



# OSHPD e-Services Portal

*Public User Guide*

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Version Number: 8.0


## **Section 6 – Applications for Post-Approval Documents and Alternate Methods of Compliance**

## 1 Introduction

### Welcome to OSHPD Electronic Services Portal Client Access (eCA) User Guide

This section provides step-by-step instructions for creating and submitting an Application for Post-Approval Documents (PAD) or Alternate Method of Compliance (AMC) using eCA. **This section does not provide instructions for creating an Application for Building Permit; these are found in Section 7.**



**Remember:** Help is available throughout the application. Wherever you see a help  icon, click on the question mark to open help and instructions for that item in the application.

## 2 Create and submit an Amendment

### 2.1 Page Flow Overview

You must follow predefined steps to create and submit amendments to OSHPD projects. The steps involved in submitting and amendment are slightly different from those when creating a new project. Most of the information from the ‘parent’ project is copied to the amendment, which significantly speeds up the application process. Below is an illustration of the page flow steps for amendments:

Table 1: eCA Pageflow

Page No.	Page Title	Description
<b>PAD</b>		
1	Professionals	Add any additional professionals who are not on the parent AFNP application form (specifically Deferred Submittal Items).
2	PAD Details	Enter Plan Review information, Deferred Items, Costs, and Enclosures, as applicable.
3	Facility Confirmation	Add additional contacts to the application if needed. Enter the facility PIN in order to proceed to the submittal screen.
4	Payment Method	Select the desired payment options: Pay Now or Invoice Me.
5	Review	Review the data entered on the application and make any needed changes.
6	Pay Fee	If you selected the “Pay Now” option on step 4, pay the application fee online using a credit card.
7	Confirmation	When all steps are complete and the application is accepted, eCA issues a Project/Record Number. You may print the summary sheet and invoice if desired.

### AMC

1	Professionals	Add any additional professionals who are not on the parent AFNP application form who have delegated responsibility for the AMC.
2	AMC Details	Enters your Applicant tracking number, the type of AMC, indicate whether Licensing & Certification and/or local authority approvals are required, and provide a description of the proposal, the reason for the alternate and the scope.
3	Applicable Codes	Indicates which code is applicable to the proposed alternate if it differs from the Applicable Code of the project.
4	Enclosures	List all enclosures that will be submitted to OSHPD to support and justify the proposed alternate.
3	Facility Confirmation	Enter the facility PIN in order to proceed to the submittal screen.
4	Payment Method	Select the desired payment options: Pay Now or Invoice Me.
5	Review	Review the data entered on the application and make any needed changes.
6	Pay Fee	If you selected the “Pay Now” option on step 4, pay the application fee online using a credit card.
7	Confirmation	When all steps are complete and the application is accepted, eCA issues a Project/Record Number. You may print the summary sheet and invoice if desired.

## 2.2 Basic rules of page flow

Below are some basic rules of submitting an application using the page flow.

- ✓ You may navigate back and forth among the completed pages only.
- ✓ Click on the **Continue Application** or **Save pending submittal** button to save the data entered on the page.
- ✓ If a page contains a section that allows for multiple records to be added, for example multiple licensed professional or multiple contacts, you must click the **Save** button in the section to save each record to the project application prior to adding the next.
- ✓ It is recommended that you click the **Save pending submittal** as needed to prevent any data loss due to data entry errors.
- ✓ If any required data is missing from the page, upon clicking on **Continue Application**, an error message will be displayed on the top of the page - you must correct all errors before continuing to the next page flow screen.

“Amendments” to an Application for New Project (referred to as a ‘parent project’) can be Post Approval Document (PAD), Alternate Method of Compliance (AMC) or Building Permit (BP). To create an application for an amendment, locate the “Parent” application for new project record and create the amendment by doing the following:

1. Search for the “Parent” project for which you want to submit an Amendment (PAD or AMC) either by using the Project Search feature or by locating it on your project list under the **Project** tab. If your Public User account is properly associated or delegated with the required permission to create a PAD, an “Amendment” link will be visible at the end of the project record. You may create the amendment by clicking this link or by completing step 2 below.

