Advisory Guide Series

A6

FOR
SKILLED NURSING
FACILITIES
[OSHPD 2]

# ALTERNATE SOURCE OF POWER TO MAINTAIN

Safe Temperatures, Life-Saving Equipment, and Oxygen-Generating Devices

March 2023

#### INTRODUCTION

The California Department of Health Care Access and Information (HCAI) – Office of Statewide Hospital Planning and Development (OSHPD) has prepared this *Advisory Guide* to address frequently asked questions to HCAI and the California Department of Public Health (CDPH) as they relate to Skilled Nursing Facilities, or OSHPD 2 Buildings, and Health and Safety Code (HSC) 1418.22 requirements for alternative source of power to maintain a safe temperature for residents, maintain availability of life-saving equipment, and maintain availability of oxygen-generating devices.

Department of Health Care Access and Information (HCAI)

Office of Statewide Hospital Planning and Development (OSHPD)

has drafted this Advisory Guide in consultation with the California Department of Public Health (CDPH)

#### **TABLE OF CONTENTS**

	Page
l.	Executive Summary
II.	Health And Safety Section 1418.22 Requirements
III.	Definitions
IV.	Background 5
	HVAC Systems 5
	Life-Saving Equipment 5
	Oxygen-Generating Devices 5
	Essential Power Systems 5
	Onsite Fuel Storage
	Special Seismic Certification
V.	Assessment
VI.	When New Work Is Required12
VII.	Code Implications
VIII.	Submittal Instructions and Time Frame Requirements
IX.	Potential Electrical Distribution Systems Solutions
APPE	ENDIX A - [OSHPD 2] HSC §1418.22 Facility Assessment Worksheet A-1
APPE	ENDIX B - [OSHPD 2] HSC §1418.22 Frequently Asked Questions B-1

#### I. EXECUTIVE SUMMARY

<u>HSC §1418.22</u> requires Skilled Nursing Facilities (SNFs) to have an alternate source of power to support the following loads:

- Equipment required to maintain safe temperature for residents
- Life-saving equipment
- Oxygen-generating devices

Additionally, the bill requires that the alternate power source(s) used to back up these loads be provided with sufficient fuel onsite to maintain power production for no less than 96 hours (or make arrangements for fuel delivery) during an emergency event. The bill stipulates that the alternate power source must operate during power outages that may result from a public safety power shutoff, an emergency, a natural disaster, or other cause.

The bill went into effect on January 1, 2023, and requires that all SNFs comply with the stated requirements by January 1, 2024.

Prior to the passing of this law, there were no code requirements for cooling equipment to be fed by emergency power. In previous codes the terms life-saving equipment and oxygen-generating devices were not identified and therefore not specifically required to be fed by emergency power. In order to meet the law's requirements, these systems will need to all be fed by an alternate source of power. HCAI Policy Intent Notice (PIN) 74 -Skilled Nursing Facility Alternate Source of Power has developed a 2-step process for owners to systematically identify the cooling equipment, life-saving equipment and oxygen-generating devices at their facilities and to determine whether these systems will continue to operate in the event of a utility power outage. If the answer is no, the second step would be to submit a standard construction project to modify the existing system to bring the facility into compliance with HSC §1418.22. In addition to the new loads required to be backed up by alternate power, the law now requires operations of these loads for 96 hours. Previous requirements to maintain emergency loads was 6 hours. As PIN 74 clarifies the new loads identified by HSC §1418.22 are not emergency loads required by the California Electrical Code (CEC), but in addition to the emergency loads required by this code to have emergency power backup. This advisory guide has been prepared to help explain the requirements of HSC §1418.22, which have been defined in PIN 74, and to act as a guide to help facilities ensure that they are in conformance with the law.

#### II. HEALTH AND SAFETY SECTION 1418.22 REQUIREMENTS

HSC §1418.22 reads as follows:

- (a) The Legislature finds and declares that it is the public policy of this state to ensure the health and safety of highly vulnerable persons residing in skilled nursing facilities during power outages that may result from a public safety power shutoff, an emergency, a natural disaster, or other cause.
- (b) (1) A skilled nursing facility shall have an alternative source of power to protect resident health and safety for no fewer than 96 hours during any type of power outage.
- (2) For purposes of this section, "alternative source of power" means a source of electricity that is not received through an electric utility, but is generated or stored onsite, which may include but is not limited to emergency generators using fuel, large capacity batteries, and renewable electrical generation facilities.
- (c) For purposes of this section, "resident health and safety" includes, but is not limited to, maintaining a safe temperature for residents, maintaining availability of life-saving equipment, and maintaining availability of oxygen-generating devices.
- (d) Facilities that use a generator as their alternative source of power shall maintain sufficient fuel onsite to maintain generator operation for no less than 96 hours or make arrangements for fuel delivery for an emergency event. If fuel is to be delivered during an emergency event, the facility shall ensure that fuel will be available with no delays.
- (e) Facilities that use batteries or a combination of batteries in tandem with a renewable electrical generation facility as their alternative source of power, shall have sufficient storage or generation capacity to maintain operation for no fewer than 96 hours. Facilities shall also make arrangements for delivery of a generator and fuel in the event power is not restored within 96 hours and the generation capacity of the renewable electrical generation facility is unable provide sufficient power to comply with state requirements for long-term care facilities.
- (f) A facility shall comply with the requirements of this section by January 1, 2024.

#### III. DEFINITIONS

The Health and Safety Code §1418.22 (HSC §1418.22) introduces a number of new terms and provides definitions to existing terms which slightly alter previous code definitions. The following definitions/clarifications are provided to help with the interpretations to this law as they apply to PIN 74 and this advisory guide.

#### **Alternate Power Source**

A source of electricity that is not received through an electric utility, but is generated or stored onsite, which may include, but is not limited to generators using fuel, large capacity batteries, and renewable electrical generation facilities. Alternate power sources can be configured as the code required Emergency Power Supply (EPS) or can be separate from the EPS and designed to provide power to designated loads during the interruption of the normal electrical "utility" service, independent of the Emergency Power Supply system (EPSS).

#### **Centers for Medicare and Medicaid Services (CMS)**

The Centers for Medicare & Medicaid Services (CMS) is the agency within the U.S. Department of Health and Human Services (HHS) that administers the nation's major healthcare programs. CMS oversees programs including Medicare and Medicaid.

#### **Essential Electrical System**

A system comprised of alternate sources of power and all connected distribution systems and ancillary equipment, designed to ensure continuity of electrical power to designated areas and functions of a health care facility during disruption of normal power sources, and also to minimize disruption within the internal wiring system.

#### **Safe Temperature for Residents**

"Safe temperature" under emergency conditions: Federal Code of Regulations, Title 42, §483.73(b)(1)(ii)(A), CMS emergency preparedness requirements, states, "alternate sources of energy to maintain - Temperatures to protect resident health and safety and for the safe and sanitary storage of provisions." Title 42, §483.10(i)(6) requires "comfortable and safe temperature levels. Facilities initially certified after October 1, 1990, must maintain a temperature range of 71 to 81° F."

