

# Recent / Upcoming HCAI Seismic Webinars

- February 20, 2025 - Seismic Grant: Small and Rural Hospital Relief Program
- March 4, 2025 - Seismic compliance plan and delays beyond the 2030 deadline
- March 18, 2025 - NPC compliance
- March 27, 2025 - Water rationing plan
- April 2, 2025 - SPC compliance

# **Seismic Compliance Plan Application**

# Seismic Compliance Plan Application

- Seismic Compliance Plan is **NOT** a drawing or calculations or an evaluation.
- Seismic compliance plans **outline** the details for how each building in the facility will achieve seismic compliance by the proposed completion date.
- The information includes types of compliance solutions, such as retrofitting a building or removing acute care services, with associated timelines and related project numbers, if any, for each building.

# Seismic Compliance Plan – Change in Plans

California Administrative Code Part 1 Chapter 6 Section 1.4.5 requires:

*A change to an approved Compliance Plan shall be submitted by a hospital owner when the method or schedule to achieve compliance changes.*

HCAI has not received compliance plan updates from many facilities in the last 24 years.

# Seismic Compliance Plan

- First submittals were due 2001.
- The required info is still the same, just different submittal process.
- 2001 → paper submittal → pdf submittal → 2025 automated submittal

**1.4 Compliance plans.** A compliance plan shall be prepared and submitted for each building subject to these regulations. All general acute care hospital owners shall formulate a compliance plan which shall indicate the facility's intent to do any of the following:

1. Building retrofit for compliance with these regulations for continued acute care operation beyond 2030;
2. Partial retrofit for initial compliance, with closure or replacement expected by 2002, 2008, 2013 or 2030;
3. Removal from acute care service with conversion to nonacute care health facility use; or
4. No action, building to be closed, demolished or replaced.

This plan must clearly indicate the actions to be taken by the facility and must be in accordance with the timeframes set forth in Article 2 (Structural Performance Category-“SPC”) and Article 11 (Nonstructural Performance Category-“NPC”) of the Seismic Evaluation Process regulations.

2. All site, architectural, and engineering plans shall be formatted on 11- by 17-inch sheets (folded to 8 1/2 by 11 inches);

3. Larger sheets, if required to clearly describe the requested information, shall be appended to the compliance plan; and

4. Other supporting documents in addition to those meeting the minimum requirements of Section 1.4.4 may be appended to the compliance plan.

**1.4.2 Compliance plan submittal.** Hospital owners shall submit the compliance plan to the Office by January 1, 2001, unless the owner requests an extension pursuant to Section 1.4.3. The hospital owners shall submit the compliance plan in accordance with Section 7-113, "Application for Plan or Report Review" and Section 7-133, "Fees" of Article 3, Chapter 7, Part 1, Title 24.

**1.4.3 Compliance plan submittal extension.** Hospital owners may request an extension from the Office for submission of the compliance plan. Any hospital owner requesting an extension for submittal of the compliance plan shall make such request in writing to the Office up to 180 days prior to, but no later than January 1, 2001. The compliance plan must be submitted no later than January 1, 2002. All hospital owners requesting an extension for submittal of the compliance plan shall certify to OSHPD that all hospital buildings continuing acute care operation beyond January 1, 2002 meet the standards of NPC 2 by January 1, 2002.

**1.4.4 Compliance plan requirements.** Each compliance plan shall contain the following elements:

1. An Existing Site/Campus Description;
2. A Compliance Plan Description;
3. A Compliance Site Plan;
4. A Compliance Plan Schedule; and
5. An Existing and Planned Buildings Matrix.

**1.4.4.1 Existing site/campus description.** If the compliance plan is submitted separately from the seismic evaluation, it will be necessary to resubmit the information as specified in Section 1.4.4.5, of the Nonstructural Evaluation Report.

**1.4.4.2 Compliance plan description.** Provide a comprehensive narrative description of the Compliance Plan, including the projected schedule for compliance.

**1.4.4.3 Compliance site plan.** Provide Compliance Site Plans, indicating the configuration of the facility at the 2008 and 2030 milestones. The plans shall indicate conforming and nonconforming buildings and identify the final configuration of the facility at each milestone, after completion of compliance measures.