<input type="checkbox"/>	Date	Project Number	Project Type	Project Name	Status	Related	Action
<input type="checkbox"/>	11/02/2016	<a href="#">S162686-37-00</a>	Application for New Project	Project Name	Open	0	<a href="#">Amendment</a>

2. Click on the project number link to open the Project Details page. On the details page, click on the **Create Amendment** button to create a PAD or AMC amendment.

More Details

[Print/View Record](#) [Print/View Summary](#)

[Create Amendment](#)

On the following screen, select the Amendment Type (PAD or AMC for this user guide) using one of the radio options; instructions for creating an Application for Building Permit amendment are found in Section 7.

**Select an Amendment Type**

Choose one of the following available amendment types. For assistance or to apply for an amendment type not listed below please contact us.

[Search](#)

Alternate Method of Compliance  
 Application for Building Permit  
 Post Approval Document

[Continue Application »](#)

Submit the amendment by following the steps. Steps for application submission of AMCs and PADs are the same unless described otherwise.

**Step 1. Add additional licensed professionals**

When submitting an amendment for a PAD or AMC, Licensed Professional(s) listed on the parent project will be automatically copied to the amendment. Only Licensed Professional(s) not listed on the parent project need to be added to the new record, as may be the case with a Deferred Submittal Item when the Design Professional with Delegated Responsibility differs from the Design Professional(s) of Record for the project. You can add one or multiple additional licensed professionals. **Do not add licensed professionals if they already existed on the parent project.**

Search the OSHPD database for active LP records by entering at least one search criteria such as License Type, License Number, or Name. Click on the **Look Up** button to perform the search.

To obtain the best search results, limit the number of fields in the search. For instance, enter only the license number and leave all other fields empty. eCA will automatically find and add the professional to the application. If you do not know the LP's license number, enter the license type and last name only and then click **Look Up**. The search results will return all licensed professionals with that last name. If the search returns no results, the Licensed Professional is not currently in our database. Contact OSHPD at [eserv@oshpd.ca.gov](mailto:eserv@oshpd.ca.gov) to add a new Licensed Professional to our database.

When searching by name and license type, locate the correct LP in the returned list then check the box next to their license number and click **Continue**. The LP's name, address, and other information will be automatically populated with the current information from our database.

Look Up License

Revise Search

Search results:  
Showing 1-9 of 9

<input type="checkbox"/>	License Number	License Type	Licensed Professional Name	Business Name
<input type="checkbox"/>	C12436	Architect	Tom Lee	LDA ARCHITECTS
<input type="checkbox"/>	C14643	Architect	Al Lee	STANTEC
<input checked="" type="checkbox"/>	C16814	Architect	Alvin Lee	UCLA CAPITAL PROGRAMS
<input type="checkbox"/>	C17825	Architect	Chin-Whan Lee	HMC ARCHITECTS
<input type="checkbox"/>	C25642	Architect	Shong Leng Lee	ASPEN STREET ARCHITECTS
<input type="checkbox"/>	C26975	Architect	Michael Lee	THE BOLDT COMPANY
<input type="checkbox"/>	C27636	Architect	Kam Lee	MARSHALL LEE INC
<input type="checkbox"/>	C29310	Architect	Tachen Lee	TAYLOR DESIGN
<input type="checkbox"/>	C29537	Architect	L Kam Lee	Marshall/Lee Architects

**Continue** Discard Changes

**Please verify that the information is correct.** Contact OSHPD at [eserv@oshpd.ca.gov](mailto:eserv@oshpd.ca.gov) to update the existing Licensed Professional's information.

To add additional Licensed Professionals, repeat the steps above; continue these steps to add all Licensed Professionals (Delegates and Alternates) to the project. You can always delete the Licensed Professional(s) by clicking on the **Delete** link at the end of the record line.

Click **Continue Application** to proceed to the next page flow screen.

## Step 2. Record Details

For **PAD amendments**, you must record the PAD submittal type from the dropdown list – either an Amended Construction Document PAD or a Deferred Submittal Item PAD. Next enter the Applicant Tracking Number, the Reason for Change, and the Scope of Change. For **AMC amendments**, you must enter the Applicant Tracking Number; record the Type of AMC from the dropdown list; enter the Description of Proposal, Reason for Change, and Scope of Change.