Note: CMS requires facilities to establish procedures that determine how heating and cooling of their facility will be maintained during an emergency, including when there is a loss of the primary power source. It is noted that facilities are not required to heat and cool the entire building evenly but must ensure safe temperatures are maintained in areas deemed necessary to protect patients, other persons and for stored provisions. (This is to be determined by the facility risk assessment.)

#### Life-saving Equipment

Patient care equipment deemed essential to maintain life. Life-saving equipment may include, but shall not be limited to, ventilators, ATDs, crash carts with defibrillators,

intravenous therapy equipment, feeding pumps, IV pumps, nebulizer machines, suction equipment, and medication dispensing machines.

#### Oxygen-generating Devices

Equipment that separates oxygen from compressed air using special selective adsorptive technology. Oxygen-generating devices may include, but shall not be limited to, concentrators and positive pressure apparatus as identified in Title 22, California Code of Regulations, Section 51511.

#### **Special Seismic Certification**

Special Seismic Certification, also referred to as Seismic Qualification, is a product approval for components like mechanical and electrical systems. Evaluation of the nonstructural components test their ability to withstand earthquakes and meet functional requirements following these events.

#### IV. BACKGROUND

#### **HVAC SYSTEMS**

For Skilled Nursing Facilities, the typical HVAC system arrangement includes packaged air handling units with electrically powered refrigerant cooling, and gas burning systems for heating. The mechanical code has previously required equipment for heating and fans required for space pressurization to be fed by essential power, whereas cooling has not been required to be supplied by essential power. Facilities with a central plant will need to identify remote source equipment such as boilers and chillers.

Note: HVAC systems in hospitals were first identified as loads required to be fed by essential power in 1971 NEC.

#### LIFE-SAVING EQUIPMENT

The definition of life-saving equipment lists many pieces of equipment that typically are plugged into electrical receptacles. It is assumed that for sub-acute services within SNFs, there would be emergency (red) receptacles at patient beds that could be utilized to provide power to bedside life-saving equipment in the event of a utility outage. For standard SNFs, it is not clear if life-saving equipment is normally provided, and if it is, if these systems are backed up by emergency power.

#### **OXYGEN-GENERATING DEVICES**

The definition of oxygen-generating devices identifies (2) separate approaches; either a system with larger equipment and distribution throughout the building, or individual devices that typically are plugged into electrical receptacles. It is assumed that for subacute services within SNFs, that oxygen-generating devices would already be connected to emergency power. For standard SNFs, it is not clear if oxygen-generating devices will be present, and if they are provided, that they are backed up by emergency power.

#### **ESSENTIAL POWER SYSTEMS**

Licensed Skilled Nursing Facilities in California are required to meet California Title 24 requirements. This includes those requirements found in the California Electric Code (CEC). The 2022 California Electric Code, Article 517.41 requires that two independent sources be provided for all essential loads in nursing homes and limited care facilities; 1) A normal source generally supplying the entire electrical system and 2) one or more alternate (essential) sources. The alternate (essential) source is required by current code and CMS to be a generator located on the premises.

CEC, Article 517.42 requires that essential electrical systems for these facilities be divided into the following (2) branches:

 Life Safety Branch - configured so that all functions listed below shall be automatically restored to operation within 10 seconds after interruption of normal power.

- Illumination of the means of egress
- Lighting of the exit and directional signage
- Fire detection and alarm system
- Non-flammable medical gas system and vacuum systems alarms
- Hospital emergency communication systems
- Sufficient lighting in dining and recreation areas to provide illumination to exit ways
- Task lighting, battery charger, and selected receptacles in generator set location
- Elevator control, communication, and lighting
- Equipment Branch configured so that all functions listed below shall be automatically restored to operation at appropriate time-lag intervals following the restoration of the life safety branch to operation.
  - Task illumination and selected receptacles in the following:
    - Patient care spaces
    - Medication preparation spaces
    - o Pharmacy dispensing areas
    - Nurse stations
  - Supply, return, and exhaust ventilating systems for airborne infectious isolation rooms
  - Sump pumps
  - Smoke control and pressurization systems
  - Kitchen hood supply or exhaust systems

In addition, the following equipment shall have either automatic or manual connection capabilities.

- Heating equipment to provide heating for patient rooms
- Elevators
- Additional illumination, receptacles and equipment shall be permitted to be connected to the equipment branch

There are new requirements for equipment in SNFs requiring back-up by alternate power sources, namely:

- Equipment required to provide safe temperature for residents
- Life-saving equipment
- Oxygen-generating devices

These are above and beyond previous code requirements for alternate power sources at SNFs. Based on historical data the majority of SNFs in our state, do have an alternate source (EPSs), typically generators that back-up heating loads, but these facilities do not generally provide an alternate source to provide back-up power for cooling equipment. It is also believed that only sub-acute SNFs would have life-saving

equipment and oxygen-generating devices at their facilities and that these devices and equipment are typically backed up by the EPS.

While the law does not specifically require that these systems be backed up by essential power, typically this is the only alternate power source at existing SNFs in the state of California. Since these loads were not required by be fed by essential power prior to the law, it is doubtful that the new equipment required to be fed by an alternate power source is currently fed by essential power and/or that capacity exists in the existing essential power sources at the majority of SNF's in the state of California.

Note: CMS provides minimum requirements that SNFs are required to meet to qualify as participants in their federal payouts for Medicare and Medicaid. One of the CMS requirements for reimbursements is that the emergency power source be a generator. Based on these requirements, it can be assumed that the majority of the existing SNF's in California meet current code and CMS requirements, but very few provide emergency power for any other loads then detailed above.

#### **ONSITE FUEL STORAGE**

2022 CEC, Article 700.12 requires that onsite fuel supply for essential power systems be provided to operate the EPS for not less than 6 hours (full-demand operation) for Skilled Nursing Facilities. While the calculated load and sizing requirements of fuel for emergency generators generally results in facilities with onsite fuel storage sufficient for more than 6 hours operation, there are very few facilities that have intentionally provided more than the code minimum. Therefore, it appears that the majority of generators deployed at California SNFs do not have 96 hours of onsite fuel storage.

Note: Regardless of whether modifications are required to bring the facilities electrical distribution into compliance with the law, there is a new requirement for onsite fuel storage to allow the facilities to provide a safe environment for inhabitants for a period of 96 hours. We have identified three (3) possible scenarios on how this might be accomplished, but for all cases, the facilities essential loads, and these newly identified loads, will be required to have provisions to be backed up for 96 hours. The law states that for facilities that use a generator, batteries, or a combination of batteries in tandem with a renewable electrical generation resource as their alternative source of power shall store sufficient fuel onsite to maintain generator operation for no less than 96 hours or make arrangements for fuel delivery for an emergency event. If fuel is to be delivered during an emergency event, the facility shall ensure that fuel will be available with no delays. The option to arrange for delivery of additional fuel for an emergency event will need to be submitted to HCAI and forwarded to CDPH for final approval. Fuel delivery will need to be acknowledged to maintain continued operation for the 96 hour duration.