**1.4.4.4 Compliance plan schedule.** Provide a bar graph schedule which describes the schedule for compliance with the SPC and NPC seismic performance categories, indicating the schedule of the following major phases of the plan:

1. Obtain a geotechnical report (if necessary);
2. Architecture and engineering design/construction document preparation;
3. Local approvals;
4. Office review, approval and permitting;

BUILDING NAME/ DESIGNATION	BUILDING TYPE (per Section 2.2.3)	SPC existing	SPC planned	NPC existing	NPC planned

5. Approval of Department of Health Services Licensing and Certification, and any other required licensing;
6. Permanent relocation of acute care services to other buildings or facilities (identify services affected);
7. Temporary/interim relocation of acute care services to other buildings including the duration of the approved program flexibility plan pursuant to Health and Safety Code Section 1276.05;
8. Construction period; and
9. Beneficial occupancy.

BUILDING NAME/ DESIGNATION	OSHPD (or local building) permit date/ number	GOVERNING BUILDING CODE	CONSTRUCTION COMPLETION DATE	BUILDING TYPE (per Section 2.2.3)	SPC	NPC

# Seismic Compliance Plan Application

- Seismic compliance plan applications are projects which are submitted to HCAI via the [\*eServices Portal \(eSP\)\*](#).
- For step-by-step instructions on how to submit a seismic compliance plan application see [User Guide 21 Application for Seismic Compliance Plan Review](#).

**e-Services  
Portal  
User  
Guide**

**APPLICATION FOR  
SEISMIC  
COMPLIANCE PLAN**

**VERSION 1.0**

**Section  
21**

# Overview of Seismic Compliance Plan

There are two main tables



- **Compliance Method:** Outlines method of compliance for each building
- **Building Milestones:** Outlines critical and regular milestones for each building

The rest of the application is about facility ownership, applicant contact info, etc.

# Overview of Seismic Compliance Plan: Compliance Method

- Compliant
- Demolish building
- Relocate services to other existing compliant building
- Replace services to NEW building
- SPC retrofit
- NPC retrofit
- SPC and NPC retrofit

Facility will see  
HCAI comments  
here

<input type="checkbox"/>		Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note	
<input type="checkbox"/>		BLD-00317	Cancer Research Institute (Ezralow Tower)	3	3					<a href="#">Actions ▼</a> <div>Edit</div>
<input type="checkbox"/>		BLD-03689	Topping Tower	5	2					<a href="#">Actions ▼</a>

Edit Selected



# Overview of Seismic Compliance Plan: Building Milestones (max 10 per bldg)

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A

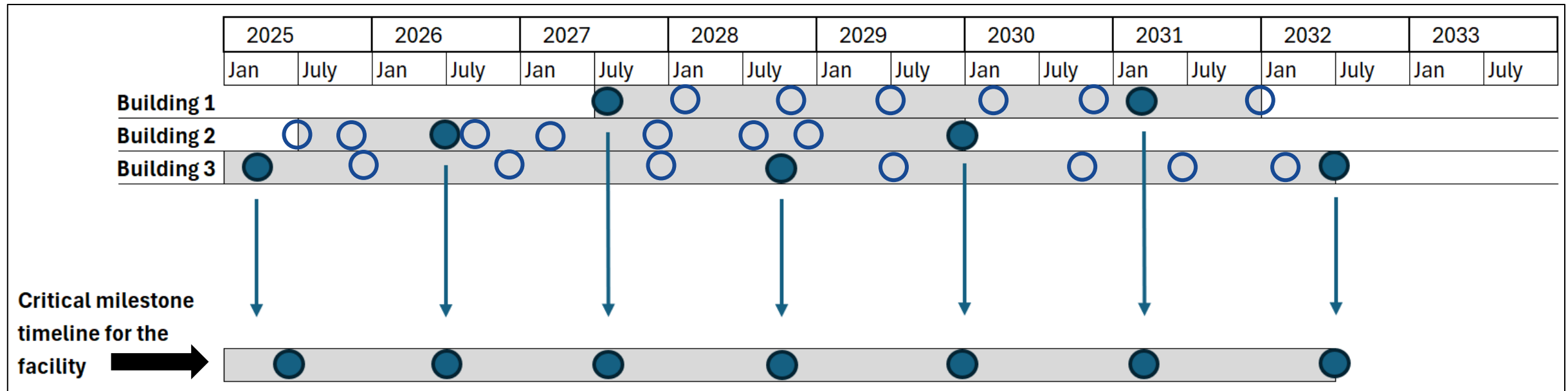
- i. Completed
- ii. In Progress
- iii. Not Started