AMC Types are described below:

- **ALTERNATE METHOD OF COMPLIANCE** means the approved use of an alternative material, method of construction, device or design to comply with an architectural, electrical, mechanical or plumbing regulation.
- **ALTERNATE MEANS OF PROTECTION** means the approved use of an alternative material, assembly or method of construction to comply with a fire and life safety regulation pursuant to Section 111.2.4, California Chapter 1, California Fire Code.
- **DESIGN CRITERIA.** Where design is based on ASCE 7 Chapters 16, 17, 18, or 31, the ground motion, wind tunnel design recommendations, analysis, and design methods, material assumptions, testing requirements, and acceptance criteria proposed by the structural engineer shall be submitted to OSHPD in the form of structural design criteria for approval.
- **PROGRAM FLEXIBILITY** means the approved use of an alternate space utilization, new concepts of design, treatment techniques or alternate finish materials. Program flexibility requests must be reviewed by the Department of Public Health and the Office, or other authority having jurisdiction.
- **UNREASONABLE HARDSHIP.** When the enforcing agency finds that compliance with the building standard would make the specific work of the project affected by the building standard infeasible, based on an overall evaluation of the following factors:
  1. The cost of providing access.
  2. The cost of all construction contemplated.
  3. The impact of proposed improvements on financial feasibility of the project.
  4. The nature of the accessibility which would be gained or lost.
  5. The nature of the use of the facility under construction and its availability to persons with disabilities.

**PAD ONLY** - Record the PAD details, enter the information as below.

The screenshot shows a web form titled "PAD Details" under a "PLAN REVIEW" section. The form contains the following fields and controls:

- Submittal Type:** A dropdown menu currently showing "Amended Construction Document". A red circle with the number "1" is next to it.
- Applicant Tracking Number:** A text input field containing "CO #1". A red circle with the number "2" is next to it.
- Reason for Change:** A large text area with the placeholder text "Reason for change". A red circle with the number "3" is to its right.
- Scope of Change:** A large text area with the placeholder text "Scope of change". A red circle with the number "4" is to its right.
- Field Review Requested:** Radio buttons for "Yes" and "No". The "No" option is selected. A red circle with the number "5" is next to the "No" radio button.

At the bottom of the form, there are two blue buttons: "Continue Application »" on the left and "Save pending submittal" on the right.

1. **Submittal Type:** Select Amended Construction Document or Deferred Item
2. **Applicant Tracking Number:** enter your tracking number for this submittal
3. **Reason for Change:** provide a detailed justification for the change or enter the type of deferred submittal
4. **Scope of Change:** describe the scope of the change or enter the type of deferred submittal
5. **Field Review Requested:** if you desire to have this submittal reviewed by OSHPD Field Staff at the construction site, select **Yes**. You must contact the OSHPD Field Staff to directly schedule an appointment during their next visit. If you leave the setting at **No**, the document will be routed to the office for review and approval

**ACM ONLY** - Record the AMC record details, enter the information as below.

The screenshot shows a web form titled "ALTERNATE METHOD OF COMPLIANCE". It contains the following fields and controls:

- Applicants Tracking No.:** A text input field containing "AMC #1" with a red circle '1' next to it.
- \*Type of AMC:** A dropdown menu showing "Alt Method of Compliance" with a red circle '2' next to it.
- \*L & C approval required:** Radio buttons for "Yes" (selected) and "No" with a red circle '3' next to the "Yes" option.
- \*Local authority approval required:** Radio buttons for "Yes" (selected) and "No" with a red circle '4' next to the "Yes" option.
- \*Description of Proposal:** A large text area with "Description" as a placeholder and a red circle '5' next to it.
- \*Reason:** A text area with "Reason" as a placeholder and a red circle '6' next to it.
- \*Scope of Change:** A text area with "Scope" as a placeholder and a red circle '7' next to it.

At the bottom of the form are two buttons: "Continue Application »" on the left and "Save pending submittal" on the right.