#### SPECIAL SEISMIC CERTIFICATION

Special Seismic Certification (SSC) is required for life-safety components, such as emergency and standby power systems per 2022 CBC, Section 1705.14.3.1. SSC is required to ensure that resources utilized to provide alternate power for loads identified

in this law will remain functional "during power outages that may result from a public safety power shutoff, an emergency, a natural disaster, or other cause." Based on these requirements it would appear that existing generators and essential power systems are code compliant and there for have SSC their EPSS's.

Note: SSC is required for generators, batteries, or a combination of batteries in tandem with a renewable electrical generation facility that are used as alternative source(s) of power. Please refer to PIN 55 - Special Seismic Certification Preapproval (OSP) for further details of SSC requirements.

#### V. ASSESSMENT

To show compliance with the law's requirements, SNFs will need to be surveyed and a Facility Assessment Worksheet (see appendix A) will need to be completed in a manner that shows the facility is in compliance with HSC §1418.22 for the following systems, equipment and devices:

**HVAC Systems** – The assessment should be filled out to document if the facilities can provide temperature ranges of 71 to 81° F with existing equipment installed. Next the survey will need to investigate if these temperatures can be maintained. HCAI will look for compliance with the same standards as required by CMS, namely the facilities will need to show that they have established procedures that determine how heating and cooling of their facility will be maintained during an emergency (for 96 hours), including when there is a loss of the primary power source. It is noted that facilities are not required to heat and cool the entire building evenly but must ensure safe temperatures are maintained in areas deemed necessary to protect patients, other persons and for stored provisions.

**Life-Saving Equipment** - The initial facility assessment should clarify what life-saving equipment exists at each facility, if any. Existing life-saving equipment should be identified with an explanation/documentation of how this equipment can remain functional during a power outage for 96 hours. If the existing system does not meet the requirements of HSC §1418.22 this should be stated along with the proposed remediation to bring the facility up to the requirements of the law.

**Oxygen-Generating Devices** - The initial facility assessment should clarify what oxygen-generating equipment exists at each facility, if any. Existing oxygen-generating equipment should be identified with an explanation/documentation of how this equipment can remain functional during a power outage for 96 hours. If the existing system does not meet the requirements of HSC §1418.22, this should be stated along with the proposed remediation to bring the facility up to the requirements of the law.

Though CDPH will regulate and inspect compliance with HSC §1418.22, HCAI will review a facility's assessment for compliance with PIN 74 and review/comment on the proposed remediation of non-compliant facilities. HCAI has developed an assessment process to assist in determining compliance. Instructions for submission are presented in section VIII.

<u>SNF Backup Power Assessment</u> (SNFA) is the process implemented to allow a facility to submit an assessment application. The online application involves a series of questions regarding a facility's heating system(s), cooling systems(s), life-saving equipment, oxygen-generating devices, emergency generator(s), and alternate power source(s). The questions are intended to make visible the various systems that must be compliant with HSC §1418.22. Based on the client's answers to these questions, required documentation will be identified.

Once submitted through the HCAI eServices Portal online submission, HCAI will review submitted documentation and make a determination if the facility complies with HSC §1418.22. When determined compliant, HCAI will send the assessment information to CDPH requesting concurrence with the determination. Historical data may be required to substantiate temperate climates that are being used for justification to not provide cooling loads on emergency power. If CDPH concurs, the assessment application will be closed with compliance. HCAI involvement with HSC 1418.22 is complete. CDPH will survey the facility and notify facility of the next steps for filing the form showing compliance. If CDPH does not concur with the determination, a meeting with stakeholders will be held to find a resolution.

The assessment will need to include floor plans of the building to show area of coverage for heating and/or cooling systems and location of units. Where the heating or cooling sources are remotely located from the fan unit, the equipment and location will also need to be shown. Rooms or areas will need to be labeled to indicate function of spaces to identify patient care areas. Where new work is needed to bring the facility into compliance, the documentation will need to indicate the proposed remediation scope of work to enable the facility to comply with the law.

Where the building or a portion of the building does not have cooling equipment, temperature data will need to be provided to demonstrate the building will not exceed the safe temperature requirement.

If HCAI determines the facility to be non-compliant with HSC §1418.22, the facility will be notified with the findings and remediation of the non-compliant systems will be necessary. See section VI for next steps.

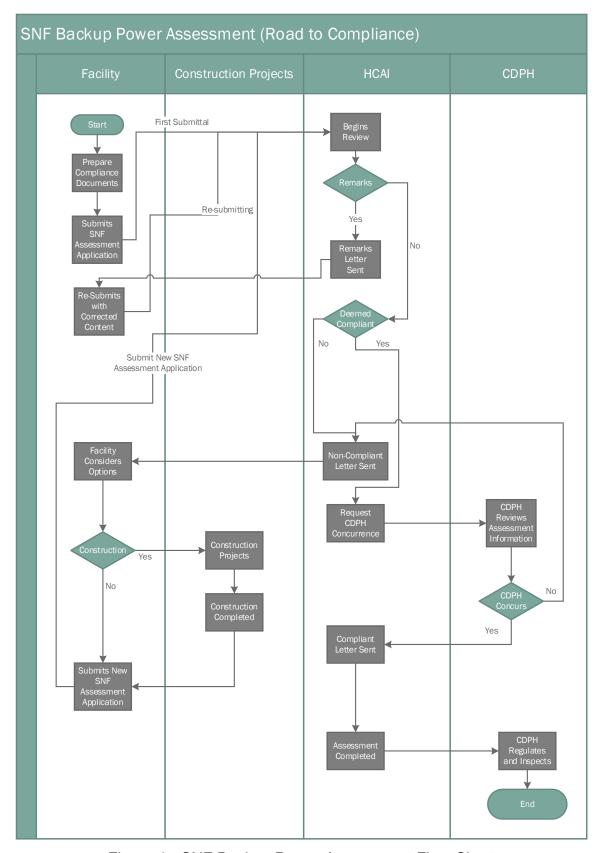


Figure 1 - SNF Backup Power Assessment Flow Chart

#### VI. WHEN NEW WORK IS REQUIRED

If a facility is determined to be non-compliant, the assessment application is closed without compliance. The assessment is not a construction project so remediation of non-compliant systems must be submitted to HCAI/OSHPD in the form of construction projects utilizing *Application for New Project* via the eServices Portal electronic project tracking. Application for New Project user guide can be found here at <a href="New Project User Guide">New Project User Guide</a>.

Once all construction projects for remediation are complete and closed, the facility will submit a new <u>SNF Backup Power Assessment</u> application with documentation to substantiate assertions of compliance by the facility. If determined to be compliant, the process as noted in section V will be completed.

If a facility is determined to be non-compliant after construction projects are closed, additional construction projects will be needed to bring the facility into compliance with HSC §1418.22 and additional <u>SNF Backup Power Assessment</u> will be submitted until compliance is reached.