Facility will see  
HCAI comments  
here

BUILDING MILESTONES								
Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination
BLD-00317	Evaluation Report Submittal	NPC 5 Evaluation report submitted in December 21, 2023	No	12/22/2023	Completed	SRU-2023-00863		

Edit

# Critical vs Regular Milestones



# Step-By-Step Seismic Compliance Plan

## New Application

- Enforcement
  - Create a new application or search existing
  - Agree to terms and continue application

The screenshot displays the eServices Portal interface. At the top, the 'eServices Portal' title is visible. Below it, a navigation bar includes links for 'Home', 'Projects', 'Enforcement', 'Preapproval', 'Small Rural Hosp', and 'e TIO'. The 'Enforcement' link is highlighted with a red box. Below the navigation bar, a secondary bar contains 'Create an Application' and 'Search Applications', with 'Create an Application' also highlighted by a red box. The main content area is titled 'Online Application' and contains a welcome message, a privacy policy notice, and a checkbox for accepting terms. The 'Continue Application »' button at the bottom is highlighted with a red box.

eServices Portal

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

**Create an Application** Search Applications

**Online Application**

Welcome to the HCAI eServices Portal Client Access online project tracking system. Using eCA you can submit project applications, pay fees, and track the status of your projects - all from the convenience of your home or office, 24-hours a day.

Please "Allow Pop-ups from This Site" before proceeding. You must accept the HCAI Privacy Policy below before beginning your application.

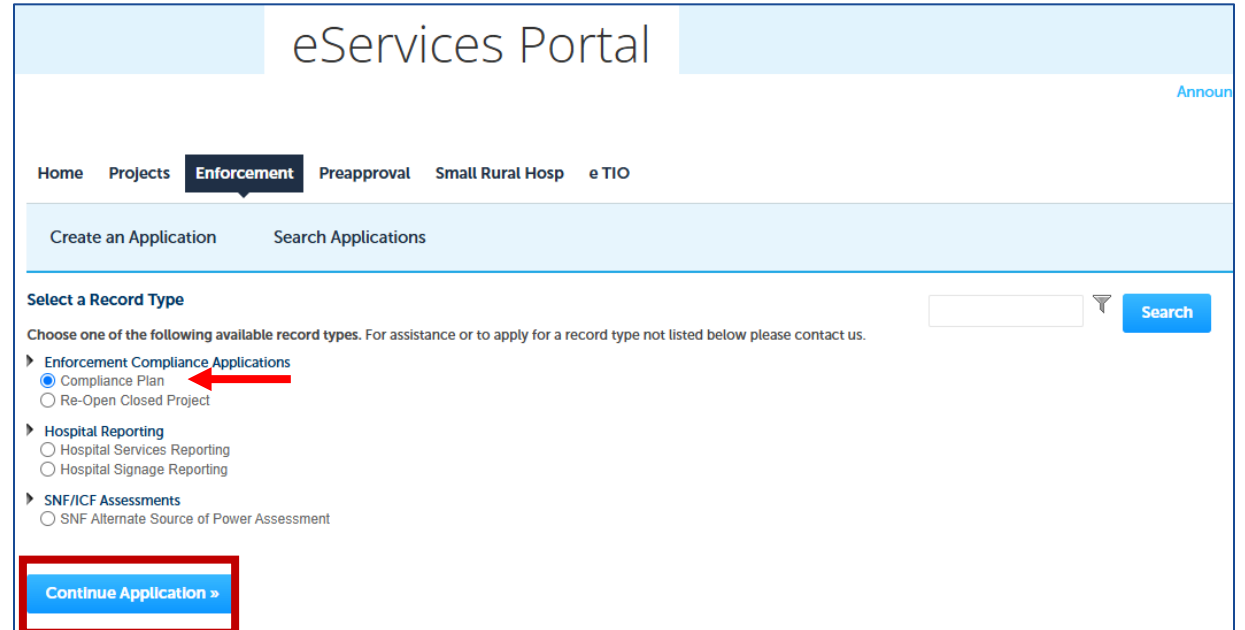
**HCAI Privacy Policy**  
By continuing, I have read and accept the HCAI privacy policy.  
[http://www.hcai.ca.gov/General\\_Info/Privacy.html](http://www.hcai.ca.gov/General_Info/Privacy.html)

☐ I have read and accepted the above terms.