1. **Applicant Tracking Number:** enter your tracking number for this submittal
2. **Type of AMC:** select the type of AMC based on the descriptions on page 5
3. **L & C Approval Required:** indicate whether this alternate affects healthcare operations and requires concurrence from the California Department of Public Health, Licensing & Certification section. (Program flexibility will always require L&C approval.)
4. **Local Authority Approval Required:** indicate whether this alternate requires approval from any city or county authority (fire department, planning department, etc.) or any other regulatory agency approval (AQMD, FAA, etc.).
5. **Description of Proposal:** provide a description of the proposed alternative in as much detail as reasonably possible; the material, method or work being proposed must be at least the equivalent of that prescribed in this code in quality, strength, effectiveness, fire resistance, durability and safety.
6. **Reason:** describe why compliance with the prescriptive requirements of the code are not being followed.
7. **Scope of Change:** provide a detailed description of the scope of the change.

Click **Continue Application** to proceed to the next screen.



**PAD ONLY - PAD Costs Details**

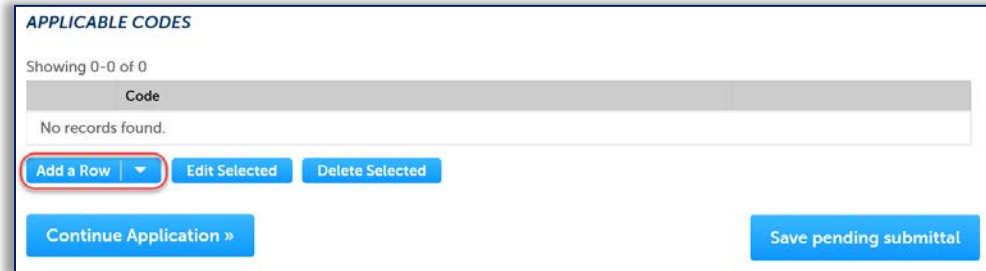
Enter the estimated CHANGE in project costs as a result of this submittal. (Do not enter full project cost). If this submittal is a reduction in cost, enter zero for that cost. Then, send the cost information along with the record number of the PAD to [eserv@oshpd.ca.gov](mailto:eserv@oshpd.ca.gov). We will update the PAD record accordingly. Enter negative numbers with a minus immediately in front of the cost.

Enter only one Costs row. To add one Costs row, click the **Add a Row** button in COSTS section.

On the pop up window, enter the estimated **CHANGE** of Construction Costs, Fixed Equipment Costs and Imaging Equipment Costs, then click on **Submit** button to copy form to Costs Table. For more info, click 

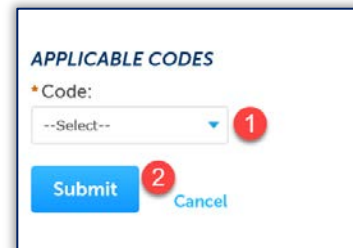
**AMC ONLY - Add Applicable Codes**

Enter applicable codes for the proposed alternate if it differs from the applicable code for the parent project. To enter the applicable codes, begin by clicking **Add a Row**:



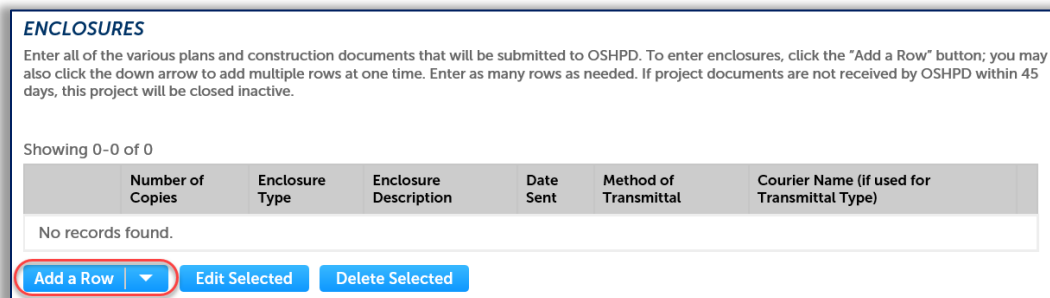
On the subsequent pop-up window, select the applicable codes from the dropdown list, then click **Submit**.