#### VII. CODE IMPLICATIONS

While HSC §1418.22 introduces new requirements for alternate power source(s) to support loads previously not required to be backed up, there are existing codes that provide requirements for new equipment and resources that might be utilized to meet these requirements. Depending on what resources are used and how back-up power is provided, there are a myriad of code requirements that might come into play. The following is a partial list:

Re	Codes/Requirements	Solar (PV's)	Mi Wind	crogrid	Power Sc	NITCO	
Re	•		\A/ind	Microgrid Power Source			
Re	•	1	willa	Fuel Cells	Cogen	Energy Storage	UPS
Re	ADD California Air Danas and Air Oscalites						
	ARB - California Air Resources Board - Air Quality equirements				х		
2 CP	PUC - California Public Utility Commission (Rule 21)	maybe	maybe	х	Х	maybe	no
3 09	SHPD CAN 2-0 Local Approval	Х	Х	х	Х	maybe	no
4 Ca	alifornia Building Code	Only if in (	OSHPD build	ding and/	or serving as	alternate sour	ce
5 Ca	alifornia Fire Code						
5a	1206 Electrical Energy Storage Systems					х	х
5b	1206.2 Stationary Storage Battery Systems					х	х
5c	1204 Photo Voltaics	х				х	
5d	1205 Fuel Cell (NFPA 53 adopted by reference)			х			
6 Ca	alifornia Electrical Code						
6a	517 Healthcare Facilities	Only if in (	OSHPD build	HPD building and/or serving as alternate source			
6b	690 PV Systems	х				х	
6c	692 Fuel Cell Systems			х		х	
6d	694 Wind Electric Systems		х			х	
6e	700 Emergency power					х	х
6f	705 Interconnected Electric Power Production Sources	х	х	х	х	х	
7 NF	FPA 30 - Flammable and Combustible Liquids Code			х	х		+
8 NF	FPA 37 - Stationary Combustion Engines and Gas			x	x		
	urbines						_
	FPA 54 - National Fuel Gas Code	1	1	Х	Х		+
11 NF	FPA 58 - Liquid Petroleum Gas Code FPA 59A - Production/Storage/Handling of Liquified atural Gas LNG			x	X		
	FPA 99 - Healthcare Facilities Code	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	· ·	, , , , , , , , , , , , , , , , , , ,		· ·	+
	FPA 110- Emergency and Standby Power	X	X	X	X	X	+
	FPA 110- Emergency and standay Power FPA 111- Stored Electrical Energy Emergency and	X	X	Х	Х	X	+
	andby Power Systems					, x	
15 NF	FPA 400 - Hazardous Materials Code			х	Х	х	
	FPA 853 - Installation of Stationary Fuel Cell Power vstems			x			
	FPA 855 - Standard for the Installation of Stationary nergy Storage Systems					х	
	enters for Medicare & Medicaid Services (CMS)	х	х	х	х	х	+
	012 NFPA 99 - Healthcare Facilities Code	1	†			1	+
	012 NFPA 101 - Life Safety Code	1	†				†

These codes/standards address:

- Air quality requirements
- Rule 21 requirements for utility interconnection
- Requirements for local approval for siting of equipment in regard to aesthetics and accessibility
- Requirements for housing of equipment seismic certification and restraint for equipment serving and located in HCAI/OSHPD facilities
- Fire resistance rating requirements
- Location of equipment in relation to the healthcare facility and property boundaries
- Requirements for the various on-site power generation units and fuel storage systems
- Underground and above ground fuel tank requirements
- Requirements for electrical wiring and equipment used in connection with energy systems
- Requirements for electrical systems for healthcare buildings, including specific requirements for various on-site power generation systems and energy storage systems
- Emergency power, Standby power and interconnected Electric Power Production Sources requirements

We recommend that Design Professionals use this as a checklist to help ensure that all aspects of code are taken into account for the planning and design of new systems to meet HSC §1418.22 requirements.

Please also see the following reminder lists:

https://hcai.ca.gov/document/fls-reminder-list-above-ground-fuel-storage-cbc

https://hcai.ca.gov/document/fls-reminder-list-epss-generator-cbc/

https://hcai.ca.gov/document/fls-reminder-list-underground-fuel-storage-cbc/

https://hcai.ca.gov/document/fls-reminder-list-fire-authority-approvals-cbc/

https://hcai.ca.gov/document/rem-list-fire-life-safety-construction-2016-cbc/

Please also see applicable PINs and CANs:

https://hcai.ca.gov/construction-finance/codes-and-regulations/#CANs

https://hcai.ca.gov/construction-finance/codes-and-regulations/#PINs

#### VIII. SUBMITTAL INSTRUCTIONS AND TIME FRAME REQUIREMENTS

#### **Submittal Instructions:**

The application for the <u>SNF Backup Power Assessment</u> process is an online submission which is accessible via the eServices Portal - eClient Access web page (<a href="https://esp.oshpd.ca.gov">https://esp.oshpd.ca.gov</a>). The user guide can be found at <u>SNF Backup Power Assessment User Guide</u>. The online application includes a series of questions to confirm that the various systems at a facility either comply or do not comply with HSC 1418.22. The user guide contains step by step instruction for submitting the request for assessment including the assessment questions.

As the responses to the questions are entered, potential requirements will compile. These requirements are intended to provide a list of documentation that will be needed to substantiate compliance. Information about the heating, cooling, emergency generator(s), and alternate power sources(s) systems in use at the facility is entered into the application.

Once the application for <u>SNF Backup Power Assessment</u> is submitted, a report is available that displays the results. It will include the questions, answers, requirements, along with the heating, cooling, emergency generator, and alternate power source(s) information entered into the application. The user guide explains how to print the report.

The assessment and review will focus on the following to determine compliance with HSC §1418.22:

- Heating System
- Cooling System
- Life-Safety Equipment (Ventilators, AED, Crash Carts)
- Oxygen-Generation Devices (Concentrators, Positive Pressure Apparatus, and large-scale oxygen generation)
- Emergency Generator(s)
- Alternate Power Source(s) (Other than generator)

The following are necessary attachments for the review to return a compliant assessment:

- Floor plan showing location of all systems and area of coverage
- Documentation substantiating compliance for each system listed above with HSC §1418.22
- Local approvals as required

#### Optional documentation

Documentation of proposed remediation to bring the systems compliant. <u>Without</u> this information, an assessment of the proposed solution will not be able to be made.

If it is already known that certain systems are not compliant with HSC §1418.22, it is still important to complete the submission of the assessment application to receive the review results.

Note: HCAI has developed a form for submitting a request for assessment via paper. See Appendix A for the form.