**Continue Application »**

# Compliance Plan – New Application

- Select Compliance Plan and click the icon for continue application



The screenshot displays the 'eServices Portal' interface. At the top, there is a navigation bar with links for 'Home', 'Projects', 'Enforcement' (which is highlighted with a dark background), 'Preapproval', 'Small Rural Hosp', and 'e TIO'. Below this, a light blue bar contains the buttons 'Create an Application' and 'Search Applications'. The main content area is titled 'Select a Record Type' and includes a search box with a 'Search' button. Below the title, a message states: 'Choose one of the following available record types. For assistance or to apply for a record type not listed below please contact us.' The record types are listed with expandable arrows: 'Enforcement Compliance Applications' (which is expanded), 'Hospital Reporting', and 'SNF/ICF Assessments'. Under 'Enforcement Compliance Applications', the 'Compliance Plan' option is selected with a radio button, and a red arrow points to it. Other options include 'Re-Open Closed Project', 'Hospital Services Reporting', 'Hospital Signage Reporting', and 'SNF Alternate Source of Power Assessment'. At the bottom left, a blue button labeled 'Continue Application »' is highlighted with a red rectangular border.

# Compliance Plan – Step 1

- Facility Information
  - Fill in the facility information
  - Type the facility ID to use the existing database to populate the information

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization	6	7	8
------------------------	------------	-------------------------	---------------	--------------------------	---	---	---

Step 1: Facility Information > Facility

\* indicates a required field.

Facility

Enter the HCAI Facility ID for this Project (or select 'Auto-fill' if available) and then click on the Search button. Select the correct facility from the returned list. Both the Address and Owner sections will be automatically completed with the current information from our database. **Please verify that this information is correct.** Contact HCAI at [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) if the current facility information is incorrect or to add a new facility.

\* Facility ID  
10025

Facility Name  
UC Davis Rehabilitation Institute

Responsible Region:  
North Region

Type of Facility:  
General Acute Care

Search Clear

# Compliance Plan – Step 1 continued

- Facility Information
  - Address and owner information will be filled in automatically based on our database
  - Click Continue Application

Address

\* Street No.:  
4875

\* Street Name:  
Broadway

City:  
Sacramento

State:  
CA

\* Zip:  
95820

Search

Clear

Owner

Owner Name:  
10025 - UC DAVIS REHABILITATION II

Address Line 1:  
113 SEABOARD LANE, STE 8201

City:  
FRANKLIN

State:  
TN

Zip:  
37067-

Phone:  
615-846-9522

E-mail:

Search

Clear

Continue Application »

Save and resume later

# Compliance Plan – Step 2

- Contacts

- Primary Project Contact
- Additional Contacts
- Licensed Professionals
- Responsible Party

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

**Compliance Plan**

1 Facility Information **2 Contacts** 3 Method and Milestones 4 Attachments 5 Facility Authorization 6 7 8

**Step 2: Contacts > Project Contacts**  
A primary Contact and at least one additional contact are required.

**Primary Project Contact**

The primary Contact will receive HCAI correspondence regarding this project.

Select from Account Add New Look Up

**Additional Contacts**

At least one additional Contact is required, but several can be added. These contacts are supplemental to the Primary contact above and correspondence regarding this project.

Select from Account Look Up

Showing 0-0 of 0

Full Name	Business Name	Contact Type	Work Phone	Fax	E-mail	Action
No records found.						

**Licensed Professional List**

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) to add a new Licensed Professional.

Licensed Professional's name, address, and other information will be automatically populated with the current information from our database. Please verify that the information is correct by clicking the Edit link and reviewing the Licensed Professional Information; contact HCAI at the phone number or email address above to have missing information added or inaccurate information corrected.

Add additional Licensed Professionals for this project by clicking "Look Up" and repeat these steps until all Licensed Professionals for this project have been added to the application. When the list is complete, click "Continue Application".

Look Up

Showing 0-0 of 0

License Number	License Type	Contact Name	Business Name	Business License #	Home Phone	Fa
No records found.						

**Property Management Information**

**Responsible Parties**  
Please refer to eSP User Guide 21. Application for Seismic Compliance Plan Review [here](#) for detailed descriptions of the Responsible Parties designations.