To add additional codes, repeat the process by adding a row.



**PAD and AMC - Add Enclosures**

For each type of Enclosure, click Add a Row to create a new entry in the Enclosure table or click the down arrow to add up to 10 rows simultaneously.



In the pop-up window, enter the number of copies submitted, and select the Type from the dropdown list and provide a brief description of the submittal in the Enclosure Description in the text field if necessary. Enter the Date Sent, select the Method of Transmittal (Courier, Electronic, In Person, Mail), and the Courier name (FedEx, UPS, GSO, etc.). Click **Submit** to copy form to Enclosures Table.

**PAD only – Critical Path Expedited Review**

An ACD that would cause a confirmed undue delay to the construction schedule may be reviewed following the Critical Path Expedite Review (CPER) process. The ACD must be submitted to the OSHPD Field Staff who will confirm the ACD impacts the schedule and will qualify the ACD for a CPER. The ACD will then be submitted to the office for review. When plans are received in the Office, plan review staff will interrupt whatever they are working on to immediately complete the CPER.

**Step 3. Enter Facility PIN code or Save pending submittal.**

**Before entering the Facility PIN Code, it is recommended that you have clicked on “Save pending submittal” at least once!**

If you are authorized by the facility and have obtained a valid Facility PIN, enter it on the screen, then click the **Continue Application** button to proceed to the next page flow screen.

If you do not have a valid Facility PIN code, click the **Save pending submittal** button to save the record.

**Authorization**

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**SECURITY**  
Please enter your Facility's six digit PIN below. **You will not be able to complete this project without a valid PIN.** If you do not know your Facility's PIN, click "Save pending submittal" now. This will save your record and issue a temporary project number (e.g. 15TMP-00014).

If you do not know the PIN but a PIN has been issued, forward your temporary application number to the PIN holder.

If your facility has not been issued a PIN, or if you are having any other access issues, please contact eCA's Access Manager at [eCA.Access.Manager@oshpd.ca.gov](mailto:eCA.Access.Manager@oshpd.ca.gov) or call (916) 440-8400.

Facility PIN Code:

[Continue Application »](#) [Save pending submittal](#)

If you click the “Save pending submittal” button, the application process stops and you will be redirected to the Project List page. eCA issues a temporary Project ID and displays the application in the Project list. Any authorized public users can “Resume Application” at a later time.

**Your partial application (16TMP-015624) has been successfully saved.**  
To resume the application(s), go to the Projects section and click the Resume Application link.

**Projects**

Showing 1-10 of 100+ | [Download results](#) | [Add to collection](#)

<input type="checkbox"/>	Date	Project Number	Project Type	Project Name	Status	Related	Action
<input type="checkbox"/>	10/31/2016	16TMP-015624	Application for New Project	Project Name		0	<a href="#">Resume Applica</a>
<input type="checkbox"/>	10/30/2016	S162557-37-00-ACD0001	Post Approval Document	mc16088 Jacobs Sink Installation Rm LL-483	Open	3	

If you enter an invalid Facility PIN, eCA displays an error message at the review step and prevents you from completing the application. You may return to the Security page and re-enter the correct PIN; however, after 3 times entering an incorrect PIN, the application will be locked.

**An error has occurred.**  
ACA52439-Action Cancelled

You must correctly enter your facility's PIN code to create this project. Please select **Save Pending Submittal** to save your work, then go back into your temp record and proceed to the PIN entry screen.

**Step 4. Select Payment Option.**

After entering a valid Facility PIN code, select one of the payment options. The description of each payment options is as follows:

- **Invoice Me:** OSHPD will mail an invoice to the facility billing address on file.
- **Pay Now:** facility intends to make an immediate online payment using a credit card on the next screen before the application is submitted.

**If you do not select a payment type and proceed to complete the application, the Facility PIN will be visible on the review page to any authorized user when the application is resumed later.** To prevent the Facility PIN from being displayed, you must complete this step by selecting a payment type and completing the application. When this step is completed, the Facility PIN is hidden from all users.