#### Northern California, contact:

Department of Health Care Access and Information Office of Statewide Hospital Planning and Development 2020 W. El Camino Avenue, Suite 800 Sacramento, CA 95833 (916) 440-8300 phone or (916) 274-0102 fax

#### Southern California, contact:

Department of Health Care Access and Information Office of Statewide Hospital Planning and Development 355 South Grand Avenue, Suite 1900 Los Angeles, CA 90071 (213) 897-0166 phone or (213) 217-8511 fax

If you need assistance in submitting the application, contact the eSP helpdesk at (916) 440-8400 or eserv@hcai.ca.gov

#### Timeframe Recommendations:

For any existing skilled nursing facility:

- By April 28, 2023, the SNF owner shall submit to the Office a complete HSC §1418.22 evaluation for each building. It the evaluation form indicates that the building currently meets HSC §1418.22 requirements, and this is confirmed by HCAI, there are no more measures that need to be taken by the owner.
- When new work is required to meet HSC §1418.22 requirements, by June 23,
   2023, the SNF owner shall submit to the Office construction documents for HSC §1418.22 compliance projects.
- By December 29, 2023, the SNF shall achieve compliance with HSC §1418.22 requirements.

HCAI recognizes that the final date will be hard to meet but we are only identifying what is required in the statute.

#### IX. POTENTIAL ELECTRICAL DISTRIBUTION SYSTEMS SOLUTIONS

The law clearly calls out for the requirement to have an alternate power source to back up:

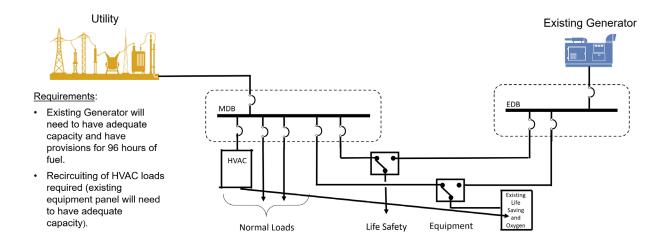
- Equipment required to provide safe temperature for residents (namely cooling),
- Life-saving equipment
- Oxygen-generating devices.

However, the new law does not specifically require that these systems be backed up by essential power. In an effort to assist the decision makers in finding the "best" approach to bring facilities into conformance with HSC §1418.22, we are providing the following three potential electrical system modifications.

The following three scenarios provide compliance options for existing SNFs to meet HSC §1418.22:

- 1) Add New Loads to Existing or Replacement Emergency Generator:
  - CEC, Article 517.29 through 517.30 for SNF Subacute units
  - CEC, Article 517.40 and 517.41, Essential Electrical Systems for Nursing Homes and Limited Care Facilities
- 2) New Alternate Source (Generator):
  - CEC, Article 701 for legally required standby systems
- 3) New Healthcare Microgrid:
  - CEC, Article 705, Interconnected Electric Power Production Sources

### 1) Existing Generator

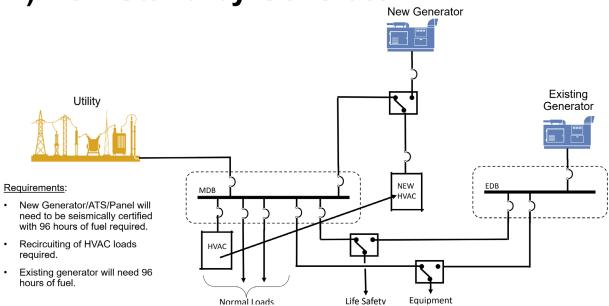


Add New Loads to Existing or Replacement Emergency Generator: Where an existing or replacement emergency generator meets Title 24, California Electrical Code, Part 3,

Article 517 (Health Care Facilities) requirements and has adequate capacity to support the existing essential loads and added loads required by HSC 1418.22 to maintain 96 hours of operation, the existing system could be considered compliant if:

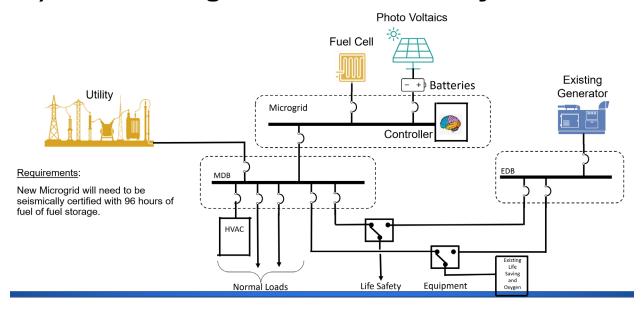
The electrical distribution system is confirmed or modified to feed all loads associated with HSC §1418.22 (i.e., equipment required for safe temperatures, life-saving equipment, and oxygen-generating devices as identified above). For this scenario the replacement emergency generator and distribution equipment including any new panels feeding the required loads would need to be seismically certified. The emergency generator(s) will need to have provisions for 96 hours of fuel.

2) New Stand-by Generator



New or Additional Generator: A new alternate generator and associated equipment could be introduced into the system to feed new or additional loads necessary to meet HSC §1418.22 requirements. The new generator and equipment shall meet Title 24, California Electrical Code, Part 3, Article 701 (Legally Required Standby Systems) requirements, have special seismic certification, and fulfill the 96 hour on-site fuel requirements. The new standby system could be utilized to feed the cooling equipment, life-saving equipment, and oxygen-generating devices as identified above. In this scenario, the existing distribution system would need to be modified to transfer all loads from existing equipment to new equipment. The existing emergency generator would need to have 96 hours of fuel provisions.

### 3) New Microgrid Parallel w/Utility



New Healthcare Microgrid: A new healthcare microgrid could be introduced to the system with any combination of generator(s), turbine(s), fuel cell(s), photovoltaics, battery storage system, or other on-site Distributed Energy Resources (DER's) and be configured to parallel with a normal distribution board at the facility. The new system components shall meet requirements of Title 24, California Electrical Code, Part 3, Article 705 (Interconnected Electric Power Production Sources), have special seismic certification and fulfill the 96 hour fuel requirement. For this scenario, all new equipment including energy producers will need to be seismically certified. This approach would utilize the existing normal distribution system backed up by the alternate power source (microgrid) to feed all loads including the cooling equipment, life-saving equipment, and oxygen-generating devices. The existing Essential Electrical System (Title 24, California Electrical Code, Part 3, Article 517.42) will need to remain in operation, however the fuel system will not need to be modified to support 96 hours of run time (existing 6 hours minimum will be sufficient).

#### **APPENDIX A**

#### [OSHPD 2] HSC §1418.22 Facility Assessment Worksheet

Date:	
Facility ID:	
Facility Name:	
Building Number(s):	BLD-xxxxx
Licensed Beds:	Per BLD-xxxxx
Building Area(s) (SF):	

Heating System Information	
Heating System Type	
Fuel Type	
Cooling System Information	
Cooling System Type	
Power Source	
<b>Emergency Generator</b>	
Make	
Model	
Size (KVA and Voltage)	
Fuel Type	
On-site Fuel Capacity (Hours)	
On-Site Fuel Storage Operating Hours	
Alternate Power Source	
Make	
Model	
Туре	
Size (KVA and Voltage)	
Fuel Type	
On-site Fuel Capacity (Hours)	
On-Site Fuel Storage Operating Hours	

#### Required for SNF Backup Power Assessment online application:

- Information shown in the Worksheet above.
- Floor Plan/Site Plan showing location of systems and area of coverage.
- Documentation substantiating compliance for all systems covered in HSC 1418.22.