Facility Property Owner Type: \* Private (Investor - Corporation)

Is the Facility Property Owner also the managing organization/licensee?: \* ☒ Yes ☐ No

Managing organization or licensee name: \* ABC

Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?\*: \* Managing Organization/Licensee

# Compliance Plan – Step 2 continued

- Contacts
  - Fill in the Primary Project Contact and Additional Contacts
  - At least one primary and one additional contact shall be included

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization	6	7	8
------------------------	------------	-------------------------	---------------	--------------------------	---	---	---

**Step 2: Contacts > Project Contacts**  
A primary Contact and at least one additional contact are required.

\* indicates a required field.

**Primary Project Contact**

The primary Contact will receive HCAI correspondence regarding this project.

Select from Account Add New Look Up

**Additional Contacts**

At least one additional Contact is required, but several can be added. These contacts are supplemental to the Primary contact above and will receive HCAI correspondence regarding this project.

Select from Account Look Up

Showing 0-0 of 0

Full Name	Business Name	Contact Type	Work Phone	Fax	E-mail	Action
No records found.						

**Licensed Professional List**

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [care@hcai.ca.gov](mailto:care@hcai.ca.gov) to add a new Licensed Professional.



# Compliance Plan – Step 2 continued

- Contacts (continued)
  - Include the licensed professionals
  - Use the look up option to search from database

## Licensed Professional List

To add Licensed Professionals to this application, click "Look Up" and enter at least one search criteria. For the best results, limit the search criteria by completing only one or two fields. Click "Look Up" again and the Licensed Professional will be added. If the search returns no results, the Licensed Professional is not currently in our database. Contact HCAI at (916) 440-8400 or email [eserv@hcai.ca.gov](mailto:eserv@hcai.ca.gov) to add a new Licensed Professional.

Licensed Professional's name, address, and other information will be automatically populated with the current information from our database. Please verify that the information is correct by clicking the Edit link and reviewing the Licensed Professional Information; contact HCAI at the phone number or email address above to have missing information added or inaccurate information corrected.

Add additional Licensed Professionals for this project by clicking "Look Up" and repeat these steps until all Licensed Professionals for this project have been added to the application. When the list is complete, click "Continue Application".

Look Up

Showing 0-0 of 0

License Number	License Type	Contact Name	Business Name	Business License #	Home Phone	Fa
No records found.						

# Compliance Plan – Step 2 continued

## Property Management Information.

- Include the Facility Property Owner Type
  - Private (Investor – Corporation)
  - Private (Investor – Individual)
  - Private (Investor – Partnership)
  - Private (Investor – Limited Liability Company)
  - Non-profit Corporation (incl/ Church-related)
  - City
  - County
  - District
  - University of California

The screenshot shows a web form titled "Property Management Information". Below the title is a section for "Responsible Parties" with a link to the eSP User Guide. The form includes several fields: "Facility Property Owner Type" (a dropdown menu), "Is the Facility Property Owner also the managing organization/licensee?" (radio buttons for Yes/No), "Managing organization or licensee name" (a text input), and "Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?" (a dropdown menu). At the bottom are two buttons: "Continue Application" and "Save and resume later". A red box highlights the "Facility Property Owner Type" dropdown, and a red arrow points from it to a larger red box showing the dropdown's menu. The menu lists the following options: Private (Investor - Corporation), Private (Investor - individual), Private (Investor - Partnership), Private (Investor - Limited Liability Company), Non-profit Corporation (incl. Church-related), City, County, District, and University of California.

**Property Management Information**

**Responsible Parties**  
Please refer to eSP User Guide 21. Application for Seismic Compliance Plan Review [here](#) for detailed descriptions of the Responsible Parties designations.

Facility Property Owner Type: \* --Select--

Is the Facility Property Owner also the managing organization/licensee?: \* ☐ Yes ☐ No

Managing organization or licensee name: \*

Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?: \* --Select--

[Continue Application >](#) [Save and resume later](#)

--Select--

- Private (Investor - Corporation)
- Private (Investor - individual)
- Private (Investor - Partnership)
- Private (Investor - Limited Liability Company)
- Non-profit Corporation (incl. Church-related)
- City
- County
- District
- University of California

# Compliance Plan – Step 2 continued

- Contacts (continued)
  - Please fill in the following information
    - Is the Facility Property Owner also the managing organization/licensee?
    - Managing organization or licensee name
    - Who is financially obligated for infrastructure improvements including seismic upgrades?

