
- Hospitals that fail to meet any milestone or seismic compliance deadline approved in its compliance plan shall **not be issued a building permit for any building in the facility** except those required for seismic compliance, maintenance, and emergency repairs until the milestone is met and the hospital is adequately progressing toward meeting the subject hospital's seismic compliance, as determined by HCAI.

# Publication of Compliance Plans on HCAI Website

When approved, remarked or denied, compliance plan will be published on the HCAI Facility Detail page for each facility

Facilities Workforce Affordability Data Facility Finder

< Building Safety

## Facility Detail

Click on the Facility List Drop-down below and scroll to find and select a facility. Or click the drop-down and begin typing a facility name or number to filter the list. Data is updated every 2 weeks.

New: AB 2190 Quarterly Reports are now available.

For accessible copies of facility site plans [email Seismic Compliance Unit](#).

Facility Info Building List/Seismic Info Building Services Instrumented Buildings AB2190 Report Unauthorized Construction Building Operational Plan **Compliance Plan**

Show facilities:

(All)  
 Do not have AB 2190 Extensions  
 Have AB 2190 Extensions

Facility List Drop-down

11169 Community Memorial Hospital - Ojai

11169 Community Memorial Hospital - Ojai  
(HCAI ID: 106560501)  
1306 Maricopa Hwy  
Ojai, CA-93023

Facility Info Building List/Seismic Info Building Services Instrumented Buildings AB2190 Report Unauthorized Construction Building Operational Plan Compliance Plan

Critical Milestones

● Critical  
○ Not Critical

Met? Met Not Met

Milestone Completion Date 2/13/25 11/29/25

Back to Main

### Compliance Plan Tracker (Building Level) for [redacted] Medical Center

Building No.	Bldg Name	NPC	SPC	Progress	
BLD-00133	Main Hospital	2	2	On Track	
BLD-06329	Bulk Medical Gas Yard	4	N/A	-3 days behind	

Today: 3/3/25

2/1/25 3/1/25 4/1/25 5/1/25 6/1/25 7/1/25

**Thank You!**

**Questions?**

**Please email**

**[SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov)**