Facility key maps are available: <a href="https://hcai.ca.gov/construction-finance/facility-detail/">https://hcai.ca.gov/construction-finance/facility-detail/</a>

#### **Optional Documents:**

• Proposed remediation for non-compliant systems.

SNF Backup Power Source Assessment The following questions are part of the online assessment application				
Section	Topic	Question Number	Question	Yes Or No
	Heating Equipment	1	Are there heating systems currently in place at the facility?	
		2	Does the existing heating system maintain the resident space at or above 71°F when utility power is reliable?	
		3	Is a floor plan showing existing heating units and area of coverage included in assessment submittal?	
		4	Is heating system equipment connected to emergency power?	
Safe		5	Is the heating system equipment connected to an alternate power source (not emergency generator)?	
Temperature for		6	Will the electrical components of heating system work in event of utility power outage?	
Residents Life-saving		7	Is mechanical cooling provided at the facility?	
Equipment		8	Does the existing cooling system maintain the resident spaces at or below 81F when utility power is reliable?	
		9	Is a floor plan showing existing cooling units and area of coverage included in assessment submittal?	
		10	Is cooling system equipment connected to emergency power? (i.e., emergency generator)	
		11	Is the cooling system equipment connected to an alternate power source (not emergency generator)?	
		12	Will cooling system work in event of utility power outage?	

Continued						
Section Topic		Question Number	()uaction			
	Ventilators	13	Are there ventilators using 120V for operation/charging at the facility?			
		13a	Are the ventilators connected to emergency power?			
		13b	Will the ventilators work in event of utility power outage?			
	AEDs	14	Are there automated external defibrillators (AED) using 120V for operation/charging at the facility?			
		14a	Are the AED connected to emergency power?			
Life Carriers		14b	Will the AED work in event of utility power outage?			
Life-Saving Equipment	Crash Carts	15	Are there crash carts using 120V for operation/charging at the facility?			
		15a	Are the crash carts connected to emergency power?			
		15b	Will the crash carts work in event of utility power outage?			
	Other Equipment	16	Are there other life-saving equipment at the facility?			
		16a	Are the other life-saving equipment connected to emergency power?			
		16b	Will the other life-saving equipment work in event of utility power outage?			
	Concentrators	17	Are there concentrators using 120V for operation/charging at the facility?			
		17a	Are the concentrators connected to emergency power?			
		17b	Will the concentrators work in event of utility power outage?			
Oxygen-	Positive Pressure Apparatus	18	Are there Positive Pressure Apparatus (PPA) using 120V for operation/charging at the facility?			
Generating		18a	Are the PPA connected to emergency power?			
Devices		18b	Will the PPA work in event of utility power outage?			
	Oxygen System	19	Does the facility utilize an on-site, large-scale oxygen- generating systems?			
		19a	Are the oxygen generation devices connected to emergency power?			
		19b	Will the oxygen generation devices work in event of utility power outage?			

	Continued						
Section	Topic	Question Number	Question	Yes or No			
Existing Generator(s)	Emergency Generator(s)	20	Does your facility currently have a permanent on-site emergency generator?				
		20a	Does the emergency generator have 96 hours of on-site fuel storage?				
		20b	Does the emergency generator have a minimum of 6 hours of on-site fuel storage?				
			20c	Does the facility have a fuel delivery agreement to supply the generator(s) with fuel to comply with the 96 hours operational requirements of HSC 1418.22?			
	Alternate Power Source (DER)		21	Does your facility currently have a permanent on-site alternate power source (not including emergency generator)?			
		21a	Does the alternate power source backup the entire normal service?				
Existing Alternate		21b	Do all components of the alternate power source have special seismic certification?				
Power Source		21c	Does the alternate power source have 96 hours of on-site fuel storage?				
		21d	Does the alternate power source have a minimum of 6 hours of on-site fuel storage?				
		21e	Does the facility have a fuel delivery agreement to supply the alternate power source with fuel to comply with the 96 hours operational requirements of HSC 1418.22?				
Existing Systems	Equipment	22	Is an electrical single line diagram provided in submittal showing utility service, emergency and alternate power source(s) and distribution to HVAC equipment, life-saving equipment, and oxygen-generating device(s)?				

#### **APPENDIX B**

## [OSHPD 2] HSC §1418.22 FREQUENTLY ASKED QUESTIONS

**Q1:** We understand that the first step to showing compliance with the requirements specified in the Health and Safety Code 1418.22 (HSC §1418.22) is to fill out a SNF Backup Power Source Assessment application. Where can I find the assessment user guide?

**A1:** The assessment begins by completing the online assessment application. User guide can be found at: hcai.ca.gov >> Building Safety & Finance>> eServices Portal Information > eServices Help & User Guides > User Guide #22 (SNF Backup Power Source Assessment). Here is a link <a href="https://hcai.ca.gov/document/section-22-snf-backup-power-source-assessment">https://hcai.ca.gov/document/section-22-snf-backup-power-source-assessment</a>.

**Q2:** Are all SNF's required to go through the assessment and upgrade their generators to meet the 2024 deadline?

A2: The law requires that all standalone SNFs comply with HSC §1418.22 by January 1, 2024. SNFs that are a distinct part and within the hospital building do not need to provide an assessment. HCAI has developed the assessment form to determine compliance or to determine deficiencies and what is required to bring the facility into compliance. We recommend that all facilities complete an assessment and submit to HCAI for review. This will either show compliance with HSC §1275 or identify deficiencies. A proposed solution should be submitted along with the assessment to propose how deficiencies will be resolved. HCAI will review and comment on proposed solutions. Once HCAI and the client agree on the scope of work to resolve deficiencies, the design can begin and can be submitted as a standard project. Once the design is approved, construction can begin. A standard construction project should be opened to implement changes submitted to and agreed upon by HCAI to make the facility compliant with HSC §1418.22. Once the construction project is complete, another assessment should be performed and submitted to HCAI to show that the facility meets minimum requirements of HSC §1275. Once HCAI confirms that the assessment shows compliance with HSC §1418.22, this completed assessment survey will be sent to CDPH for final approval. Note there are other options than upgrading generators. Please see PIN 74 and A6 Advisory Guide.

Q3: When is the completion of the assessment application required?

**A3:** There is no defined review timeline for the initial assessment application; however, facilities are required to have construction and final assessment (showing compliance with HSC §1418.22) completed by the January 1, 2024. It is recommended that facilities begin the initial assessment application ASAP.

**Q4:** What are facilities required to do if their facility already meets the requirements of HSC §1418.22?

**A4:** The facilities will need to submit an assessment application in eServices Portal. A sample checklist of required items is available at the end of PIN 74. User guide is available at <a href="https://hcai.ca.gov/document/section-22-snf-backup-power-source-assessment">https://hcai.ca.gov/document/section-22-snf-backup-power-source-assessment</a>.

**Q5:** There is no defined review timeline for plans; however, facilities are expected to have construction completed by the January 1, 2024. Is it expected that the plan reviews and approvals be completed prior to implementation?