The screenshot shows a web form titled "Property Management Information". Below the title is a section labeled "Responsible Parties" with a link to "eSP User Guide 21. Application for Seismic Compliance Plan Review". The form contains several fields: "Facility Property Owner Type" (a dropdown menu), "Is the Facility Property Owner also the managing organization/licensee?" (radio buttons for Yes and No), "Managing organization or licensee name" (a text input field), and "Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?" (a dropdown menu). A red box highlights the "Responsible Parties" section and the "Who is financially obligated..." dropdown menu. A red arrow points from the highlighted dropdown menu to a larger, detailed view of the dropdown options: "--Select--", "--Select--", "Managing Organization/Licensee", "Property Owner", and "Other".

Property Management Information

**Responsible Parties**  
Please refer to eSP User Guide 21. Application for Seismic Compliance Plan Review [here](#) for detailed descriptions of the Responsible Parties designations.

Facility Property Owner Type: \* --Select--

Is the Facility Property Owner also the managing organization/licensee?: \* ☐ Yes ☐ No

Managing organization or licensee name: \*

Who is financially obligated for infrastructure improvements including seismic upgrade (existing or future)?: \* --Select--

Continue Application >

Save and resume later

--Select--

--Select--

Managing Organization/Licensee

Property Owner

Other

# Compliance Plan – Step 3

- Method and Milestones
  - All buildings under the chosen facility will be prepopulated
  - Fill Compliance Method and Building Milestones

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1 Facility Information	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization	6	7	8
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Step 3: Method and Milestones > Method and Milestones

\* indicates a required field.

Custom Lists

**COMPLIANCE METHOD**

The table below shows a list of the GAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).

Showing 0-0 of 0

Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note
No records found.							

Edit Selected

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Showing 0-0 of 0

Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination
No records found.								

Add a Row Edit Selected

Continue Application » Save and resume later

# Compliance Plan – Step 3 continued

- Compliance Method
  - For each building, click on the Action icon and edit to complete the information

## Step 3: Method and Milestones > Method and Milestones

\* indicates a required field.

### Custom Lists



#### COMPLIANCE METHOD

The table below shows a list of the CAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).



Required forms have not been completed in the section below. For each of the indicated rows, please click Edit from the Actions drop-down menu to complete the form.

Showing 1-2 of 2

<input type="checkbox"/>	Building No.	Building Name	Current SPC Rating	Current NPC Rating	Compliance Type	Narrative	Seismic Compliance Related Project Numbers	HCAI Note	
<input type="checkbox"/>	 BLD-00317	Cancer Research Institute (Ezralow Tower)	3	3					<div>Actions ▼ Edit</div>
<input type="checkbox"/>	 BLD-03689	Topping Tower	5	2					<div>Actions ▼</div>

Edit Selected

# Compliance Plan – Step 3 continued

- Compliance Method
  - Ratings and the name of the building will be prepopulated
  - Click on the drop-down menu to select the compliance type plan.
    - Compliant
    - Demolish building
    - Relocate services to other existing compliant building
    - Replace services to NEW building
    - SPC retrofit
    - NPC retrofit
    - SPC and NPC retrofit
  - Include a Narrative (required), Seismic Compliance Related Project Numbers (if any), and HCAI Note if needed

**COMPLIANCE METHOD** ×

The table below shows a list of the GAC Buildings located at the facility and their current SPC/NPC ratings. Click the Action drop-down and select Edit to add the compliance type, brief narrative, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If there are any discrepancies in the buildings listed, please contact [SeismicComplianceUnit@hcai.ca.gov](mailto:SeismicComplianceUnit@hcai.ca.gov).

Building No.: BLD-00317	Building Name: Cancer Research Institute	Current SPC Rating: 3
Current NPC Rating: 3	* Compliance Type: --Select--	* Narrative: <div></div>
Seismic Compliance Related Project Numbers: <i>Separate multiple project numbers with a semicolon i.e. S240023-23-00;S240045-23-00;S240234-23-00</i>	HCAI Note: <div></div>	

**\* Compliance Type:** --Select--

**\* Narrative:**

Compliant  
Demolish building  
Relocate services to other existing compliant building  
Replace services to NEW building  
SPC Retrofit  
NPC Retrofit  
SPC and NPC Retrofit

# Compliance Plan – Step 3 continued


- Building Milestones for each building
  - A minimum of one milestone is required per building (maximum of 10)
  - Edit each milestone to be populated

## BUILDING MILESTONES

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

 Required forms have not been completed in the section below. For each of the indicated rows, please click Edit from the Actions drop-down menu to complete the form.