Click **Continue Application** to proceed to the next page flow screen.

**Step 5. Review data entered and make edits if needed.**

On this screen, review all of the information entered on the application; click the **Edit** button in each application step to make necessary changes.

**Step 6. Pay Application Fees online.**

Once all data is verified, click **Continue Application** to proceed to the next page flow screen.

*If you selected the “Invoice Me” option, skip to step 9 below.*

**Step 7. Pay Application Fees online.**

If you selected “Pay Now” option, this screen displays the total fees to be paid with a credit card.

Click on “Continue Application” to proceed to the payment screen.

**Step 7: Pay Fees**

Listed below are the fees assessed by OSHPD based on the information you have provided. The application fee is not refundable. The Plan Review Fee for the estimated construction costs, 1.64% for hospital buildings or 1.5% for skilled nursing facilities, will be invoiced directly to the facility.

**Application Fees**

Fees	Qty.	Amount
Application Fee	1	\$250.00

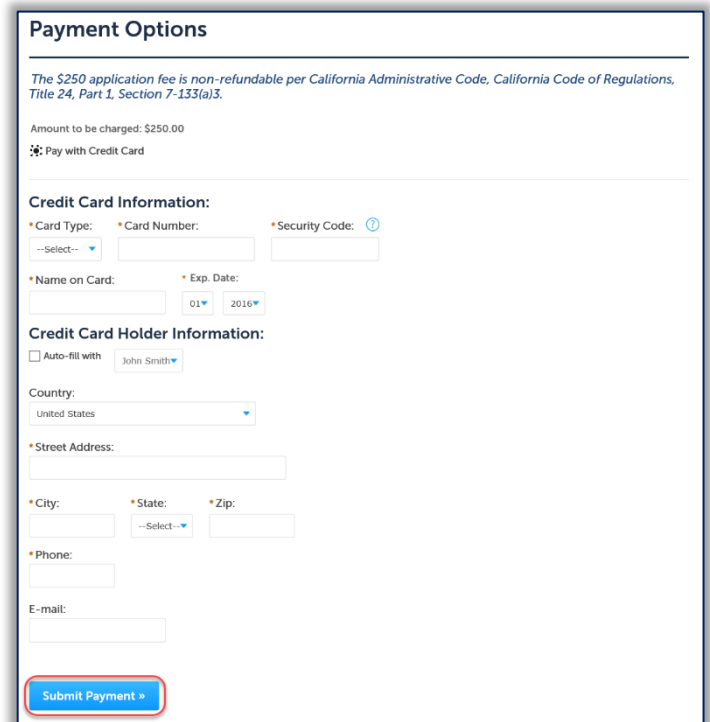
**TOTAL FEES**  
 Note: This does not include additional inspection fees which may be assessed later.

\$250.00

[Continue Application »](#)

**Step 8. Submit online payment.**


On this screen, enter the accurate credit card information then click on **Submit Payment** button.



**Step 9. Project submission confirmation.**

On this final screen, eCA display a project submission confirmation including the project record number. You may print a project record summary from this screen, and if the fees were paid with a credit card, a payment receipt can be printed. These documents open in a PDF format and may be saved or emailed.

You can also view the detailed information about the project by clicking on **View Record Details** button. Email confirmation is automatically sent to the Public User that started the application (Design Professional) and to the Public User that approved the application by entering the PIN (Owner Representative).



Your application has been successfully submitted.  
Please print your record and retain a copy for your records.

Thank you for using eClient Access to submit your application for alternate method of compliance.  
**Your AMC Record Number is S162686-37-00-AMC0001.**

You will need this number to check the status of your project. Please print a copy for your records.

[Print/View Project](#)   [Print/View Summary](#)

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Your application has been accepted and is now in the eServices Portal project tracking system.

Please click the Print/View Summary button to print the transmittal that must accompany the construction documents to the office or to your appointment with the OSHPD Field Staff. If construction documents are not received within 45 days, this application will be cancelled.

[View Project Details »](#) (You must post the record in the work area.)

**Congratulations! You have successfully submitted an amendment project application.**

### 3 Submit Construction Documents to OSHPD

When the Design Professional receives the confirmation email, they must log in to eCA and click the Projects tab. The most recent project will be at the top of the list.