**A5:** We understand the timeline is short. Completeness of plans will always affect review time (the more complete the quicker the review process can be completed). Be advised that for projects that add above grade equipment, local approval, as well as HCAI approval, will be required. Construction cannot start until after plan approval and a building permit is issued.

**Q6**: Based on the fact that CMS requires compliance with 2012 NFPA 99 and 2012 NFPA 101, CMS conditions of participation and qualification to receive reimbursements for Medicare/Medicaid will not be met if equipment other than an emergency generator is used for the code mandated Emergency Power Source. Will this affect the approach to HSC §1418.22 upgrades?

**A6:** Possibly, please note there are multiple acceptable solutions. Three possible configurations of the alternate system(s) are:

- 1) CEC 517 Healthcare Essential Electrical System
- 2) CEC 701 Legally required standby system
- 3) CEC 705 Interconnected Electric Power Production Source

For the CEC 517 solution where the code mandated emergency power requirements are fed by the same source that feeds the new HSC §1418.22 loads, the source will need to be a generator to qualify for Federal reimbursements. For the CEC 701 and CEC 705 solutions, which leave the existing generator as is and introduces new sources to back up HSC §1418.22\_loads, the system would meet CMS requirements if resources other than emergency generators are used for the alternate power source.

Note: Since CMS requirements are different from current code requirements, if our office determines that the proposed design meets current code, but does not meet CMS requirements, we will only provide a blue pencil (for your information only) comment.

**Q7:** If SNF's have been previously permitted, can the design team assume that the facility has met life safety back up requirements?

**A7:** Yes, however please note this law does not address life safety branch requirements, this law requires life-saving equipment to be backed up for 96 hours by a seismically compliant alternate power system. Life-saving equipment has a different definition than life safety branch equipment:

<u>Life-Saving equipment</u> - May include, but shall not be limited to, ventilators, AEDs, crash carts with defibrillators, intravenous therapy equipment, feeding pumps, IV pumps, nebulizer machines, suction equipment, and medication dispensing machines.

<u>Life Safety branch</u> - Shall supply power for egress lighting, exit signs, alarm and alerting systems, communication systems, lighting in dining and recreation area(s), lighting and

receptacles at Generator set location, cab lighting and controls for elevators as detailed in CEC 517.43.

**Q8:** Does emergency power need to be hooked up to heating and cooling equipment? **A8:** Previous versions of the California Electric Code (CEC) required heating to be supplied by essential power. The law now also requires an alternate source for cooling. Note: this could be accomplished via essential power or by other alternate power. Two solutions that would meet the requirements of the law and codes, are:

- 1) A CEC 701 Legally Required Stand-by System, and
- 2) A CEC 705 Interconnected Electrical Power Supply Sources.

Other solutions are welcome as well. All proposed solutions will be reviewed to determine compliance with current codes and requirements specified in HSC §1418.22.

**Q9:** Does the alternate power source need to provide power for existing HVAC equipment? Will other options be considered?

**A9:** HCAI does not specify the method of cooling required to allow flexibility for each designer to determine the most appropriate equipment to meet the temperature control requirements. All submitted plans will be considered and reviewed for code compliance and compliance with HSC §1418.22.

**Q10:** It was recommended that if the facility intends to shelter occupants in place that kitchen/cooking facilities should be required to be powered by the alternate power source?

**A10:** HCAI does not intend to check power sources for kitchen/cooking facilities to show compliance with HSC §1418.22. **Note:** CMS Guidance for Emergency Preparedness - Appendix Z of the State Operations Manual (SOM) requires facilities to develop an emergency preparedness plan and includes language for provisions including, but not limited to, food and water for facilities whether they evacuate or shelter in place.

Please see SOM Appendix Z for additional and more specific CMS requirements.

Q11: What is the acceptable delay time for alternate loads to be picked up?
A11: We have added the following requirements to PIN 74 to address acceptable delay times:

- Life-saving equipment and oxygen-generating devices will be required to be restored to operation via alternate power within 10 seconds of failure of normal power source.
- Cooling and heating equipment (if required) will be restored to operation via alternate power within sufficient time to maintain temperature between 71 to 81°F.

**Q12:** Could the alternate system be used on a daily basis, or does it need to remain "off" and be only used when normal power to the site is disrupted?

**A12:** Normally-off and normally-on backup systems can both be configured to meet the requirements for alternate power for SNFs. Three possible configurations for the alternate system(s) are:

- 1) CEC, Article 517 Healthcare Essential Electrical System normally-off
- 2) CEC, Article 701 Legally required standby system normally-off
- CEC, Article 705 Interconnected Electric Power Production Source normallyon

For both the "normally off" and the "normally on" systems, the 96 hour fuel supply (6 hours on site min) must be maintained at all times. **Note:** CDPH will need to sign off on any designs that do not provide 96 hours of fuel on site.

Q13: Can a hybrid solution be used to meet 96 hour back-up requirements?
A13: Yes, multiple resources can be utilized to meet the law's requirements for alternate power. Calculations will need to be provided to detail how the 96 hour run time of alternate power source(s) are provided. Commissioning of the final installation will be required to demonstrate that the installation meets criteria presented in design and calculations.

**Q14:** When installing a new generator under CEC 701 requirements, please confirm that it would then be required to have selective coordination rather than 0.1 coordination per section CEC 517.31(G).

A14: Yes, that requirement can be found in CEC 701.27 Selective Coordination.

**Q15:** If PVs are used as a component of the alternate source, would just the feed to the building be evaluated for code and HSC §1418.22 compliance or would the PV's, collectors, inverters etc., need to be seismically certified?

**A15:** If the PVs or any other Distributed Energy Resource(s) (DERs) are used as a component of the alternate source designed to provide back-up for cooling equipment, life-saving equipment and oxygen-generating devices, the entire system: PV panels, collectors, inverters etc., would need to meet the requirements of HSC §1418.22, (i.e., have special seismic certification and have provisions for 96 hours of run time).

**Q16:** Will the existing electrical infrastructure need to have Special Seismically Certification (SSC) to meet HSC §1418.22 requirements?

**Q16:** No, it is assumed that the existing facilities are code compliant, so just the new equipment including DERs, ATSs, Distribution Boards, Panels etc. would need to have SSC.

**Q17:** Will existing HVAC equipment need to have Special Seismic Certification (SSC) to meet HSC §1418.22 requirements?

**A17:** Existing HVAC equipment will not be evaluated for SSC for HSC §1418.22 driven upgrades. The law\_only addresses new sources.

Q18: Can back-up systems be provided that are not seismically certified?

**A18:** All components of required alternate source(s) added to meet HSC §1418.22 requirements will need to have Special Seismic Certification (SSC) to ensure that they can provide power "during power outages that may result from a public safety power shutoff, an emergency, a natural disaster, or other cause", which is the language contained in the bill.

Q19: Would this mean that OSP #'s are required for all HVAC Equipment?
A19: Existing HVAC equipment will not be evaluated for Special Seismic Certification (SSC) for HSC §1418.22 driven upgrades. The law only addresses new equipment and sources.