Showing 1-10 of 20

<input type="checkbox"/>	Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination	
<input type="checkbox"/>	BLD-00317									Actions ▾ Edit
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▾

< Prev 1 2 Next >

Add a Row ▾ Edit Selected

# Compliance Plan – Step 3 continued

## Building Milestones

Include milestone type from drop-down menu options (required)

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Building No.: BLD-00317

\*Milestone Type: --Select--

Description:

☐ Critical Milestone

Completion Date: MM/DD/YYYY

Status: --Select--

Related Project Numbers:

HCAI Note:

HCAI Determination: --Select--

Submit Cancel

\*Milestone Type: --Select--

Description:

--Select--

- Compliant Building
- Evaluation Report Submittal
- Material Testing Report Submittal
- Construction Project Submittal
- Permit Issuance
- Construction Commencement
- Construction Milestone
- Construction Final
- NPC 4/4D Construction Document Submittal
- NPC 4/4D Permit Issuance
- NPC 5 Construction Document Submittal
- NPC 5 Permit Issuance
- N/A



# Compliance Plan – Step 3 continued

- Building Milestones
  - Include description (if any), critical milestone, proposed completion date, related project numbers, HCAI Note
  - Select the status of the milestone
    - Complete
    - In Progress
    - Not Started
  - HCAI determination box is for office use only.

**BUILDING MILESTONES**

The table below will automatically populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Building No.: BLD-00317

\*Milestone Type: --Select--

Description:

☐ Critical Milestone

Completion Date: MM/DD/YYYY

Status: --Select--

Related Project Numbers:

HCAI Note:

HCAI Determination: --Select--

Submit Cancel

**Status:**

--Select--

--Select--

Completed

In Progress

Not Started

# Compliance Plan – Step 3 continued

- Building Milestones

- Example of populated milestones
- At least one** milestone shall be selected as a **critical** milestone for each significant building.
- Critical** milestones can be skipped for minor buildings such as canopies, tunnels, sheds, equipment yards, or buildings that are not as important/significant relative to the rest.
- Regular milestones are still required for all buildings.

**BUILDING MILESTONES**

Populate project milestones for each GAC Building. At least one milestone is required per building, with a maximum of 10 milestones allowed. Click the Action drop-down and select Edit to add a milestone type, milestone description, critical milestone\*, completion date, status, related OSHPD project number(s) (if applicable), and/or view the OSHPD note. If you do not use all 10 milestones you can leave them unedited or select Milestone Type: N/A.

Showing 1-10 of 20

<input type="checkbox"/>	Building No.	Milestone Type	Description	Critical Milestone	Completion Date	Status	Related Project Numbers	HCAI Note	HCAI Determination	
<input type="checkbox"/>	BLD-00317	Evaluation Report Submittal	NPC 5 Evaluation report submitted in December 21, 2023	No	12/22/2023	Completed	SRU-2023-00863			Actions ▼
<input type="checkbox"/>	BLD-00317	Evaluation Report Submittal	NPC 4 evaluation report submitted on	No	12/22/2023	Completed	SRU-2023-00861			Actions ▼
<input type="checkbox"/>	BLD-00317	NPC 4/4D Construction Document Submittal	NPC 4 retrofit	No	02/02/2026	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Permit Issuance	NPC 4 retrofit	No	11/24/2027	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Construction Final	NPC 4 retrofit	Yes	06/28/2029	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	NPC 5 Construction Document Submittal	NPC 5 retrofit	No	01/28/2026	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Construction Final	NPC 5 retrofit	Yes	07/19/2029	Not Started				Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼
<input type="checkbox"/>	BLD-00317	Additional Milestone								Actions ▼

< Prev 1 2 Next >

Add a Row Edit Selected

# Compliance Plan – Step 4

- Attachments

Provide any attachments relevant to the review.