Home **Projects** 1

Create an Application **Search Projects**

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## Projects

Showing 1-10 of 100+ | [Download results](#) | [Add to collection](#)

<input type="checkbox"/>	Date	Project Number	Project Type	Project Name	Status	Related	Action
<input type="checkbox"/>	11/03/2016	<a href="#">S162686-37-00-AMC0001</a>	Alternate Method of Compliance	Project Name	Open	2	<a href="#">Pay Fees Due</a>
<input type="checkbox"/>	11/03/2016	<a href="#">S162686-37-00-ACD0001</a> <span style="border: 1px solid black; border-radius: 50%; padding: 2px;">2</span>	Post Approval Document	Project Name	Open	2	<a href="#">Pay Fees Due</a>

Click on the project number to open the Project Detail view. Below the ‘More Details’ section, click the **Print/View Summary** button – this will open the Project Summary report that must be printed and included when **paper construction documents** are submitted to OSHPD, regardless of whether the project will be submitted to the office or to field staff for a FREER review.

► More Details

[Print/View Record](#) [Print/View Summary](#)

[Create Amendment](#)

**OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT  
FACILITIES DEVELOPMENT DIVISION**

**Project Number:** S162686-37-00-ACD0001  
**Project Type:** Post Approval Document  
**Parent Project Number:** S162686-37-00  
**Facility:** 12259 - University of California, San Diego Medical Center

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**Project Description:** Project Name  
Project Scope

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**Applicant Tracking No:** CO #1  
**Submittal Type:** Amended Construction Document  
**Reason for Change:** Reason for change  
**Scope of Change:** Scope of change

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**Description of Proposal:**

**Primary Professional:** Hurrey Chan, (310) 838-9555, restingsp@oshsd.ca.gov  
**Primary Contact:** June Doe

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Cost Type	Cost Date	Construction Cost	Fixed Equipment Cost	Total Cost	Cost of Inteqlog	Reason
Estimated	11/04/2016	\$0.00	\$0.00	\$0.00	\$0.00	

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**Enclosures:**

# of Copies	Enclosure Type	Description	Date Sent	Method of Transmittal	Courier Name	Backcheck	Attached

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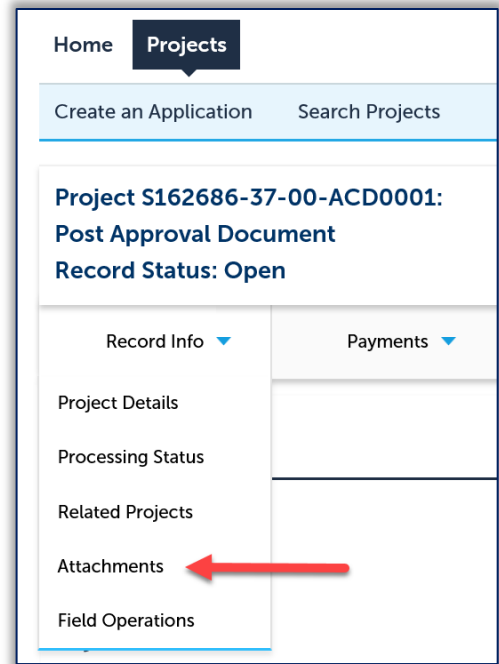
Please include this Project Application Summary form with your construction documents when submitting to OSHPD. This Project Application Summary form replaces the paper application; it is not necessary to include the paper application when project applications are created using eClient Access.

S162686-37-00-ACD0001

This form is the transmittal letter and is the only document that is required for a paper plans and documents; it is not necessary to include a paper application when project applications are created using eClient Access.

For electronic plan submittal and electronic plan check, click the Dropdown menu Record Info and select **Attachments**.

Follow the instructions in User Guide #10 to attach plans and construction documents to this record for instantaneous submittal to OSHPD. Also see User Guide #11 for specific information on how to format the electronic documents prior to uploading.



**Your Application for a Post-Approval Document or Alternate Method of Compliance is ready to be reviewed by OSHPD!**