**Q20:** Can there be a designated area for each SNF that meets temperature control requirements, or would this have to be met project wide?

**Q20:** The assessment will need to show which portions of the building are required for patient care, and temperature control will need to be provided to maintain temperatures between 71 to 81°F in these areas. It is noted that facilities are not required to heat and cool the entire building evenly but must ensure safe temperatures are maintained in areas deemed necessary to protect patients, other persons and for stored provisions. (This should be determined by the facility risk assessment.)

**Q21:** CMC 321.0 requires all heating to maintain a minimum temperature of 60°F in patient areas to be on essential power. Why is the minimum temperature for the HSC §1418.22 listed at 71°F?

**A21:** HSC §1418.22 states a facility shall maintain a safe temperature. The temperature requirements were based on the federal requirements that licensing must adhere to. The 60°F temperature is a state regulation under Title 24 for design and installation of equipment. The federal requirement is an operational requirement. Existing installations where equipment was designed to the lower temperature will need to address the operational temperature requirement in the Emergency Preparedness Plan.

**Q22:** Is Special Seismic Certification (SSC) now required for all new HVAC equipment serving all Skilled Nursing Facilities (SNFs), or is SSC only required for new HVAC equipment required to maintain a "safe temperature for residents"? For example, would SSC be required for items such as air conditioning equipment solely serving admin spaces, kitchen hood exhaust systems, or HVAC equipment serving electrical equipment rooms?

**A22:** Special Seismic Certification (SSC) is required for the new equipment listed in CBC 1705.14.3.1 and for new equipment installed to provide safe temperature for patients as noted in PIN 74 to meet HSC §1418.22 requirements.

**Q23:** The bill states "shall maintain sufficient fuel onsite to maintain generator operation for no less than 96 hours or make arrangements for fuel delivery for an emergency event. If fuel is to be delivered during an emergency event, the facility shall ensure that fuel will be available with no delays." PIN 74 specifically states 6 hours of fuel onsite minimum, with arranged delivery. How can we ensure that if we have 6 hours of fuel on

site that a delivery can be made within this time period? (Note: during earthquakes the roads might be down.)

**A23:** Demonstration of compliance with this requirement will need to be included in the assessment report submitted to HCAI. Acceptance by CDPH is required.

**Q24:** Will a natural gas connection for fuel to onsite alternate power sources, satisfy my requirement for the 96 hours, or will I still need to store fuel onsite?

**A24:** The facility will need to store 96 hours of fuel on site, or as the bill states - make arrangements for fuel delivery for an emergency event (sufficient to operate alternate power equipment for 96 hours). If the facility chooses to not store the full 96 hours of fuel on site, the facility will need to store a minimum of 6 hours of fuel on site at all times and submit document to clarify the arrangements made for fuel delivery. Any configurations and/or arrangements other than 96 hours of fuel stored onsite will need to be reviewed and approved by CDPH.

**Q25:** If fuel cells are used as a component of the alternate source, and they require natural gas service, which are required to have seismic switches to shut off gas service, in the event of an earthquake, how can we meet 6 hours min onsite fuel requirements? **A25:** We have not seen any designs to date for SNF's to address this challenge. One approach for natural gas DER's would be to provide units with a dual fuel option (natural gas and propane for instance) and to provide 6 hours of propane stored on site, with contracts in place for delivery of propane (if acceptable by CDPH) to meet the 96 hour requirement.

**Q26:** How will HCAI handle fuel suppliers, required to guarantee fuel delivery if 96 hours of fuel is not stored on site?

**A26:** If the facility decides to not store 96 hours of fuel on site, they will need to store a minimum of 6 hours of fuel on site and arrange for fuel supplier to guarantee fuel delivery within 6 hours sufficient to operate back up power equipment for 96 hours. Demonstration of compliance with this requirement will need to be signed off by CDPH.

**Q27:** In order to meet the 96 hour fuel requirements, can an above ground tank be utilized?

**A27:** The PIN does not go into detail about methods of fuel storage. Above grade tanks that are designed to meet code would be acceptable. **Note:** any above grade equipment will need to have local as well as HCAI approval.

**A28:** If existing heating is provided by natural gas, diesel, or propane, is there any additional requirement for an alternate fuel source? Note: currently an alternate fuel source is not required for heating equipment at hospitals.

**A28:** HSC §1418.22 as written requires an alternate power source for specified items (temperature control equipment, life-saving equipment, and oxygen-generating devices. Fuel and/or arrangements must be provided to operate the alternate source(s) for 96 hours. An alternate fuel source for fuel burning equipment is not included in the scope of this new law and will not be evaluated for SNF projects.

**Q29:** Is the 96 hours of fuel based on design load or the generator's rating? **A29:** NFPA 110 requirements for sizing fuel tanks based on the generator's rating will apply for both the CEC 517 (SNF Emergency Power) and the CEC 701 (Legally Required Standby Systems) solutions. If the design is configured as a CEC 705 Interconnected Electric Power Production Sources solution, the sizing of the fuel tank (or other stored energy resources) could be determined by actual demand load taken at 125% demand factor (see CEC 220).

**Q30:** 2022 CFC Section 1207.1.1(Table) Energy Storage System (ESS) lists threshold quantities for Lithium-ion batteries not to exceed energy capacity of 20kWh. If this capacity is exceeded it will trigger requirements for more plan reviews, permitting and reporting to CalEPA.

**A30:** Agreed, if the battery system capacity exceeds that in Table 1207.1.1 additional requirements will need to be met. These include but not limited to additional permits and approvals, potential for the requirement of a fire resistance rated room, etc. There are several requirements throughout the California Fire Code (CFC) and California Building Code) that will also need to be met. Please see all applicable codes.

**Q31:** How will designers address load calculations for cooling equipment? **A31:** The current code California Electrical Code (CEC), Article 220, and HCAI PIN 38 list acceptable means of documenting load calculations. For these projects, based on the nature of mechanical loads (cooling), required to be backed up, any existing demand load calculations associated with mechanical equipment will need to be based on values metered during the summer months (June 1 - August 31).

Q32: Can the designer use load shedding values, or take into account the partial use of cooling equipment if existing equipment is sized to cool below 81 degrees, in load calculations?

**A32:** For load calculations that do not use nameplate data and/or California Electric Code (CEC) values for mechanical systems (see CEC, Article 430), documentation will need to be provided to demonstrate, automation of set-back conditions and antioverride provisions along with calculations to justify loads.

**Q33:** Are there any special requirements regarding the location of Alternate Power Sources, in regard to the documented floodplain levels?

**A33:** Yes, based on the law's requirement for the alternate power source(s) to operate "during power outages that may result from a PSPS event, an emergency, a natural disaster, or other cause" the alternate power source(s) will need to be installed above the flood plain level. The alternate power sources will have essentially the same requirements that can be found in the California Electrical Code (CEC), Article 517.30(C) - Location of Essential Electrical System Components.