Compliance Plan

1	2 Contacts	3 Method and Milestones	4 Attachments	5 Facility Authorization
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Step 4: Attachments > Attachments ⚠ indicates a required field.

Attachment

Attachments are optional and not required. If you have any attachments please add them below.

The maximum file size allowed is 300 MB.  
ade;adp;bat;chm;cmd;com;cpl;exe;hta;htm;html;ins;isp;jar;jse;lib;lnk;mde;mht;mhtml;msc;msp;mst;php;pif;reg;scr;sct;shb;shs;sys;vb;vbe;vbs;vxd;v are disallowed file types to upload.

Name	Type	Size	Latest Update	Action
No records found.				

Add

Continue Application »

Save and resume later

# Compliance Plan – Steps 5, 6, 7 and 8

- Facility Authorization
- Review
- Pay Fees
- Record Issuance

The screenshot shows the HCAi website navigation bar with links: Home, Projects, Enforcement (highlighted), Preapproval, Small Rural Hosp, and e TIO. Below the navigation bar is a light blue bar with 'Create an Application' and 'Search Applications' links. The 'Compliance Plan' section features a horizontal progress bar with steps 1 through 8. Steps 1, 2, and 3 are in grey boxes. Step 4 is 'Attachments' in a white box. Steps 5, 6, 7, and 8 are in white boxes, with the entire group highlighted by a red border. Step 5 is 'Facility Authorization', step 6 is 'Review' (underlined in blue), step 7 is 'Pay Fees', and step 8 is 'Record Issuance'. Below the progress bar, the text 'Step 6: Review' is displayed.

Home Projects **Enforcement** Preapproval Small Rural Hosp e TIO

Create an Application Search Applications

Compliance Plan

1	2	3	4 Attachments	5 Facility Authorization	6 Review	7 Pay Fees	8 Record Issuance
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Step 6: Review

# Compliance Plan – Amendment

- Once approved, a submitted compliance plan can be amended in the future.
- Once amended, the revised application will be reviewed by HCAI.
- The past application is archived and visible in the portal to the facility.

Records						
To pay invoices, click the Pay Fees link, or click <a href="#">Shopping Cart Payment Portal</a> . You must be logged in to eCA to access the payment portal. See eCA Online Payment Instructions for more information.						
Showing 1-10 of 11   <a href="#">Download results</a>   <a href="#">Add to collection</a>						
<input type="checkbox"/>	Date	Record Number	Record Type	Project Name	Status	Action
<input type="checkbox"/>	03/03/2025	<a href="#">CP-10450</a>	Compliance Plan	Compliance Plan for Garden Grove Hospital and Medical Center	Active	<a href="#">Amendment</a>

# Publication of Compliance Plans on HCAI Website

When approved, remarked or denied, compliance plan will be published on the HCAI Facility Detail page for each facility

Facilities

Workforce

Affordability

Data

Facility Finder

< Building Safety

Facility Detail

Click on the **Facility List Drop-down** below and scroll to find and select a facility. Or click the drop-down and begin typing a facility name or number to filter the list. Data is updated every 2 weeks.

**New:** AB 2190 Quarterly Reports are now available.

For accessible copies of facility site plans [email Seismic Compliance Unit](#).

Facility Info

Building List/Seismic Info

Building Services

Instrumented Buildings

AB2190 Report

Unauthorized Construction

Building Operational Plan

Compliance Plan

Show facilities:


Facility List Drop-down

11169 Community Memorial Hospital - Ojai

(HCAI ID: 106560501)

1306 Maricopa Hwy

Ojai, CA 93023



Facility Info

Building List/Seismic Info

Building Services

Instrumented Buildings

AB2190 Report

Unauthorized Construction

Building Operational Plan

Compliance Plan

Critical Milestones

Met?

Milestone Completion Date

● Critical

○ Not Critical

■ Met

■ Not Met

2/13/25

11/29/25

Back to Main

Compliance Plan Tracker (Building Level) for

Medical Center

Building No.	Bldg Name	NPC	SPC	Progress
BLD-00133	Main Hospital	2	2	On Track
BLD-06329	Bulk Medical Gas Yard	4	N/A	-3 days behind

Today: 3/3/25

2/1/25

3/1/25

4/1/25

5/1/25

6/1/25

7/1/25

**Thank You!**

**Questions?**

**Please email**

**SeismicComplianceUnit@hcai.ca.gov**